

See **ARTICLE** page 49

# HIV infection and the gastrointestinal immune system

JM Brenchley<sup>1</sup> and DC Douek<sup>1</sup>

There has recently been a resurgence of interest in the gastrointestinal pathology observed in patients infected with HIV. The gastrointestinal tract is a major site of HIV replication, which results in massive depletion of lamina propria CD4 T cells during acute infection. Highly active antiretroviral therapy leads to incomplete suppression of viral replication and substantially delayed and only partial restoration of gastrointestinal CD4 T cells. The gastrointestinal pathology associated with HIV infection comprises significant enteropathy with increased levels of inflammation and decreased levels of mucosal repair and regeneration. Assessment of gut mucosal immune system has provided novel directions for therapeutic interventions that modify the consequences of acute HIV infection.

The mucosal surface of the gastrointestinal (GI) tract forms a unique anatomical and physiological niche, serving as a predominant structural and immunological barrier against the microorganisms of the outside world. In addition, the tightly apposed enterocytes that form the single cell layer of the mucosal epithelium also absorb water and nutrients during the digestive process, which is critical to host survival. Hence, loss of the integrity of the mucosal surface results in multiple deleterious sequelae.

HIV infection leads to loss of CD4 T cells, which leaves affected individuals mortally susceptible to opportunistic infections. Many of the opportunistic infections that ultimately plague such individuals involve infectious agents that are normally checked by the mucosal barriers. However, while many AIDS-defining illnesses could be attributed to loss of mucosal immunity and only become manifest years after acquisition of HIV, many pathological changes, both structural and immunological, occur at the mucosal surfaces from the very onset of HIV infection. In this review we discuss recent studies that have led to a reappraisal of the pathogenesis of HIV disease and how they relate to early studies that documented the structural and functional abnormalities of the HIV-infected GI tract.

## HIV ENTEROPATHY

In 1983, HIV was defined as the infectious agent that causes AIDS.<sup>1,2</sup> In 1984, Kotler and colleagues, observing that HIV-infected individuals had histologic abnormalities of the GI mucosa, malabsorption, and lymphocyte depletion, concluded:

“The histologic findings suggest that a specific pathologic process occurs in the lamina propria of the small intestine and colon in some patients with the syndrome.”<sup>3</sup> This finding was almost prescient in its anticipation of the discoveries to come. Indeed, the term “HIV enteropathy” has been appreciated for as long as it has been known that HIV causes AIDS. The enteropathy that afflicts HIV-infected individuals can occur from the acute phase of the infection through advanced disease. It involves diarrhea, increased GI inflammation, increased intestinal permeability (up to fivefold higher than in healthy controls) and malabsorption of bile acid and vitamin B12.<sup>4–6</sup> Histologically, the enteropathy involves inflammatory infiltrates of lymphocytes and damage to the GI epithelial layer, including villous atrophy, crypt hyperplasia, and villous blunting.<sup>7–9</sup> Importantly, these pathologic changes occur in the absence of the detectable bacterial, viral, or fungal enteropathogens that are often associated with enteropathy.<sup>7</sup>

Hence there are substantial data demonstrating structural abnormalities within the GI tract of HIV-infected individuals. Although the mechanisms underlying these abnormalities remain poorly understood, several explanations have been put forward. Some have invoked direct “virotoxic” effects of HIV itself on enterocytes.<sup>10–13</sup> For example, the HIV accessory protein Tat has been shown to have an inhibitory effect on the uptake of glucose by enterocytes.<sup>13</sup> Moreover, HIV gp120 can lead to increased concentrations of calcium in enterocytes, which are associated with tubulin depolymerization and a decrease in the epithelial cells’ ability to maintain ionic bal-

<sup>1</sup>Human Immunology Section, Vaccine Research Center, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Bethesda, Maryland, USA.  
Correspondence: DC Douek (ddouek@mail.nih.gov)

Received 24 April 2007; accepted 30 May 2007. doi:10.1038/mi.2007.1

ances.<sup>14</sup> This interaction was later shown to involve the orphan G protein–coupled receptor GPR15/Bob.<sup>15</sup> Furthermore, HIV can be found in proximity to abnormally enlarged enterocytes, and it has been postulated that HIV may result in abnormal differentiation of enterocytes.<sup>10</sup> In addition, HIV has been shown to induce increased proliferation of enterocytes in cell culture tissue explants.<sup>16</sup> Consistent with the notion that HIV is directly associated with the observed enteropathy, antiretroviral therapy ameliorates GI symptoms.<sup>17</sup> However, the mechanisms by which the virus directly results in the death or dysfunction of enterocytes remain unclear, and only a few of these studies even suggest that enterocytes actually become infected by the virus.<sup>18</sup>

Another possible culprit contributing to HIV enteropathy is local activation of the GI immune system. Infiltration of cytotoxic CD8 T cells, for example, has been observed to result in villous atrophy in individuals with celiac disease.<sup>19,20</sup> Mitogenic T-cell stimulation is sufficient to cause enterocyte abnormalities in ex vivo tissue explants.<sup>21</sup> Moreover, proinflammatory cytokines such as tumor necrosis factor (TNF), interferon (IFN)- $\gamma$ , interleukin (IL)-12, and IL-8 have been implicated in the pathology of inflammatory bowel disease.<sup>22</sup> In particular, high levels of TNF are thought to cause apoptosis of enterocytes, and treatment with anti-TNF antibodies is of great clinical benefit to individuals with Crohn's disease.<sup>23</sup> With respect to HIV enteropathy, local immune activation likely plays a formative role; indeed, high levels of proinflammatory mediators such as the beta chemokines<sup>24</sup> IL-6, IL-10, and IFN- $\gamma$ <sup>25</sup> are found in the lamina propria of the colon of HIV-infected individuals. Moreover, the degree of inflammation within the GI tract correlates with viral replication.<sup>25–27</sup> Although systemic immune activation is a hallmark of HIV-infection, its etiology has remained elusive. However, it has been reasonably postulated that local bacterial invasion across the damaged tight epithelial barrier may allow microbial products to stimulate the immune system locally, presumably through receptors such as Toll-like receptors.<sup>28</sup> Because HIV prefers to infect activated CD4 T cells, a crucial consequence of induction of local inflammation by any means that also involves CD4 T-cell activation may serve to provide additional targets for the virus, thus augmenting its replication.

### GASTROINTESTINAL CD4 T-CELL DEPLETION

After the original observations of enteropathy and histological abnormalities in the HIV-infected GI tract, immunologists rapidly turned their attention to the mucosal immune system, which contains the majority of the body's T cells.<sup>29</sup> Early studies using immunohistochemistry showed a proinflammatory infiltration of lymphocytes *yet also* a striking absence of CD4 T cells,<sup>26,30</sup> leading to the suggestion that the intestinal mucosa could be a site of significant HIV replication and CD4 T-cell destruction.<sup>26</sup> Later studies, also using immunohistochemistry, confirmed that the GI tract was indeed significantly depleted of CD4 T cells.<sup>27,31,32</sup> Moreover, these observations were made in both the large and small intestine, indicating that the depletion of GI CD4 T cells involves the entire bowel. However, these studies were somewhat restricted in that the

majority of the HIV-infected individuals studied were chronically infected with HIV or in the late stage of AIDS. In efforts to better understand the tempo of HIV disease pathogenesis, SIV infection of rhesus macaques has long provided an invaluable tool. Indeed, within days of infection, a similarly dramatic depletion of GI CD4 T cells was observed in these animals.<sup>33</sup> Furthermore, SIV-infected macaques also manifest enteropathy,<sup>34</sup> which is associated with decreased expression of genes such as trefoils that are involved in mucosal repair.<sup>35</sup> Hence, in pathogenic SIV infection of rhesus macaques, the majority of the body's CD4 T cells are depleted within the short acute phase of the infection—a finding not reflected by analysis of peripheral blood or lymph nodes.

In later studies, the use of flow cytometric analysis allowed for a more rigorous and quantitative examination and phenotypic assessment of GI CD4 T cells in HIV/SIV-infected individuals.<sup>36–43</sup> Several of these studies examined CD4/CD8 ratios in the duodenum and peripheral blood in order to compare CD4 T-cell depletion between these two anatomical sites; the results also suggested that the GI tract was preferentially depleted of CD4 T cells. However, in another study, CD4/CD8 ratios in rectal biopsies of HIV-infected individuals were shown to be similar to those in peripheral blood.<sup>40</sup> Moreover, even in HIV-uninfected individuals, CD4/CD8 ratios are lower in the terminal ileum than in peripheral blood,<sup>42</sup> indicating that the CD4/CD8 ratio may not be an accurate indicator of CD4 T-cell depletion in tissues. Subsequent studies have concentrated on examining depletion of the specific target of HIV: CD4 T cells that express the HIV coreceptor CCR5.<sup>44</sup> Phenotypic analysis of CD4 T cells in the GI mucosa of healthy individuals has demonstrated that the majority of T cells in mucosal tissues express CCR5 and are very permissive to *in vitro* infections.<sup>45,46</sup> Indeed, such analyses have clearly demonstrated almost complete depletion of CCR5+ CD4 T cells from mucosal sites of HIV-infected humans and SIV-infected rhesus macaques.<sup>40–43</sup> That CCR5+ CD4 T cells are preferentially targeted is consistent with reports showing more extensive depletion from the lamina propria as compared with inductive sites such as Peyer's patches.<sup>41,47</sup> It is important to note that this occurs rapidly during the acute phase of infection.

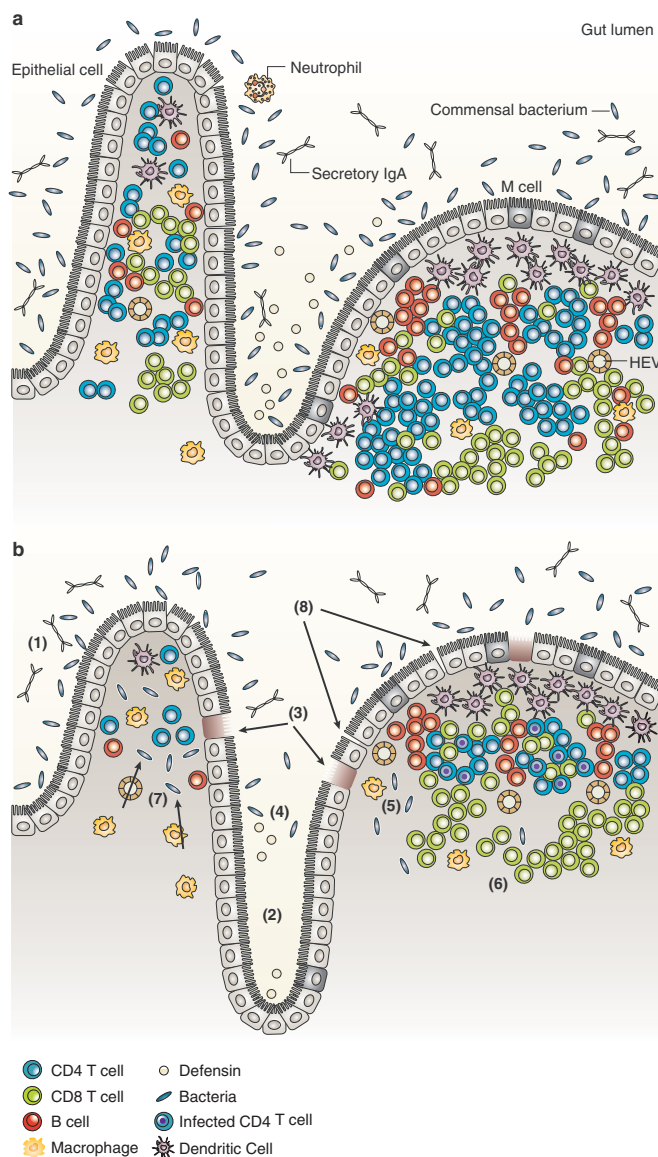
The preferential loss of CCR5+ CD4 T cells from the GI tract clearly suggests a “virus-centric” mechanism. Indeed, by *in situ* hybridization, HIV and SIV RNA are readily detectable in biopsies of the GI tract from HIV/SIV-infected subjects.<sup>17,41,47–49</sup> While the detection of viral RNA by *in situ* hybridization is a reliable marker of ongoing viral replication, such analysis underrepresents the total number of actual infectious events, since the majority of infected cells do not contain replication-competent provirus.<sup>50</sup> Hence, flow cytometric sorting of precisely defined T-cell subsets followed by quantitative polymerase chain reaction for viral DNA results in the quantification of the number of viral genomes within cell populations of interest.<sup>51,52</sup> This approach has shown that CD4 T cells in the GI tract are 10-fold more frequently infected by the virus than are those in the peripheral blood.<sup>53,54</sup> Importantly, the finding that 60% of GI CD4 T cells are infected by the virus *in vivo* suggests that the size

of the viral reservoir is orders of magnitude greater than previous studies have suggested.<sup>50</sup> Moreover, the CD4 T-cell depletion that occurs during the acute phase of infection can likely be attributed solely to the effects of direct viral infection. Prevention of the GI CD4 T cell destruction that occurs is, therefore, dependent upon cessation of GI viral replication. Consistent with this idea is the finding that in individuals in whom viral replication in peripheral blood is controlled for long periods of time without antiretroviral therapy, called long-term nonprogressors, viral replication in the GI tract appears to be limited while GI-tract CD4 T cells are maintained.<sup>55</sup>

Although enterocyte dysfunction and CD4 T-cell depletion have all been demonstrated within the GI tract of HIV-infected individuals, it is important to note that many other factors contribute to mucosal integrity (Figure 1). The GI tract contains goblet cells that provide a protective mucous layer. Moreover, M cells transport antigens from the lumen of the intestine to Peyer's patches, thus allowing the adaptive arm of the immune system to "sample" enteric antigens. Specialized intestinal macrophages have also recently been characterized and have been demonstrated to preferentially phagocytose bacteria without themselves becoming activated.<sup>56</sup> Intraepithelial lymphocytes largely comprise  $\gamma\delta$ T cells that recognize antigens presented by nonclassic MHC molecules.<sup>57</sup> Finally, specialized GI Paneth cells are functionally similar to blood granulocytes and produce antimicrobial peptides such as beta defensins.<sup>58</sup> Moreover, these antimicrobial peptides have been shown to be inhibitory to HIV replication *in vitro*.<sup>59</sup> Hence the mucosal surface is a complex microenvironment of quite distinct but cooperative cells; if or how these cells are affected during HIV infection is still poorly understood, but it presents an important focus of investigation. Although there is much to learn regarding the pathogenic effects of HIV on the GI tract, it is clear that the success of any prophylactic HIV vaccine would necessarily depend on its ability to elicit effective HIV-specific immunity at mucosal sites.

### GASTROINTESTINAL HIV-SPECIFIC IMMUNE RESPONSES

The vast majority of HIV-infected individuals do not control viral replication without therapeutic antiretroviral intervention. However, several studies have suggested a role for HIV-specific CD8 T cells in controlling viral replication to a set point that persists in chronic infection.<sup>60–62</sup> The lack of such immunity during the first few days of the infection leaves the virus free to infect GI CD4 T cells, propagate, and spread systemically. Although data on mucosal HIV-specific immunity during the acute phase of infection are scant, recent studies have investigated HIV-specific T-cell immunity in rectal biopsies of chronically HIV-infected individuals.<sup>63,64</sup> Initially, these studies were restricted to the physical examination of HIV-specific CD8 T cells using peptide-major histocompatibility complex tetramer technology.<sup>63</sup> These studies demonstrated that HIV-specific CD8 T cells were detectable in the GI tract and that the frequency of HIV-specific CD8 T cells in the GI tract was similar to that observed in peripheral blood, approximately 1% of CD8 T cells.<sup>40</sup> However, this is an overestimate, since these studies did not express the frequency of



**Figure 1** HIV-associated damage to the GI tract. (a) A healthy GI tract with villi, crypts, macrophages, dendritic cells, maintenance of the epithelial barrier, T cells, B cells, and luminal defensin peptides. (b) A chronically HIV-infected GI tract with (1) blunted villi, (2) crypt hyperplasia, (3) damage to the epithelial barrier with enterocyte apoptosis, (4) decreased luminal defensin, (5) massive CD4 T-cell depletion, (6) high frequencies of infected CD4 T cells with release of virions, (7) microbial translocation, and (8) increased permeability. (Reprinted from ref 103.)

memory CD8 T cells, which are HIV-specific; moreover, almost all the GI-tract CD8 T cells belong to the memory compartment, yet naive CD8 T cells circulate in peripheral blood.<sup>42</sup> Studies in humans examined only the frequency of HIV-specific CD8 T cells in rectal tissue, but studies of SIV-specific CD8 T cells with peptide-major histocompatibility complex tetramers have demonstrated that SIV-specific CD8 T cells can be detected in the upper and lower small intestine.<sup>65</sup>

However, these studies did not examine the functional capacity of HIV/SIV-specific CD8 T cells in the GI tract. Indeed, in



the peripheral blood of HIV-infected individuals, some HIV-specific CD8 T cells that control viral replication and maintain peripheral blood CD4 T cells are found to produce multiple effector cytokines, including IFN- $\gamma$ , macrophage-inflammatory protein-1 $\beta$ , TNF- $\alpha$ , and IL-2; they maintain the ability to degranulate (measured by surface mobilization of CD107a).<sup>66</sup> These “polyfunctional” HIV-specific CD8 T cells are rarely found in the peripheral blood of individuals with progressive HIV infection.<sup>66</sup> This finding—combined with the findings of rampant viral replication and CD4 T-cell depletion in the GI tract—led to comparative studies of functional capacity and the magnitude of SIV/HIV-specific T cells in mucosal sites and peripheral blood. Early studies in SIV-infected rhesus macaques demonstrated inability of SIV-specific CD8 T cells from the GI tract to produce IFN- $\gamma$  and TNF- $\alpha$ .<sup>67</sup> In addition, in a cohort of chronically HIV-infected individuals, the functional capacity of HIV-specific CD8 T cells in rectal biopsies was studied; these cells were observed to be similarly skewed toward a predominant “monofunctional” profile (mobilization of CD107a without effector cytokine production), similar to the functional capacity observed in the peripheral blood of chronically infected individuals.<sup>64</sup> In contrast, individuals who are able to control viral replication maintain a polyfunctional T-cell response in peripheral blood.<sup>66</sup>

Taken together, these findings strongly suggest that a successful HIV vaccine should induce polyfunctional HIV-specific CD8 T cells within the GI tract. Indeed, several studies of rhesus macaques have demonstrated vaccine-induced functional SIV-specific CD8 T cells within the GI tract.<sup>65,68,69</sup> Moreover, the frequency of vaccine-induced SIV-specific CD8 T cells in the GI tract was negatively correlated with the peak viral load in plasma after challenge with the pathogenic SHIV-ku2, while there was no such correlation with the frequency of SIV-specific CD8 T cells in peripheral blood.<sup>69</sup>

Although HIV-specific T-cell responses may reduce viral replication *in vivo*, the prevention of initial infection would likely require a mucosal humoral immune response. Indeed, investigators using a pathogenic SIV strain found that the application of SIV-specific neutralizing antibodies to the vaginal surface can prevent the vaginal infection of rhesus macaques.<sup>70</sup> Evidence of such protective humoral immunity in HIV comes from observations that individuals who are repetitively exposed to the virus yet remain uninfected produce HIV-specific IgA antibodies at mucosal sites.<sup>71,72</sup> However, such antibody responses have not been observed in all cohorts of exposed uninfected individuals.<sup>73</sup> In addition, antibodies directed against host CCR5 have been observed in highly exposed uninfected individuals and in infected individuals classified as long-term nonprogressors; these antibodies have inhibited HIV transport across human epithelial cells *in vitro*.<sup>74,75</sup> It remains clear, however, that the majority of chronically HIV-infected individuals do not mount vigorous HIV-specific IgA antibody responses either locally in mucosal sites or systemically.<sup>76</sup> Thus, these findings suggest that induction of a functional HIV-specific immune response would help to control viral replication and might even inhibit it.

## HAART AND THE GASTROINTESTINAL TRACT

Currently available prophylactic antiretroviral regimens generally reduce plasma viral loads to undetectable levels, resulting in subsequent increases in peripheral blood CD4 T cells.<sup>77</sup> It might be expected that viral replication would be diminished throughout the body and that the CD4 T-cell reconstitution observed in blood would be mirrored at all anatomic sites. Early studies of HIV-associated enteropathy after the initiation of combination antiretroviral therapy suggested that GI symptoms—such as abdominal cramping, bloating, and loose stools—were significantly improved as soon as a week after initiation of treatment.<sup>17</sup> Moreover, a 1-week period of highly active antiretroviral therapy (HAART) resulted in an approximately 10-fold decrease in viral load (based on *in situ* viral RNA and p24 detection) in rectal tissue, with a modest increase of 1 CD4 T cell per microscopic high power field, concomitant with an equally modest decrease in the number of apoptotic cells in rectal tissue.<sup>17</sup>

These findings suggest that the virus itself has a central role in the GI tract pathology observed and that HAART can ameliorate enteropathy. Subsequently a number of groups studied the effects of long-term HAART on GI CD4 T-cell reconstitution in the small bowel (generally the duodenum) using immunohistochemistry and/or flow cytometric analysis; all concurred that CD4 T-cell reconstitution was poor and occurred at a much slower rate than the reconstitution observed in peripheral blood.<sup>41,78–80</sup> These studies demonstrated that individuals treated with HAART during the early stages of HIV infection reconstituted CD4 T cells in the GI tract better (often a twofold increase in the frequency of CD4 T cells within the GI tract) than individuals treated with HAART during chronic HIV infection (these individuals rarely reconstituted any GI tract CD4 T cells).<sup>79,80</sup> Moreover, poor CD4 T-cell reconstitution after long-term HAART was associated with the continued upregulation of genes involved in immune activation and with low levels of mRNA coding for genes involved in mucosal repair.<sup>80</sup> Importantly, although many of the treated individuals reconstituted peripheral blood CD4 T cells, no HIV-infected individual reconstituted GI tract CD4 T cells to levels observed in persons uninfected with HIV. The mechanisms underlying meager CD4 T cell reconstitution in the GI tract are poorly understood. However, one possible explanation may be that viral replication continues at low levels. Few analyses of viral replication within the GI tracts of individuals on HAART have been performed.<sup>79–81</sup> Although decreases in multiply spliced viral RNAs (indicating actively replicating virus) were observed in rectal biopsies,<sup>81</sup> GI CD4 T cells producing virus can still be observed even years after the initiation of HAART.<sup>79,80</sup>

These findings may be anecdotal and certainly suggest that a more quantitative and longitudinal examination of infection frequencies within GI CD4 T cell, both before and after the initiation of HAART, is merited. Continued infection of GI CD4 T cells in HIV-infected individuals receiving HAART could be explained by low local concentrations of antiretroviral drugs. Whereas the GI tract is well vascularized and the drugs should be bioavailable, high levels of so-called multidrug-resistant proteins, or “toxin pumps,” such as P-glycoprotein, are expressed

on the apical surface of columnar epithelial cells of both the small and large intestine.<sup>82,83</sup> It is tempting to speculate that these multidrug-resistant proteins, which have specificity for protease inhibitors and nucleoside analogs, may reduce the local concentration of antiretroviral drugs to infected cells within the GI tract and thus allow the virus to slowly replicate and limit reconstitution of CD4 T cells.

A second mechanism that may also reduce an individual's ability to reconstitute GI tract CD4 T cells after HAART is ongoing local inflammation.<sup>80</sup> Indeed, local immune activation has been shown to be associated with fibrosis of the lymphoid architecture in peripheral lymph nodes,<sup>42,84,85</sup> which in turn predicts the degree of peripheral blood CD4 T-cell reconstitution after the initiation of HAART.<sup>86</sup> Importantly, recent findings suggest that fibrotic deposition of collagen also occurs in GI Peyer's patches even during the acute phase of the infection; the degree of architectural damage within Peyer's patches similarly predicts GI CD4 T-cell depletion after HAART.<sup>87</sup> Although HAART reduces GI immune activation,<sup>80</sup> the ability of the remaining but damaged lymphoid niche to support significant CD4 T-cell reconstitution may be permanently damaged.

## ENTEROPATHY REVISITED

One of the hallmarks of HIV infection that sets this chronic viral infection apart from others is chronic activation of the immune system.<sup>88–90</sup> This is significant because immune activation provides the virus with activated CD4 T-cell targets, and it predicts disease progression better than either the peripheral blood CD4 T-cell count or the viral load in plasma.<sup>91</sup> However, the underlying causes of systemic immune activation in HIV infection are not well understood. In 1999, Kotler suggested that the consequences of damage to the GI tract may translate to immune activation within the gut:

*"The proximity to foreign antigens is the most characteristic distinguishing feature of mucous membranes. Since bacterial lipopolysaccharides stimulate the release of cytokines, such as TNF, which promotes HIV replication and inflammation [ref. 92 in this article], penetration of foreign antigens could affect the microenvironment within lamina propria. The process may become chronic and promote persistent recruitment of CD4<sup>+</sup> lymphocytes from the systemic circulation and promote their activation and death."*<sup>28</sup>

Moreover, increased urinary levels of butyrate, a unique product of colonic microbial metabolism, were observed in individuals with AIDS, leading to the suggestion that "a low, but chronic rate of bacteria and/or bacterial products seeping across a compromised colonic wall causes a chronic low stress response in AIDS patients."<sup>93</sup> Indeed, damage to the GI tract has been observed to result in the luminal translocation of microbial products such as lipopolysaccharide (LPS), peptidoglycan, bacterial CpG DNA, flagellae, and viral genomes: molecules that can directly stimulate the innate immune system through Toll-like receptors. This process, termed microbial translocation, has been observed in numerous diseases, including ulcerative colitis and Crohn's disease,<sup>94</sup> graft-versus-host disease,<sup>95</sup> and hepatitis<sup>96</sup> as well as after abdominal surgeries<sup>97</sup> and in pancreatitis.<sup>98</sup> Importantly,

in many of these situations the microbial translocation is associated not only with local inflammation of the gut microenvironment but also with systemic immune activation.<sup>99</sup>

In light of these findings, we recently investigated whether microbial translocation occurs in HIV infection and, if so, whether it is associated with chronic systemic immune activation.<sup>100</sup> The degree of microbial translocation has typically been assessed by quantitative measurement of LPS in plasma. Indeed, we found significantly increased levels of plasma LPS in chronically HIV-infected individuals compared with uninfected individuals, which provides evidence for microbial translocation. The increased levels of LPS were associated with increased levels of soluble CD14 and LPS-binding protein and decreased levels of antibodies directed against LPS core antigen, pointing to the bioactivity of LPS *in vivo*. Moreover, we found an association between LPS levels and both the frequency of memory CD8 T cells with an activated phenotype and the levels of the proinflammatory cytokine IFN- $\alpha$ . Importantly, neither of these measures of activation can be directly attributed to LPS. These findings suggest that plasma LPS, in addition to their potent immunostimulatory activity through Toll-like receptor-4, are also indicators of the translocation of additional microbial products that stimulate the immune system through other receptors. Indeed, we have also found increased levels of bacterial peptidoglycan in the plasma of HIV-infected individuals.<sup>101</sup> LPS levels decreased after the initiation of HAART, but they remained elevated twofold compared with those found in uninfected individuals; moreover, high plasma LPS levels detected in HAART-treated individuals were associated with poor CD4 T-cell reconstitution in peripheral blood, consistent with the finding that current HAART regimens allow for only partial repair of the GI damage that results from HIV-infection. Finally, while pathogenic SIV infection of rhesus macaques is also associated with microbial translocation and immune activation, nonpathogenic SIV infection of sooty mangabeys—which typically lack high levels of immune activation even in the presence of high viral loads and do not progress to AIDS—is not associated with raised plasma LPS levels. This suggests that even though these animals are infected with SIV, they somehow maintain mucosal integrity and avert the deleterious consequences of microbial translocation and systemic immune activation.

## CONCLUDING REMARKS

Pathological changes to the GI tract have long been known to be a characteristic feature of HIV infection. Recent studies have provided mechanistic insights into the underlying causes of HIV enteropathy and CD4 T-cell depletion, but additional studies are certainly warranted to further our understanding of the long-term consequences of the assault on the GI tract and the effects of HAART. Although the structural and immunological damage to the mucosae occurs very rapidly during the acute phase of infection, HIV-infected individuals do not succumb to opportunistic infections for years—not until peripheral blood CD4 T cells become depleted below 200 CD4 T cells per microliter of blood and mucosal CD4 T cells drop below an as yet undefined threshold.<sup>43</sup> Recent data have shown that the devastation to the

GI tract leads to microbial translocation, which is associated with immune activation<sup>100</sup> and, by inference, disease progression<sup>91</sup>; however, the relative contribution of microbial translocation and other factors to immune activation is not completely understood. Moreover the effectiveness of modulating Toll-like receptor-mediated immune activation therapeutically is a tempting although as yet unknown avenue to pursue.

In summary, the GI tract is a site of massive CD4 T-cell depletion and viral infection, enterocyte apoptosis, disruption of tight epithelial junctions, and lymphoid tissue fibrosis. Hence HIV infection could quite reasonably be considered a disease of the GI tract. Our new understandings in this regard have pointed to new therapeutic directions: the aim would be to prevent or reduce the propagation of HIV at mucosal surfaces<sup>35,102</sup> and to restore the immunological and epithelial integrity of the mucosal barrier.<sup>35</sup>

## REFERENCES

- Barré-Sinoussi, F. *et al.* Isolation of a T-lymphotropic retrovirus from a patient at risk for acquired immune deficiency syndrome (AIDS). *Science* **220**, 868–871 (1983).
- Gallo, R.C. *et al.* Isolation of human T-cell leukemia virus in acquired immune deficiency syndrome (AIDS). *Science* **220**, 865–867 (1983).
- Kotler, D.P., Gaetz, H.P., Lange, M., Klein, E.B. & Holt, P.R. Enteropathy associated with the acquired immunodeficiency syndrome. *Ann. Intern. Med.* **101**, 421–428 (1984).
- Kapembwa, M.S. *et al.* Altered small-intestinal permeability associated with diarrhoea in human immunodeficiency-virus-infected Caucasian and African subjects. *Clin. Sci. (Lond.)* **81**, 327–334 (1991).
- Bjarnason, I. *et al.* Intestinal inflammation, ileal structure and function in HIV. *AIDS* **10**, 1385–1391 (1996).
- Sharpstone, D. *et al.* Small intestinal transit, absorption, and permeability in patients with AIDS with and without diarrhoea. *Gut* **45**, 70–76 (1999).
- Batman, P.A. *et al.* Jejunal enteropathy associated with human immunodeficiency virus infection: quantitative histology. *J. Clin. Pathol.* **42**, 275–281 (1989).
- Heise, C., Miller, C.J., Lackner, A. & Dandekar, S. Primary acute simian immunodeficiency virus infection of intestinal lymphoid tissue is associated with gastrointestinal dysfunction. *J. Infect. Dis.* **169**, 1116–1120 (1994).
- Batman, P.A. *et al.* HIV enteropathy: crypt stem and transit cell hyperproliferation induces villous atrophy in HIV/Microsporidia-infected jejunal mucosa. *AIDS* **21**, 433–439 (2007).
- Heise, C. *et al.* Human immunodeficiency virus infection of enterocytes and mononuclear cells in human jejunal mucosa. *Gastroenterology* **100**, 1521–1527 (1991).
- Fleming, S.C., Kapembwa, M.S., MacDonald, T.T. & Griffin, G.E. Direct in vitro infection of human intestine with HIV-1. *AIDS* **6**, 1099–1104 (1992).
- Asmuth, D.M., Hammer, S.M. & Wanke, C.A. Physiological effects of HIV infection on human intestinal epithelial cells: an in vitro model for HIV enteropathy. *AIDS* **8**, 205–211 (1994).
- Canani, R.B. *et al.* Inhibitory effect of HIV-1 Tat protein on the sodium-D-glucose symporter of human intestinal epithelial cells. *AIDS* **20**, 5–10 (2006).
- Maresca, M. *et al.* The virotoxin model of HIV-1 enteropathy: involvement of GPR15/Bob and galactosylceramide in the cytopathic effects induced by HIV-1 gp120 in the HT-29-D4 intestinal cell line. *J. Biomed. Sci.* **10**, 156–166 (2003).
- Clayton, F. *et al.* Gp120-induced Bob/GPR15 activation: a possible cause of human immunodeficiency virus enteropathy. *Am. J. Pathol.* **159**, 1933–1939 (2001).
- Batman, P.A., Fleming, S.C., Sedgwick, P.M., MacDonald, T.T. & Griffin, G.E. HIV infection of human fetal intestinal explant cultures induces epithelial cell proliferation. *AIDS* **8**, 161–167 (1994).
- Kotler, D.P. *et al.* Effect of combination antiretroviral therapy upon rectal mucosal HIV RNA burden and mononuclear cell apoptosis. *AIDS* **12**, 597–604 (1998).
- Clayton, F., Kapetanovic, S. & Kotler, D.P. Enteric microtubule depolymerization in HIV infection: a possible cause of HIV-associated enteropathy. *AIDS* **15**, 123–124 (2001).
- MacDonald, T.T. & Spencer, J. The role of activated T cells in transformed intestinal mucosa. *Digestion* **46** Suppl 2, 290–296 (1990).
- Ciccocioppo, R. *et al.* Increased enterocyte apoptosis and Fas-Fas ligand system in celiac disease. *Am. J. Clin. Pathol.* **115**, 494–503 (2001).
- Ferreira, R.C. *et al.* Changes in the rate of crypt epithelial cell proliferation and mucosal morphology induced by a T-cell-mediated response in human small intestine. *Gastroenterology* **98**, 1255–1263 (1990).
- Kam, L.Y. & Targan, S.R. Cytokine-based therapies in inflammatory bowel disease. *Curr. Opin. Gastroenterol.* **15**, 302 (1999).
- Targan, S.R. *et al.* A short-term study of chimeric monoclonal antibody cA2 to tumor necrosis factor alpha for Crohn's disease. Crohn's Disease cA2 Study Group. *N. Engl. J. Med.* **337**, 1029–1035 (1997).
- Olsson, J. *et al.* Human immunodeficiency virus type 1 infection is associated with significant mucosal inflammation characterized by increased expression of CCR5, CXCR4, and beta-chemokines. *J. Infect. Dis.* **182**, 1625–1635 (2000).
- McGowan, I. *et al.* Increased HIV-1 mucosal replication is associated with generalized mucosal cytokine activation. *J. Acquir. Immune Defic. Syndr.* **37**, 1228–1236 (2004).
- Clayton, F. *et al.* Rectal mucosal pathology varies with human immunodeficiency virus antigen content and disease stage. *Gastroenterology* **103**, 919–933 (1992).
- Kotler, D.P., Reka, S. & Clayton, F. Intestinal mucosal inflammation associated with human immunodeficiency virus infection. *Dig. Dis. Sci.* **38**, 1119–1127 (1993).
- Kotler, D.P. Characterization of intestinal disease associated with human immunodeficiency virus infection and response to antiretroviral therapy. *J. Infect. Dis.* **179** Suppl 3, S454–S456 (1999).
- Lefrançois, L. & Puddington, L. Intestinal and pulmonary mucosal T cells: local heroes fight to maintain the status quo. *Annu. Rev. Immunol.* **24**, 681–704 (2006).
- Rodgers, V.D., Fassett, R. & Kagnoff, M.F. Abnormalities in intestinal mucosal T cells in homosexual populations including those with the lymphadenopathy syndrome and acquired immunodeficiency syndrome. *Gastroenterology* **90**, 552–558 (1986).
- Ullrich, R., Zeitz, M. & Riecken, E.O. Enteric immunologic abnormalities in human immunodeficiency virus infection. *Semin. Liver Dis.* **12**, 167–174 (1992).
- Clayton, F., Snow, G., Reka, S. & Kotler, D.P. Selective depletion of rectal lamina propria rather than lymphoid aggregate CD4 lymphocytes in HIV infection. *Clin. Exp. Immunol.* **107**, 288–292 (1997).
- Veazey, R.S. *et al.* Gastrointestinal tract as a major site of CD4+ T cell depletion and viral replication in SIV infection. *Science* **280**, 427–431 (1998).
- Kewenig, S. *et al.* Rapid mucosal CD4+ T-cell depletion and enteropathy in simian immunodeficiency virus-infected rhesus macaques. *Gastroenterology* **116**, 1115–1123 (1999).
- George, M.D., Reay, E., Sankaran, S. & Dandekar, S. Early antiretroviral therapy for simian immunodeficiency virus infection leads to mucosal CD4+ T-cell restoration and enhanced gene expression regulating mucosal repair and regeneration. *J. Virol.* **79**, 2709–2719 (2005).
- Lim, S.G. *et al.* Loss of mucosal CD4 lymphocytes is an early feature of HIV infection. *Clin. Exp. Immunol.* **92**, 448–454 (1993).
- Schneider, T. *et al.* Loss of CD4 T lymphocytes in patients infected with human immunodeficiency virus type 1 is more pronounced in the duodenal mucosa than in the peripheral blood. Berlin Diarrhea/Wasting Syndrome Study Group. *Gut* **37**, 524–529 (1995).
- Smit-McBride, Z., Mattapallil, J.J., McChesney, M., Ferrick, D. & Dandekar, S. Gastrointestinal T lymphocytes retain high potential for cytokine responses but have severe CD4+ T-cell depletion at all stages of simian immunodeficiency virus infection compared to peripheral lymphocytes. *J. Virol.* **72**, 6646–6656 (1998).
- Guadalupe, M. *et al.* Severe CD4+ T-cell depletion in gut lymphoid tissue during primary human immunodeficiency virus type 1 infection and substantial delay in restoration following highly active antiretroviral therapy. *J. Virol.* **77**, 11708–11717 (2003).
- Shacklett, B.L. *et al.* Trafficking of human immunodeficiency virus type 1-specific CD8+ T cells to gut-associated lymphoid tissue during chronic infection. *J. Virol.* **77**, 5621–5631 (2003).
- Mehandru, S. *et al.* Primary HIV-1 infection is associated with preferential depletion of CD4+ T lymphocytes from effector sites in the gastrointestinal tract. *J. Exp. Med.* **200**, 761–770 (2004).
- Brenchley, J.M. *et al.* CD4+ T cell depletion during all stages of HIV disease occurs predominantly in the gastrointestinal tract. *J. Exp. Med.* **200**, 749–



- 759 (2004).
43. Picker, L.J. *et al.* Insufficient production and tissue delivery of CD4+ memory T cells in rapidly progressive simian immunodeficiency virus infection. *J. Exp. Med.* **200**, 1299–1314 (2004).
  44. Schuitemaker, H. *et al.* Biological phenotype of human immunodeficiency virus type 1 clones at different stages of infection: progression of disease is associated with a shift from monocytopathic to T-cell tropic virus populations. *J. Virol.* **66**, 1354–1360 (1992).
  45. Poles, M.A., Elliott, J., Taing, P., Anton, P.A. & Chen, I.S. A preponderance of CCR5(+) CXCR4(+) mononuclear cells enhances gastrointestinal mucosal susceptibility to human immunodeficiency virus type 1 infection. *J. Virol.* **75**, 8390–8399 (2001).
  46. Lapenta, C. *et al.* Human intestinal lamina propria lymphocytes are naturally permissive to HIV-1 infection. *Eur. J. Immunol.* **29**, 1202–1208 (1999).
  47. Li, Q. *et al.* Peak SIV replication in resting memory CD4+ T cells depletes gut lamina propria CD4+ T cells. *Nature* **434**, 1148–1152 (2005).
  48. Heise, C., Vogel, P., Miller, C.J., Halsted, C.H. & Dandekar, S. Simian immunodeficiency virus infection of the gastrointestinal tract of rhesus macaques. Functional, pathological, and morphological changes. *Am. J. Pathol.* **142**, 1759–1771 (1993).
  49. Smith, P.D., Fox, C.H., Masur, H., Winter, H.S. & Alling, D.W. Quantitative analysis of mononuclear cells expressing human immunodeficiency virus type 1 RNA in esophageal mucosa. *J. Exp. Med.* **180**, 1541–1546 (1994).
  50. Chun, T.W. *et al.* Quantification of latent tissue reservoirs and total body viral load in HIV-1 infection. *Nature* **387**, 183–188 (1997).
  51. Douek, D.C. *et al.* HIV preferentially infects HIV-specific CD4+ T-cells. *Nature* **417**, 95–98 (2002).
  52. Brechley, J.M. *et al.* T-cell subsets that harbor human immunodeficiency virus (HIV) in vivo: implications for HIV pathogenesis. *J. Virol.* **78**, 1160–1168 (2004).
  53. Mattapallil, J.J. *et al.* Massive infection and loss of memory CD4+ T cells in multiple tissues during acute SIV infection. *Nature* **434**, 1093–1097 (2005).
  54. Mehndru, S. *et al.* Mechanisms of Gastrointestinal CD4+ T-cell depletion during acute and early human immunodeficiency virus type 1 infection. *J. Virol.* **81**, 599–612 (2007).
  55. Sankaran, S. *et al.* Gut mucosal T cell responses and gene expression correlate with protection against disease in long-term HIV-1-infected nonprogressors. *Proc. Natl. Acad. Sci. USA* **102**, 9860–9865 (2005).
  56. Smith, P.D., Ochsenbauer-Jambor, C. & Smythies, L.E. Intestinal macrophages: unique effector cells of the innate immune system. *Immunol. Rev.* **206**, 149–159 (2005).
  57. Goodman, T. & Lefrançois, L. Intraepithelial lymphocytes. Anatomical site, not T cell receptor form, dictates phenotype and function. *J. Exp. Med.* **170**, 1569–1581 (1989).
  58. Ayabe, T. *et al.* Secretion of microbicidal alpha-defensins by intestinal Paneth cells in response to bacteria. *Nat. Immunol.* **1**, 113–118 (2000).
  59. Nakashima, H., Yamamoto, N., Masuda, M. & Fujii, N. Defensins inhibit HIV replication in vitro. *AIDS* **7**, 1129 (1993).
  60. Koup, R.A. *et al.* Temporal association of cellular immune response with the initial control of viremia in primary HIV-1 syndrome. *J. Virol.* **68**, 4650–4655 (1994).
  61. Price, D.A. *et al.* Positive selection of HIV-1 cytotoxic T lymphocyte escape variants during primary infection. *Proc. Natl. Acad. Sci. USA* **94**, 1890–1895 (1997).
  62. Jin, X. *et al.* Dramatic rise in plasma viremia after CD8(+) T cell depletion in simian immunodeficiency virus-infected macaques. *J. Exp. Med.* **189**, 991–998 (1999).
  63. Shacklett, B.L. *et al.* Optimization of methods to assess human mucosal T-cell responses to HIV infection. *J. Immunol. Methods* **279**, 17–31 (2003).
  64. Critchfield, J.W. *et al.* Multifunctional HIVgag specific CD8+ T-cell responses in rectal mucosa and PBMC during chronic HIV-1 infection. *J. Virol.* **81**, 5460–5471 (2007).
  65. Schmitz, J.E. *et al.* Simian immunodeficiency virus (SIV)-specific cytotoxic T lymphocytes in gastrointestinal tissues of chronically SIV-infected rhesus monkeys. *Blood* **98**, 3757–3761 (2001).
  66. Betts, M.R. *et al.* HIV nonprogressors preferentially maintain highly functional HIV-specific CD8+ T-cells. *Blood* **107**, 4781–4789 (2006).
  67. Hel, Z. *et al.* Impairment of gag-specific CD8(+) T-cell function in mucosal and systemic compartments of simian immunodeficiency virus mac251- and simian-human immunodeficiency virus KU2-infected macaques. *J. Virol.* **75**, 11483–11495 (2001).
  68. Stevceva, L. *et al.* Both mucosal and systemic routes of immunization with the live, attenuated NYVAC/simian immunodeficiency virus SIV(gpe) recombinant vaccine result in gag-specific CD8(+) T-cell responses in mucosal tissues of macaques. *J. Virol.* **76**, 11659–11676 (2002).
  69. Belyakov, I.M. *et al.* Impact of vaccine-induced mucosal high-avidity CD8+ CTLs in delay of AIDS viral dissemination from mucosa. *Blood* **107**, 3258–3264 (2006).
  70. Veazey, R.S. *et al.* Prevention of virus transmission to macaque monkeys by a vaginally applied monoclonal antibody to HIV-1 gp120. *Nat. Med.* **9**, 343–346 (2003).
  71. Devito, C. *et al.* Mucosal and plasma IgA from HIV-1-exposed uninfected individuals inhibit HIV-1 transcytosis across human epithelial cells. *J. Immunol.* **165**, 5170–5176 (2000).
  72. Broliden, K. *et al.* Functional HIV-1 specific IgA antibodies in HIV-1 exposed, persistently IgG seronegative female sex workers. *Immunol. Lett.* **79**, 29–36 (2001).
  73. Dorrell, L. *et al.* Absence of specific mucosal antibody responses in HIV-exposed uninfected sex workers from the Gambia. *AIDS* **14**, 1117–1122 (2000).
  74. Barassi, C., Lazzarin, A. & Lopalco, L. CCR5-specific mucosal IgA in saliva and genital fluids of HIV-exposed seronegative subjects. *Blood* **104**, 2205–2206 (2004).
  75. Bomsel, M. *et al.* Natural mucosal antibodies reactive with first extracellular loop of CCR5 inhibit HIV-1 transport across human epithelial cells. *AIDS* **21**, 13–22 (2007).
  76. Mestecky, J. *et al.* Paucity of antigen-specific IgA responses in sera and external secretions of HIV-type 1-infected individuals. *AIDS Res. Hum. Retroviruses* **20**, 972–988 (2004).
  77. Smith, K. *et al.* Long-term changes in circulating CD4 T lymphocytes in virologically suppressed patients after 6 years of highly active antiretroviral therapy. *AIDS* **18**, 1953–1956 (2004).
  78. Miao, Y.M. *et al.* Elevated mucosal addressin cell adhesion molecule-1 expression in acquired immunodeficiency syndrome is maintained during antiretroviral therapy by intestinal pathogens and coincides with increased duodenal CD4 T cell densities. *J. Infect. Dis.* **185**, 1043–1050 (2002).
  79. Mehndru, S. *et al.* Lack of mucosal immune reconstitution during prolonged treatment of acute and early HIV-1 infection. *PLoS Med.* **3**, e484 (2006).
  80. Guadalupe, M. *et al.* Viral suppression and immune restoration in the gastrointestinal mucosa of human immunodeficiency virus type 1-infected patients initiating therapy during primary or chronic infection. *J. Virol.* **80**, 8236–8247 (2006).
  81. Talal, A.H. *et al.* Virologic and immunologic effect of antiretroviral therapy on HIV-1 in gut-associated lymphoid tissue. *J. Acquir. Immune Defic. Syndr.* **26**, 1–7 (2001).
  82. Srinivas, R.V., Middlemas, D., Flynn, P. & Fridland, A. Human immunodeficiency virus protease inhibitors serve as substrates for multidrug transporter proteins MDR1 and MRP1 but retain antiviral efficacy in cell lines expressing these transporters. *Antimicrob. Agents Chemother.* **42**, 3157–3162 (1998).
  83. Thiebaut, F. *et al.* Cellular localization of the multidrug-resistance gene product P-glycoprotein in normal human tissues. *Proc. Natl. Acad. Sci. USA* **84**, 7735–7738 (1987).
  84. Schacker, T.W. *et al.* Lymphatic tissue fibrosis is associated with reduced numbers of naive CD4+ T cells in human immunodeficiency virus type 1 infection. *Clin. Vaccine Immunol.* **13**, 556–560 (2006).
  85. Estes, J.D. *et al.* Simian immunodeficiency virus-induced lymphatic tissue fibrosis is mediated by transforming growth factor beta 1-positive regulatory T cells and begins in early infection. *J. Infect. Dis.* **195**, 551–561 (2007).
  86. Schacker, T.W. *et al.* Amount of lymphatic tissue fibrosis in HIV infection predicts magnitude of HAART-associated change in peripheral CD4 cell count. *AIDS* **19**, 2169–2171 (2005).
  87. Estes, J.D. *et al.* CD4 Reconstitution of Lymphoid Tissues Is Dependent on Earlier Initiation of HAART. Conference on Retroviruses and Opportunistic Infection, 27 Feb 2007, Los Angeles, CA.
  88. Lane, H.C. *et al.* Abnormalities of B-cell activation and immunoregulation in patients with the acquired immunodeficiency syndrome. *N. Eng. J. Med.* **309**, 453–458 (1983).
  89. Hellerstein, M. *et al.* Directly measured kinetics of circulating T lymphocytes in normal and HIV-1-infected humans. *Nat. Med.* **5**, 83–89 (1999).
  90. Hazenberg, M.D. *et al.* T-cell division in human immunodeficiency virus (HIV)-1 infection is mainly due to immune activation: a longitudinal analysis in patients before and during highly active antiretroviral therapy (HAART). *Blood* **95**, 249–255 (2000).
  91. Giorgi, J.V. *et al.* Shorter survival in advanced human immunodeficiency virus type 1 infection is more closely associated with T lymphocyte activation than

- with plasma virus burden or virus chemokine coreceptor usage. *J. Infect. Dis.* **179**, 859–870 (1999).
92. Duh, E.J., Maury, W.J., Folks, T.M., Fauci, A.S. & Rabson, A.B. Tumor necrosis factor alpha activates human immunodeficiency virus type 1 through induction of nuclear factor binding to the NF-kappa B sites in the long terminal repeat. *Proc. Natl. Acad. Sci. USA* **86**, 5974–5978 (1989).
93. Stein, T.P. *et al.* Weight loss, the gut and the inflammatory response in AIDS patients. *Cytokine* **9**, 143–147 (1997).
94. Caradonna, L. *et al.* Enteric bacteria, lipopolysaccharides and related cytokines in inflammatory bowel disease: biological and clinical significance. *J. Endotoxin Res.* **6**, 205–214 (2000).
95. Cooke, K.R., Olkiewicz, K., Erickson, N. & Ferrara, J.L. The role of endotoxin and the innate immune response in the pathophysiology of acute graft versus host disease. *J. Endotoxin Res.* **8**, 441–448 (2002).
96. Caradonna, L. *et al.* Biological and clinical significance of endotoxemia in the course of hepatitis C virus infection. *Curr. Pharm. Des.* **8**, 995–1005 (2002).
97. Buttenschoen, K. *et al.* Endotoxemia and acute-phase proteins in major abdominal surgery. *Am. J. Surg.* **181**, 36–43 (2001).
98. Penalva, J.C. *et al.* A study of intestinal permeability in relation to the inflammatory response and plasma endocab IgM levels in patients with acute pancreatitis. *J. Clin. Gastroenterol.* **38**, 512–517 (2004).
99. Smale, S., Tibble, J. & Bjarnason, I. Small intestinal permeability. *Curr. Opin. Gastroenterol.* **16**, 134–139 (2000).
100. Brenchley, J.M. *et al.* Microbial translocation is a cause of systemic immune activation in chronic HIV infection. *Nat. Med.* **12**, 1365–1371 (2006).
101. Brenchley, J.M. *et al.* Microbial translocation is a cause of systemic immune activation in chronic HIV infection. Keystone Symposium, 27 March 2007, Whistler, Canada.
102. Veazey, R.S. *et al.* Protection of macaques from vaginal SHIV challenge by vaginally delivered inhibitors of virus-cell fusion. *Nature* **438**, 99–102 (2005).
103. Mowat, A.M. Anatomical basis of tolerance and immunity to intestinal antigens. *Nat. Rev. Immunol.*, 3 331–341 (2003)