# CDX2 expression in the intestinal-type gastric epithelial neoplasia: frequency and significance

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CDX2 is an intestinal transcription factor responsible for regulating the proliferation and differentiation of intestinal epithelial cells. In gastric adenocarcinoma, CDX2 expression is known to be associated with limited invasiveness and intestinal phenotypes. The aims of this study were to analyze CDX2 expression in a series of well-characterized cases of gastric epithelial dysplasia, based on the morphologic and mucin phenotypes, and also to analyze CDX2 expression along the metaplasia-dysplasia-carcinoma sequence. CDX2 expression was evaluated in 69 cases of gastric epithelial dysplasia, 88 cases of intestinal-type early gastric cancers, and 56 cases of advanced gastric cancers. Increased CDX2 expression was more frequently associated with adenomatous-type gastric epithelial dysplasia (27/31, 87%) compared with foveolar (7/15, 47%) or hybrid (10/23, 44%) types of gastric epithelial dysplasia (P=0.001). CDX2 expression correlated with an increase in CD10 expression (P=0.005), and a decrease in MUC5AC expression (P=0.001) in gastric epithelial dysplasia. CDX2 expression was also gradually decreased from gastric epithelial dysplasia, to early and advanced gastric cancers (present in 64, 40 and 27% of the cases, respectively). A negative correlation was also observed between CDX2 expression and the depth of tumor invasion. Our results indicate that CDX2 expression is associated with specific morphological and mucin phenotypes of gastric epithelial dysplasias, and decreases progressively with the advancing stage of gastric cancers, suggesting a possible tumor suppressor role for CDX2.

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Gastric adenocarcinoma is broadly divided into intestinal and diffuse types, and remains one of the most prevalent malignant tumors worldwide.<sup>1,2</sup> The pathogenesis of the intestinal type of gastric cancer is closely linked with well-defined precursors such as chronic atrophic gastritis, intestinal metaplasia, and adenoma/dysplasia, whereas the pre-malignant lesions of sporadic diffuse-type gastric cancer are not well defined.<sup>1,3</sup> Furthermore, despite wide acceptance of the gastritis-metaplasiadysplasia-carcinoma sequence, the precise molecular alterations underlying this progression pathway remain to be delineated.<sup>4</sup>

CDX2 is a Drosophila caudal-related homeobox transcription factor responsible for early intestinal differentiation.<sup>5.6</sup> CDX2 may stimulate intestinal proliferation and differentiation, by transcriptional activation of intestine-specific proteins (MUC2, sucrase-isomaltase, carbonic anhydrase I), or act as a growth inhibitor through activation of WAF1 (cyclin-dependent kinase inhibitor).<sup>7.8</sup> Several reports have also suggested a tumor suppressor role for CDX2 in human colorectal carcinogenesis, and

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this might also hold true for gastric cancers.<sup>6,9–11</sup> Previous studies also suggest that CDX2 expression is associated not only with an intestinal mucin phenotype but also with a less invasive phenotype, and thus an early stage of gastric cancer.<sup>12–14</sup> However, there are scant and conflicting data about CDX2 expression in gastric epithelial dysplasia.<sup>15–17</sup>

Gastrointestinal epithelia produce site-specific mucins,<sup>18</sup> and the cell differentiation and lineage of various epithelia have been evaluated in various pathologic conditions based on the core mucin protein expression.<sup>19–21</sup> In normal gastric mucosa, MUC5AC localizes on the surface/foveolar epithelium, whereas MUC6 is expressed in the mucous cells of the neck zone of oxyntic mucosa and antral glands. The intestinal mucin MUC2 and CD10, a marker of intestinal brush border differentiation, are not expressed in normal gastric mucosa.<sup>19,20</sup> However, in previous studies, we and others have shown that gastric dysplasia and carcinoma show variable patterns of expression of these phenotypic markers.<sup>22</sup>

The aim of this study was, therefore, to evaluate CDX2 expression in all stages of the metaplasia– dysplasia–early–advanced gastric cancer continuum and correlate it with the morphological appearance and mucin phenotype.

## Materials and methods

#### **Clinical Characteristics**

A consecutive series of 69 gastric endoscopic mucosal resections performed for a diagnosis of gastric epithelial dysplasia, 86 cases of intestinaltype early gastric cancer, and 56 cases of intestinaltype advanced gastric cancer, resected at Pusan National University Hospital, Busan, Korea between January 2004 and December 2004, was evaluated. All the specimens, obtained from the Korea Biobank, PNUH, were routinely fixed in 10% buffered formalin, embedded in paraffin, serially sectioned at  $5 \,\mu$ m, and then stained with hematoxylin–eosin. For each case, clinical characteristics (age and sex, size, and location) were recorded, and for gastric epithelial dysplasia, several endoscopic features (ie, color, contour, and multicentricity) were also noted.

#### Classification of Gastric Epithelial Dysplasia

Each dysplastic lesion was classified as either adenomatous, foveolar, or hybrid type according to the previously described criteria.<sup>23–25</sup> In brief, adenomatous-type gastric epithelial dysplasia resembles colonic adenomas and is composed of large tubules lined by basophilic columnar cells with hyperchromatic, pencillate nuclei with pseudostratification, and a dense eosinophilic cytoplasm. Goblet cells and Paneth cells are commonly observed in this subtype (Figure 1). In contrast, foveolar gastric epithelial dysplasia shows either a hyperplastic foveolar region or small, irregular glands lined by tall columnar cells with pale cytoplasm and hyperchromatic round–ovoid nuclei. Glandular branching and epithelial intraluminal infoldings are often present. (Figure 2) Goblet cells and Paneth cells are rarely identified in this subtype. Cases of gastric epithelial dysplasia showing features of both foveolar and adenomatous dysplasia were classified as hybrid type. Each case was also graded as either low-grade or high-grade dysplasia according to the previously established criteria that included the degree of architectural complexity and cytological atypia<sup>3.24</sup> (Figures 1 and 2).

# Immunohistochemical Analysis for Mucin Phenotype and CDX2

Immunohistochemical stains for MUC5AC, MUC6, MUC2, CD10, and CDX2 were performed, and their expression was evaluated in dysplastic and adenocarcinomatous epithelium. The primary antibodies used in this study were summarized in Table 1.

In brief,  $5-\mu m$  thick consecutive sections were deparaffinized and hydrated through a graded series of alcohol. After antigen retrieval in 10 mmol/l citrate buffer (pH 6.0) in a microwave oven for 10 min, inhibition of endogenous peroxidase activity was performed by immersion in a 3% H2O2/ methanol solution. The sections were then incubated with the primary antibodies followed by thorough washing in phosphate-buffered solution (PBS), incubation with the biotinylated secondary antibody, followed by the avidin-biotinylated horseradish peroxidase complex (Vectastain Elite ABC kit, Vector Laboratories30, Burlingame, CA, USA), and finally developed using DAB (3,3'-diaminobenzidine tetrachloride) as the chromogen. The nuclear counterstaining was accomplished using Mayer's hematoxylin.

To allow comparison with previously published data, only mucin immunoreactivity of at least 10% of the studied cell population was considered positive. Mucin phenotypes were further subdivided into gastric (only gastric mucin positive), gastrointestinal phenotypes (both gastric and intestinal mucin positive), intestinal phenotypes (only intestinal mucin positive), and null phenotypes (all mucin negative), based on the combination of predominant patterns of MUC5AC, MUC2, MUC6, and CD10 staining. Nuclear CDX2 staining was considered positive and was evaluated for the percentage of positively stained neoplastic cells. The cutoff value for positive CDX2 staining was predetermined as nuclear staining in at least 25% of the neoplastic cells as in the previous studies.<sup>17</sup>

#### **Statistical Analysis**

Statistical calculations were performed using SSPS version 10.0 for Windows software (SPSS Inc.,



Figure 1 Examples of gastric epithelial dysplasia of the adenomatous (a and b) and foveolar (c and d) types.

Chicago, IL, USA). Fisher's probability exact test or  $\chi^2$  test was used to test for observed differences between the study groups, and a P < 0.05 was considered statistically significant.

## Results

The gastric epithelial dysplasia group consisted of 44 men and 25 women, age range 27–81 (mean: 63; s.d.: 9.6 years). The group of 86 early gastric cancers consisted of 69 men and 17 women. Their mean age was 60 (s.d.: 9.37; range: 34–76). The group of 56 advanced gastric cancers consisted of 46 men and 10 women. Their mean age was 61 (s.d.: 10.8; range: 32–84).

#### Clinicopathological Characteristics and CDX2 Expression in Gastric Epithelial Dysplasia

The size of gastric epithelial dysplasia ranged from 0.5 to 3.0 cm (mean: 1.3 cm) (Table 2). With regard to morphotype, 15 cases were classified as foveolar, 31 as adenomatous, and 23 as hybrid gastric epithelial dysplasia. CDX2 expression was present in 44/69

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(64%) cases of gastric epithelial dysplasia. CDX2 expression was associated with the older age (P=0.040) and with adenomatous- type gastric epithelial dysplasia, in which it was expressed in 87% of the cases. In contrast, a lower frequency of CDX2 expression was observed in the foveolar (7/15; 47%) and hybrid (10/23; 44%) subtypes of gastric epithelial dysplasia, respectively (P=0.001).

The foveolar and hybrid types of gastric epithelial dysplasia showed a downregulation of CDX2 expression when compared with the adjacent intestinal metaplasia (21/38; 55%). This phenomenon was observed much less frequently in adenomatous gastric epithelial dysplasia (4/31; 13%; P=0.0002, Figures 1 and 2). There was no statistically significant correlation of CDX2 expression in gastric epithelial dysplasia with the patient's sex, tumor size, location, endoscopic macroscopic appearance, and grade of dysplasia.

#### Correlation of CDX2 Expression with Mucin Phenotypes in Gastric Epithelial Dysplasia

A strong inverse correlation was observed between CDX2 expression and MUC5AC (P = 0.001; Table 3).

#### Role of CDX2 in gastric carcinogenesis

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Figure 2 Immunophenotyping of foveolar (a) and adenomatous (b) gastric epithelial dysplasia. MUC2 (b, b1), scattered cells (<10%) are positive in foveolar gastric epithelial dysplasia (b). MUC5AC (c, c1), adenomatous dysplasia is completely negative. CD10 (d, d1), complete absence of luminal staining in foveolar gastric epithelial dysplasia (d). CDX2 (e, e1), there is a decreased intensity of CDX2 expression in foveolar gastric epithelial dysplasia (e) compared with adenomatous gastric epithelial dysplasia (e1).

<b>Fable 1</b> Primary antibo	dies us	ed
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Primary antibodies (clone)	Source	Dilution
Muc2 (CLH2)	Novocastra Laboratories, Newcastle, UK	1:500
Muc5AC (CLH5) Muc6 (Ccp58) CD10 (56C6) CDX2 (CDX2-88)	Novocastra Laboratories Novocastra Laboratories Novocastra Laboratories Biogenex, San Ramon, CA, USA	1:500 1:500 1:100 1:100

 Table 2
 Characteristics of gastric epithelial dysplasia and CDX2 expression

	CDX2 ex	P-value	
	Negative $(n = 25)$	Positive $(n = 44)$	
Age (vear)			
< 63	13	12	0.04
≥63	12	32	
Sex			
Male	14	30	0.435
Female	11	14	
Tumor size (cm)			
<1.4	17	24	0.274
≥1.4	8	20	
Location			
Body/fundus	8	12	0.784
Antrum/pylorus	17	32	
Gross type			
Elevated	21	34	0.756
Flat/depressed	4	10	
Grade			
Low	9	18	0.688
High	16	26	
Morphologic type			
Foveolar	8	7	0.001
Hvbrid	13	10	
Adenomatous	4	27	

In contrast, there was a positive correlation between CDX2 and CD10 expression (P = 0.005). No significant correlation was observed between CDX2 expression and the detection of MUC2 or MUC6 (Table 3).

#### Correlation between CDX2 Expression, Mucin Expression, and the Depth of Invasion in the Intestinal-Type Gastric Carcinoma

The rate of CDX2 expression was noted to decrease with the progressively increasing depth of invasion of gastric adenocarcinoma (Table 4). Observed in 63.8% of gastric epithelial dysplasia, CDX2 expression was noted in only 40% (35/88) of early type cancer and was even more decreased in advanced

Table 3Correlation	of CDX2	and mucin	expression	in	gastric
epithelial dysplasia			_		

	CDX2 ex	P-value	
	Negative (n = 25)	Positive (n = 44)	
Muc2			
< 10%	20	30	0.291
≥10%	5	14	
Muc5AC			
<10%	12	38	0.001
≥10%	13	6	
Muc6			
<10%	24	42	1.000
≥10%	1	2	
CD10			
< 10%	25	33	0.005
≥10%	0	11	

**Table 4** CDX2 and mucin expression in gastric epithelialdysplasia and intestinal-type adenocarcinoma based on the depthof invasion

	Depth of invasion			P-value
	<i>GED</i> (n = $69$ )	<i>EGC</i> (n = 86)	AGC (n = 56)	
CDX2 posit	ivity			
Negative	25	51	41	0.000
Positive	44	35	15	
Muc2 positi	ivitv			
Negative	50	69	51	0.033
Positive	19	17	5	
Mu5AC pos	itivitv			
Negative	50	66	43	0.794
Positive	19	20	13	
Muc6 positi	ivity			
Negative	66	82	55	0.654
Positive	3	4	1	
CD10 positi	vitv			
Negative	58	79	50	0.310
Positive	11	7	6	
		•	0	

GED, gastric epithelial dysplasia; EGC, early gastric cancer; AGC, advanced gastric cancer.

carcinomas (15/56, 27%; Figure 3) MUC2 expression was also observed to decrease with the increasing depth of invasion. We did not observe any relationship between the expression of MUC5AC, MUC6, and CD10 and the depth of invasion.

# Correlation between CDX2 Expression and Mucin Phenotypes, Histologic

A strong correlation was observed between CDX2 expression and MUC2 (P = 0.002). In contrast, there was a negative correlation between CDX2 and



Figure 3 CDX2 immunostaining in the early stage of gastric cancer. The dysplastic component of the lesion (shoulders) shows preserved strong immunostaining (a), whereas it is lost in the invasive component (b).

MUC5AC expression (P=0.019). (Table 5) No significant correlation was observed between CDX2 expression and the detection of MUC6 or CD10 (Table 5). With regard to the mucin phenotype, CDX2 expression was higher in the intestinal type (27/53, 51%) and the gastrointestinal type (15/46, 33%) as compared with the gastric type (7/30, 23%) and the null type (1/13, 8%).

# Correlation between CDX2 Expression, Histological Grade, Nodal Metastasis, and the Stage

A strong inverse correlation was observed between CDX2 expression and nodal metastasis as well as the stage of intestinal-type gastric adenocarcinomas (Table 6). The decrease in CDX2 expression was associated with nodal metastasis (P=0.015) and also an increase in the tumor stage (P=0.016). No significant correlation was observed between CDX2 expression and the histological grade (Table 6).

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Table 5	CDX2,	mucin	phenotypes,	and	mucin	expression	in	the
intestinal	l-type a	denoca	ircinoma					

	CD	P-value	
	Negative (n = 92)	Positive $(n = 50)$	
Muc2 positivi	ty		
Negative	84	36	0.002
Positive	8	14	
Muc5AC posi	tivitv		
Negative	65	44	0.019
Positive	27	6	
Muc6 positivi	tv		
Negative	89	48	0.819
Positive	3	2	
CD10 positivi	tv		
Negative	85	44	0.386
Positive	7	6	
Mucin Phenor	tvpe		
G type	23	7	$0.001^{a}$
GI type	31	15	
I type	26	27	
Null type	12	1	

 ${\rm G}$  type, gastric type;  ${\rm GI}$  type, gastrointestinal type;  ${\rm I}$  type, intestinal type.

<sup>a</sup>Between G type+null type versus I type+GI type.

	CD.	P-value	
	Negative (n = 92)	Positive (n = 50)	
Lymph nodes			
Negative	54	40	0.015
Positive	38	10	
Histologic grad	de		
Well	27	20	0.263
Moderate	65	30	
Stage <sup>a</sup>			
I	55	40	0.016
II	13	4	
III	18	5	
IV	6	1	

<sup>a</sup>Between stage I and stages II+III+IV.

#### Discussion

In this study, we found that CDX2 expression is closely associated with adenomatous-type gastric epithelial dysplasia. We also observed that with the increasing expression of CDX2, the expression of CD10 increases and that of MUC5AC decreases. These findings affirm the association of CDX2 with an intestinal morphologic subtype and specific

mucin phenotypes of gastric epithelial dysplasia. To the best of our knowledge, our study is the first to report directly on the correlation of CDX2 expression with morphological subtypes and mucin phenotypes of gastric epithelial dysplasia. We also observed an inverse correlation between CDX2 expression and the depth of invasion in the intestinal-type gastric adenocarcinomas.

Previous reports about the relationship between mucin expression and nuclear CDX2 reactivity showed that CDX2 expression is associated with the intestinal-type mucins (CD10 or MUC2). However, these studies were focused primarily on gastric adenocarcinomas.<sup>12,13</sup> There are a few reports about CDX2 expression in gastric epithelial dysplasia, but the results are conflicting. For instance, Kim et  $al^{15}$  reported that 73.3% of low-grade adenomas and 85.5% of high-grade adenomas showed CDX2 expression. In their study, a significant reduction in CDX2 expression was also observed in the foci of gastric epithelial dysplasia when compared with the adjacent metaplastic gastric mucosa. In contrast, Liu et al<sup>16</sup> reported a lower CDX2 expression in highgrade adenomas compared with low-grade adenomas and suggested that mucin expression in gastric epithelial dysplasias is not associated with CDX2 expression. In yet another study, with results contradictory to those mentioned above, Rugge et al<sup>17</sup> reported that CDX2 expression is present in all cases of gastric epithelial dysplasia irrespective of the grade. In addition, no reduction in CDX2 expression in gastric epithelial dysplasia, as compared with adjacent intestinal metaplasia, was observed in their cases.<sup>17</sup> These conflicting results may be partially explained by the use of different cutoff values to define CDX2 positivity (range 5-25%), but we believe that they are largely a result of the lack of sub-typing gastric epithelial dysplasia.

Similar to Rugge's<sup>17</sup> finding, we showed no relationship between the grade of gastric epithelial dysplasia and CDX2 expression. Not surprisingly, we also found that adenomatous gastric epithelial dysplasia shows greater CDX2 expression when compared with the foveolar type, and that an intestinal-type mucin marker, such as CD10, shows a positive correlation with CDX2 expression in gastric epithelial dysplasia. In our experience, CDX2 expression is decreased in the foveolar and hybrid types of dysplasia compared with the adenomatous type of dysplasia and the adjacent intestinal metaplasia.

In this study, CDX2 expression was decreased in early gastric cancers, when compared with dysplasia, and was even more reduced in advanced cancers. Similarly, Kim *et al*<sup>12</sup> reported lesser CDX2 expression in early gastric cancers compared with advanced tumors. Mizoshita *et al*<sup>13</sup> and Seno *et al*<sup>14</sup> also reported that CDX2 expression was associated with a favorable outcome. This collective experience may suggest a potential tumor suppressor role for CDX2, in view of its sequential decrease in expression along the stepwise gastric carcinogenesis (intestinal metaplasia, epithelial dysplasia, and early and advanced gastric cancer). This opinion is shared by Liu, who showed that CDX2 expression is progressively decreased in gastric intestinal metaplasia, dysplasia, and cancer.<sup>16</sup> Interestingly, the progressive loss of CDX2 expression in Barrett's esophagus-associated dysplasia and adenocarcinoma, compared with non-dysplastic metaplastic epithelium, suggests a similar role in that setting.<sup>26</sup>

Experimentally, the report that mice with heterozygous disruption of CDX2 gene develop colonic polyps also suggests a tumor suppressor role.<sup>27</sup> Furthermore, *in vitro*, CDX2 overexpression inhibits the growth of colon cancer cells.<sup>28</sup>

In conclusion, CDX2 has a significant role in gastric carcinogenesis. While ectopic CDX2 expression in mice induces gastric intestinal metaplasia,<sup>29</sup> our current results, as well as those of others, lend further credence to the hypothesis that it also has a tumor suppressor role, especially with regard to progression into an advanced stage of gastric cancer.<sup>30</sup>

## **Disclosure/conflict of interest**

The authors declare no conflict of interest.

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