# Accelerated atherosclerosis development in C57Bl6 mice by overexpressing AAV-mediated PCSK9 and partial carotid ligation

Sandeep Kumar, Dong-Won Kang, Amir Rezvan and Hanjoong Jo

Studying the role of a particular gene in atherosclerosis typically requires a time-consuming and often difficult process of generating double knockouts or transgenics on ApoE<sup>-/-</sup> or LDL receptor (LDLR)<sup>-/-</sup> background. Recently, it was reported that adeno-associated-virus-8 (AAV8)-mediated overexpression of PCSK9 (AAV8-PCSK9) rapidly induced hyperlipidemia. However, using this method in C57BL6 wild-type (C57) mice, it took ~3 months to develop atherosclerosis. Our partial carotid ligation model is used to rapidly develop atherosclerosis by inducing disturbed flow in the left common carotid artery within 2 weeks in ApoE<sup>-/-</sup> or LDLR<sup>-/-</sup> mice. Here, we combined these two approaches to develop an accelerated model of atherosclerosis in C57 mice. C57 mice were injected with AAV9-PCSK9 or AAV9-luciferase (control) and high-fat diet was initiated. A week later, partial ligation was performed. Compared to the control, AAV-PCSK9 led to elevated serum PCSK9, hypercholesterolemia, and rapid atherosclerosis development within 3 weeks as determined by gross plaque imaging, and staining with Oil-Red-O, Movat's pentachrome, and CD45 antibody. These plaque lesions were comparable to the atherosclerotic lesions that have been previously observed in ApoE<sup>-/-</sup> or LDLR<sup>-/-</sup> mice that were subjected to partial carotid ligation and high-fat diet. Next, we tested whether our method can be utilized to rapidly determine the role of a particular gene in atherosclerosis. Using eNOS<sup>-/-</sup> and NOX1<sup>-/y</sup> mice on C57 background, we found that the eNOS<sup>-/-</sup> mice developed more advanced lesions, while the NOX1<sup>-/y</sup> mice developed less atherosclerotic lesions as compared to the C57 controls. These results are consistent with the previous findings using double knockouts (eNOS<sup>-/-</sup> ApoE<sup>-/-</sup> and NOX1<sup>-/y</sup>\_ApoE<sup>-/-</sup>). AAV9-PCSK9 injection followed by partial carotid ligation is an effective and time-saving approach to rapidly induce atherosclerosis. This accelerated model is well-suited to guickly determine the role of gene(s) interest without generating double or triple knockouts.

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Atherosclerosis is a multifactorial disease that is one of the leading cause of death worldwide.<sup>1</sup> Although multiple systemic factors such as hypercholesterolemia, diabetes, hypertension, and smoking are well-known risk factors; atherosclerosis occurs preferentially in the branched or curved arterial regions exposed to disturbed blood flow (d-flow),<sup>2</sup> while the straight arterial regions exposed to high and stable shear stress remain protected from atherosclerosis.<sup>3</sup> Previously, we developed a mouse model of d-flow-induced atherosclerosis by partially ligating the left carotid artery (LCA) of ApoE<sup>-/-</sup> mouse, directly demonstrating the role of d-flow in atherosclerosis.<sup>4,5</sup> In this model, partial carotid ligation causes induction of d-flow in the LCA that rapidly leads to development of atherosclerosis within 2 weeks

following partial ligation, while the contralateral, undisturbed right common carotid artery (RCA) remains healthy and plaque-free. This model has been used as accelerated model of atherosclerosis development, but it still requires the use of  $ApoE^{-/-}$  or LDL receptor (LDLR)<sup>-/-</sup> animals as C57BL6 wild type (C57) fails to develop noticeable atherosclerosis.<sup>4-6</sup>

To determine the mechanisms of atherosclerosis, one of the most widely used approaches is to use genetically modified animal models such as the  $ApoE^{-/-}$  or  $LDLR^{-/-}$  mice.<sup>7–13</sup> In addition, to study the role of a 'gene of interest' in atherosclerosis, it requires the generation of double knockouts or transgenics by cross-breeding a genetically engineered mouse line to  $ApoE^{-/-}$  or  $LDLR^{-/-}$  background. Generating these mice is one of the most challenging, time-consuming,

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Wallace H. Coulter Department of Biomedical Engineering, Georgia Institute of Technology and Emory University, Atlanta, GA, USA

Correspondence: Professor H Jo, Wallace H. Coulter Department of Biomedical Engineering, Georgia Institute of Technology and Emory University, 1760 Haygood Drive, Health Science Research Building, E 170, Atlanta, GA 30322, USA. E-mail: hanjoong.jo@bme.gatech.edu

and costly steps. Often times, requirement of specific cell type-targeted overexpression or deletion of a gene and/or requirement of inducible expression using Cre-LoxP or similar system requires generating triple knockouts. These additional steps further increase the difficulties and result in subsequent delays in performing atherosclerosis studies. Recently, an alternative method, using a recombinant adeno-associated-virus (AAV) encoding PCSK9, was introduced that can result in atherosclerosis development without using ApoE<sup>-/-</sup> or LDLR<sup>-/-</sup> mice.<sup>14</sup>

PCSK9 is involved in cholesterol metabolism and atherosclerosis development, and its inhibitors are now used as new, cholesterol-lowering drugs for patients.<sup>15-24</sup> PCSK9 reduces hepatic uptake of LDL by increasing the lysosomal degradation of LDLRs, thereby generating an LDLR<sup>-/-</sup>-like phenotype.<sup>25</sup> Recent studies showed that mice deficient for PCSK9 protein have low plasma LDL cholesterol levels and are protected against atherosclerosis development.<sup>26-28</sup> In contrast, transgenic mice overexpressing gain-of-function mutants of PCSK9 developed hypercholesterolemia and atherosclerosis.<sup>25,29–31</sup> More recently, Bjorklund et al<sup>14</sup> developed the recombinant AAV8 expressing the gain-offunction mutants of PCSK9 (AAV8-PCSK9). A single injection of AAV8-PCSK9 into C57 mice resulted in significant hypercholesterolemia and subsequent atherosclerosis development within 3 months, introducing a convenient alternative to inducing hypercholesterolemia and atherosclerosis without the need of germ line knockout of ApoE or LDLR.<sup>14</sup>

Here, we combined our partial carotid ligation model and AAV-PCSK9 method to develop an accelerated model of atherosclerosis in a flow- and hypercholesterolemia-dependent manner using C57 mice. Using this method, we show that atherosclerosis rapidly develops in the LCA within 3 weeks following partial carotid ligation and AAV-PCSK9 injection. We further demonstrate the proof-of-principle using  $eNOS^{-/-}$  and  $NOX1^{-/y}$  on C57 background studying the role of each gene in atherosclerosis development can be achieved without generating the double knockouts using  $ApoE^{-/-}$  or  $LDLR^{-/-}$  mice.

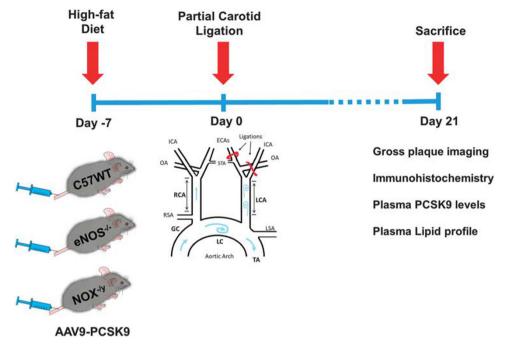
## MATERIALS AND METHODS AAV Vector Production and Purification

## The gain-of-function murine PCSK9 mutant plasmid (pAAV/ D377Y-mPCSK9) was a gift from Jacob Bentzon (Addgene plasmid # 58376).<sup>14</sup> Recombinant AAV serotype-9 expressing

plasmid # 58376).<sup>14</sup> Recombinant AAV serotype-9 expressing the PCSK9 mutant under the hepatic control regionapolipoprotein enhancer/alpha1-antitrypsin, a liver-specific promoter (AAV9-HCRApoE/hAAT-D377Y-mPCSK9) was produced by the Emory Viral Vector Core at Emory University. Briefly, AAV plasmids were cloned and propagated in the DH5 $\alpha$  Escherichia coli strain (Life Technologies). Shuttle plasmid pAAV-D377Y-mPCSK9 was packaged into capsids AAV9, using helper plasmids p-helper (providing the three adenoviral helper genes) and plasmid pAAV2/9 (providing rep and cap viral genes). The amplified AAV shuttle and helper plasmids were co-transfected into HEK 293T cells by polyethylenimine (PEI). A total of  $420 \,\mu g$ plasmid DNA (mixed in an equimolar ratio) was used for  $20 \times 150$  mm plates (Corning) seeded with  $1 \times 10^7$  cells per plate the day before. Seventy-two hours after transfection, cell culture media and transfected cells were collected separately. Forty percent polyethylene glycol (PEG) in 2.5 N NaCl was added to the supernatant to a final concentration of 8%, and incubated on ice for 2 h. The cell pellet was suspended in 14 ml of lysis buffer (50 mM Tris-Cl, 150 mM NaCl and 2 mM MgCl<sub>2</sub>) and stored at 4 °C. Following the 2 h incubation, the supernatant was centrifuged at 2500 g for 30 min at 4 °C to pellet the PEG-precipitated virus. The cell lysate and pelleted supernatant precipitate were combined, and then treated with 750  $\mu$ l of 10% sodium deoxycholate and benzonase, and three freeze-thaw cycles between - 80 and 37 °C. Cell debris was pelleted by spinning at 12 000 g for 30 min at 4 °C. The lysate was purified by iodixanol gradient centrifuge followed by using an Amicon 15 100 000 MWCO concentration unit. The virus was aliquoted and stored at - 80 °C. The vector genome copies (VG) were determined by qPCR using Brilliant III Ultra-Fast SYBRgreen qPCR Master Mix. The viral DNA was extracted from  $1 \mu l$  of purified virus and was treated with 0.5 U DNase I to digest any contaminating unpackaged DNA, followed by an additional  $10 \,\mu g$  proteinase K treatment to assist in breaking capsids and releasing viral DNA. qPCR was run in Applied Biosystems Mx3000P with primers for the ITRs common to AAV transfer vector plasmids: forward primer 5'-GGAACCCCTAGTGA TGGAGTT-3' and reverse primer 5'-CGGCCTCAGTGAGC GA-3'; set with a program: 95 °C 10 min, then cycled 40 times at 95 °C for 15 s, 60 °C for 30 s, and 72 °C for 30 s. To generate a standard curve, a rAAV-GFP plasmid was used in serial dilutions from  $1 \times 10^7$  to  $1 \times 10^3$  genome copies, performed in triplicate. In addition, high-titer recombinant AAV8 expressing the PCSK9 mutant and recombinant AAV9 expressing firefly luciferase, respectively, under the liver-specific promoter (rAAV8-D377Y-mPCSK9 and rAAV9-HCRApoE/hAAT-Luc) were purchased from Vector BioLabs (Malvern, PA).

## **Mouse Studies**

Mice were maintained and cared for in accordance to the National Institutes of Health (NIH) guidelines in our AAALAC-accredited experimental animal facility under controlled environment  $(21 \pm 2 \,^{\circ}C, 50 \pm 10\%$  relative humidity, and a 12 h light:12 h dark cycle with lights on at 0700 h EST). All mouse studies performed here were approved by the Institutional Animal Care and Use Committee at Emory University, and were in accordance with the established guidelines and regulations consistent with federal assurance. C57BL/6J mice (cat #000664), ApoE null (B6.129P2-Apoetm1Unc/J; cat #002052), eNOS KO (B6.129P2-Nos3tm1Unc/J; cat #002684), and NOX1 knockout (Nox1tm1K<sup>kr</sup>; cat #018787) were purchased from Jackson Laboratory (Bar



**Figure 1** Overall study design and work flow. Mice were injected with AAV9-PCSK9 ( $1 \times 10^{11}$  VG per mouse) once via tail vein injection and fed a high-fat diet for the entire duration of the study. Partial carotid ligation was performed 1 week after the injection. Three weeks post ligation, animals were killed, carotid arteries were explanted, and atherosclerotic plaques were quantified.

Harbor, ME, USA), and housed at the Emory University animal facility. These mice were fed *ad libitum* with standard chow diet until a week before the surgery at 10 weeks of age.

Mice were injected once with AAV-PCSK9  $(1 \times 10^{11} \text{ VG})$ , AAV-Luc  $(1 \times 10^{11} \text{ VG})$ , or saline via tail vein (Figure 1), and fed a high-fat diet (16% fat and 1.25% cholesterol, Research Diets, cat # D12336, New Brunswick, NJ, USA). A week after AAV-PCSK9 injection, mice were subjected to partial carotid ligation surgery as we previously described<sup>4,5</sup> and high-fat diet was continued for another 3 weeks (Figure 1). Finally, ultrasonography was performed to determine the luminal flow and the animals were killed. Blood was collected from inferior vena cava using a heparinized syringe fitted with a 25 G needle. In some control studies, C57 mice were injected with AAV-PCSK9 or control (AAV-Luc), and fed a high fat for 3 months without the partial carotid ligation surgery. Aortic arch and carotids were collected, and gross macroscopic images were acquired as we previously reported.<sup>32-34</sup> For molecular histology studies, the carotids were fixed with formalin and aortic roots, and arches were embedded in OCT compound and stored at - 80 °C until use.

#### In vivo Analysis of Bioluminescence

For the bioluminescence study, C57 mice (n=5) were injected intravenously through the tail vein with AAV-Luc  $(1.0 \times 10^{11} \text{ VG per mouse})$  and saline was used as control (n=3). Bioluminescence was analyzed 7 days later using the *In-Vivo* Xtreme imaging station (Bruker). Mice were anesthetized with 3.75% isoflurane and then injected intraperitoneally with luciferin (150 mg/kg body weight, Caliper LifeSciences).<sup>35</sup> Isoflurane anesthesia was maintained at 1.5% while bioluminescence was analyzed. Images were acquired 10 min after luciferin injection. X-ray image was used for determining the anatomic landmarks.

#### **Blood Measurements**

Plasma concentrations of PCSK9 were determined using ELISA Kits from R&D systems (Minneapolis, MN, USA). Plasma total cholesterol, high-density lipoproteins, low-density lipoproteins (LDL) and very-low-density lipoproteins, and triglyceride levels were assessed by Emory Biochemistry and Pathology Laboratory as described previously.<sup>34,36</sup>

### **Histochemistry and Image Quantification**

Tissue samples were frozen in OCT compound and  $8 \mu m$  serial sections were prepared. Lipids were detected with Oil-Red-O staining following the standard protocol as described.<sup>4</sup> Russell Movat Pentachrome Stain Kit was used to perform pentachrome staining on serial sections (American Master-Tech Scientific) as per manufacturer's protocol.<sup>37,38</sup> Color images of each tissue section were acquired using Hamamat-su's NanoZoomer Digital Pathology System (×20 objective, NA 0.75). Plaque content included the changes in fibrin/fibrinoid tissue, mucin, ground substance, and collagen staining, and was quantified using the NIH Image-J software.<sup>39</sup>

#### Immunofluorescence Staining

Tissue samples were cut into 8  $\mu$ m thin slices of histological sections, and cryosections were fixed in acetone. After blocking in 4% serum, sections were incubated with fluorescently labeled primary antibody (CD45). After labeling with appropriate secondary antibody, sections were washed in PBS and embedded in a mounting medium containing DAPI (Vector Laboratories). Slides were examined using a confocal microscope (Zeiss, Germany). Images were analyzed and quantification was performed using the NIH Image-J software.<sup>39</sup>

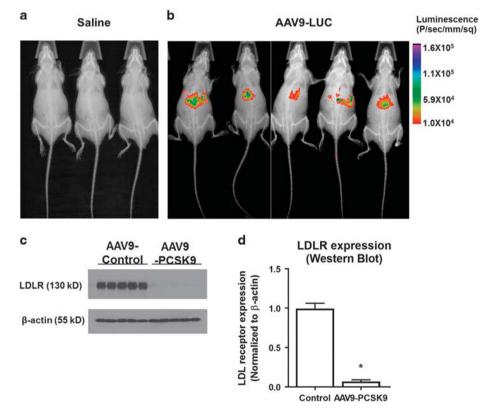
#### Preparation of Liver Homogenates and Western Blotting

Liver homogenates were prepared in RIPA buffer (Santa Cruz Biotechnology) containing protease and phosphatase inhibitors. Briefly, a portion of mouse liver tissue was homogenized in an ice cold 1.5 ml tube with a disposable plastic pestle using ice cold RIPA buffer containing freshly dissolved protease and phosphatase inhibitor pellets. Samples were run through a syringe fitted with 22 gauge needle and then centrifuged at 12 000 g for 15 min at 4 °C. Supernatants were collected and total protein was quantified using the BCA assay (Thermo Scientific), and 50  $\mu$ g of protein in SDS sample loading buffer

(Boston Bioproducts, Ashland, MA, USA) was loaded onto a 10% SDS-PAGE gel. Gels were transferred onto PVDF membranes, blocked in 5% milk for 45 min at room temperature, and incubated overnight at 4 °C in primary antibody (1:1000 LDLR antibody, BioVision). Post washing, appropriate secondary antibodies (1:5000 anti-rabbit; Bio-Rad) were used to develop and photographically capture the luminescent signal on an X-ray film using an enhanced chemiluminescent substrate (Thermo Fisher).

## Quantification and Morphology of Atherosclerotic Lesions

Lesion development in the whole aortic tree was determined using the *en face* Oil-Red-O staining technique. Briefly, the entire aorta was removed and cleaned for periadventitial fat, cut open longitudinally, and fixed on black paraffin wax dish using insect mounting pins. These opened up aortic trees were then stained with Oil-Red-O and images were taken using stereomicroscope equipped with a camera, as described previously.<sup>34</sup> The percentage of total area stained by Oil-Red-O was determined using the NIH Image-J software,<sup>39</sup> using methodology as previously described.<sup>33,40</sup> Lesion morphology and atherosclerosis development in the carotid arteries were



**Figure 2** AAV-PCSK9 knocks down LDL receptor level in liver in C57 mice. (**a**, **b**) *In vivo* imaging of luciferase activity after tail vein injection of AAV-Luc. Mice were injected with AAV9-Luc (n=5) or saline (n=3) via tail vein, and after 7 days, the luciferase activity was imaged using *in vivo* imager. X-ray image was also taken and superimposed on bioluminescence images to identify the anatomical landmarks. (**c**, **d**) Western blot analysis showing the expression level of LDL receptors in the liver tissue samples obtained from animals injected with AAV-PCSK9 or AAV-Luc. Western blot results were quantified using Image-J software. Means  $\pm$  s.e.m., \*P < 0.05, n = 5.

studied initially by gross imaging of the carotid arteries followed by performing Oil-Red-O staining on the cross-sections of the RCAs and LCAs.

## **Statistical Analysis**

Data are shown as mean  $\pm$  s.e.m.; *n* indicates the number of mice. Statistical analyses were performed using GraphPad Prism Version 7 (Prism Software, La Jolla, CA, USA). For comparison between two groups, a paired or unpaired two-tailed Student's *t*-test with equal or unequal variances was performed. For comparison among three or more treatment groups, one-way ANOVA followed by Bonferroni's *post hoc* test was carried out. The quantitative analyses for histology were performed by two investigators blinded to the treatment groups. A  $P \leq 0.05$  was considered statistically significant.

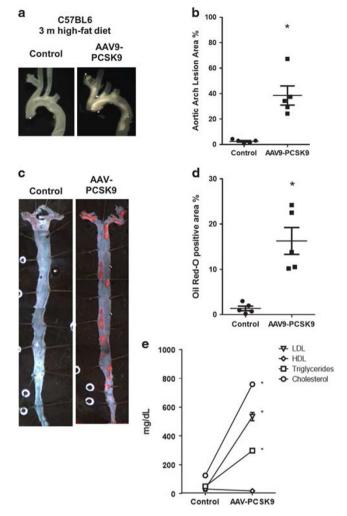
#### RESULTS

#### **AAV-PCSK9 Effectively Reduces LDLRs in Mouse**

Our goal was to express PCSK9 in the mouse liver to effectively knock down the LDLRs. To this end, we used AAV9 and the liver-specific HCRApoE/hAAT promoter to construct the recombinant AAV9-PCSK9 and AAV9-Luc as an expression control. To determine the liver-specific expression of our AAV9 constructs, we first studied the expression of AAV-Luc by in vivo bioluminescence assay. As shown in Figures 2a and b, intense luciferase expression was detected in the liver injected with AAV-Luc, but not in the saline treated control group. More importantly, we found that a single AAV9-PCSK9 injection reduced LDLR expression by >90% in the liver compared to the AAV9-Luc control group (Figures 2c and d). In addition, AAV-PCSK9 injection and high-fat diet induced a significant hypercholesterolemia (total cholesterol ~700 mg/dl) from 1 week and up to 3 months (Supplementary Table 1). These results show that AAV9mediated PCSK9 delivery is an effective method to knock down LDLRs and induce hypercholesterolemia.

## AAV9-PCSK9 Injection Induces Atherosclerosis in C57 mice Fed High-Fat Diet within 3 Months

We next tested whether AAV-PCSK9 induces atherosclerosis in C57 mice fed a high-fat diet for 3 months. As expected and consistent with the previous reports, we found that AAV9-PCSK9 induced robust atherosclerotic plaques in the aortic arch as shown by the gross imaging (Figures 3a and b) and in the whole aortic tree as shown by the en face Oil-Red-O staining (Figures 3c and d). Plaque lesion area in the lesser curvature (LC), greater curvature, and thoracic aorta was quantified using Image-J (Supplementary Figure 1). In contrast, mice injected with the AAV9-Luc (control) did develop any significant atherosclerotic not plaques (Figures 3a-d). These results confirm that AAV-PCSK9 and high-fat diet is an effective way to induce atherosclerosis in C57 mice. We also observed a significant increase in the levels of total cholesterol, triglycerides, and LDL in the plasma

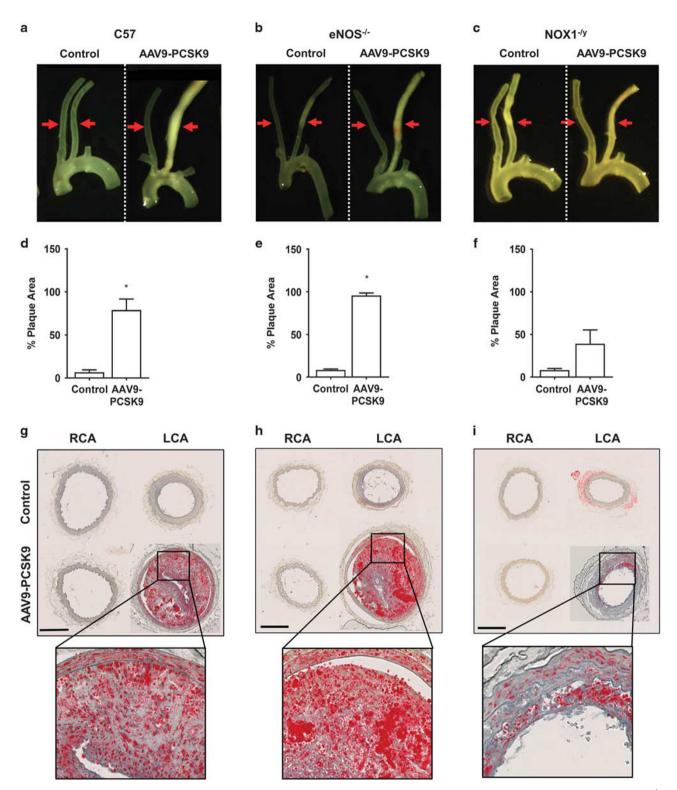


**Figure 3** AAV-PCSK9 induces hypercholesterolemia and atherosclerosis within 3 months in C57 mice. (a) C57 mice were injected with AAV-PCSK9 or AAV-Luc ( $1 \times 10^{11}$  VG/mouse), and fed a high-fat diet for 3 months. Atherosclerotic plaques in the aortic arch were imaged and quantified (**a**, **c**). Means ± s.e.m., \*P<0.05, n = 5. Also, the arterial trees were dissected out, and *en face* Oil-Red-O stained and quantified (**b**, **d**). Means ± s.e.m., \*P<0.05, n = 5. (**e**) Plasma triglycerides, total cholesterol, HDL, and LDL (mg/dl) from mice treated with AAV-PCSK9 or AAV-Luc at 3 months.

samples obtained from AAV-PCSK9-treated group compared to the AAV9-Luc (control) group (Figure 3e).

## Partial Carotid Ligation Further Accelerates Atherosclerosis Development in AAV9-PCSK9-Treated C57 Mice

Partial carotid ligation rapidly induces atherosclerosis by causing d-flow in the LCA within 2 weeks in  $ApoE^{-/-}$  mice fed a high-fat diet. In contrast, high-fat diet alone without the partial carotid ligation surgery takes 3 or more months to develop significant atherosclerotic plaques either in  $ApoE^{-/-}$  or AAV-PCSK9-injected mice. Here, we tested whether we can reduce the time it takes to develop significant atherosclerotic plaques by combining the partial carotid ligation



**Figure 4** Partial carotid ligation of C57 mice treated with AAV-PCSK9 and high-fat diet induces atherosclerosis within 3 weeks—the roles of  $eNOS^{-/-}$  and  $NOX1^{-/y}$  on C57 background. Mice were injected with AAV-PCSK9 or AAV-Luc (control) ( $1 \times 10^{11}$  VG per mouse) and fed a high-fat diet for the entire duration of the study. After 1 week, partial carotid ligation was performed and animals were killed at 3 weeks post surgery. RCAs and LCAs were dissected out and atherosclerotic plaques were imaged using dissection microscopy in (**a**) C57 (**b**)  $eNOS^{-/-}$ , and (**c**)  $NOX1^{-/y}$  mice, respectively, and quantified by Image-J. Means ± s.e.m., \*P < 0.05, n = 5 (**d**–**f**). Red arrows shown in **a**–**c** depict the region from which the cross-sections of the carotid arteries were obtained and quantified in **g**–**l**. (**g**–**i**) Oil-Red-O staining of the serial sections obtained from C57,  $eNOS^{-/-}$ , and  $NOX1^{-/y}$  mice, respectively are shown. Inset shows zoomed-in images. Quantification of Oil-Red-O positive staining (**j**–**l**). Means ± s.e.m., \*P < 0.05, n = 5.

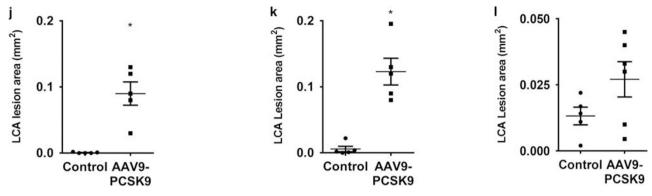


Figure 4 Continued.

surgery and AAV-PCSK9 injection in C57 mice. As shown in Figure 4a, partial carotid ligation surgery plus high-fat diet induced robust atherosclerosis in the LCA within 3 weeks in mice injected with AAV-PCSK9 but not in the AAV-Luc control group (Figures 4a and d). As an additional built-in control in each animal, the contralateral non-ligated RCA (exposed to stable flow) remained virtually plaque-free. The Oil-Red-O staining using the frozen sections prepared from the carotid arteries showed a significant increase in the plaque lesion area in the LCAs of AAV-PCSK9-treated group compared to the control group (Figures 4g and j). These results demonstrate that partial carotid ligation significantly reduces the time it takes to develop significant atherosclerosis in a flow- and hypercholesterolemia-dependent manner.

## Roles of eNOS and NOX1 in Atherosclerosis can be Easily Tested in C57 Mice by Combining Partial Carotid Ligation and AAV-PCSK9

Previously, it required generation of double knockout or transgenic mice to determine the role of gene(s) of interest in atherosclerosis. For example, previous studies had to generate double knockout mice by crossing eNOS<sup>-/-</sup> or NOX1<sup>-/y</sup> with ApoE<sup>-/-</sup> mice. These studies showed that eNOS<sup>-/-</sup> mice on ApoE<sup>-/-</sup> background show exacerbated atherosclerosis development,41-43 while the NOX1-/y mice on ApoE-/resists atherosclerosis development.44,45 As a proof-of-principle, here we tested whether a combined approach viz. partial carotid ligation and AAV-PCSK9 injection, can be used to study the role of eNOS<sup>-/-</sup> or NOX1<sup>-/y</sup> in atherosclerosis without using the respective double knockouts mice. To this end, we injected AAV9-PCSK9 in eNOS<sup>-/-</sup> and NOX1<sup>-/y</sup> mice on C57 background, and performed partial carotid ligation and fed high-fat diet. We found that eNOS<sup>-/-</sup> mice developed robust plaques in the LCA within 3 weeks (Figure 4b), compared to the controls (Figure 4a), while the plaque development in the NOX1<sup>-/y</sup> animals was significantly reduced compared to the C57 controls (Figures 4c and a). As expected, the contralateral non-ligated RCAs remained virtually plaque-free in all the experimental groups. In all the three groups of mice, AAV-PCSK9 injection resulted in

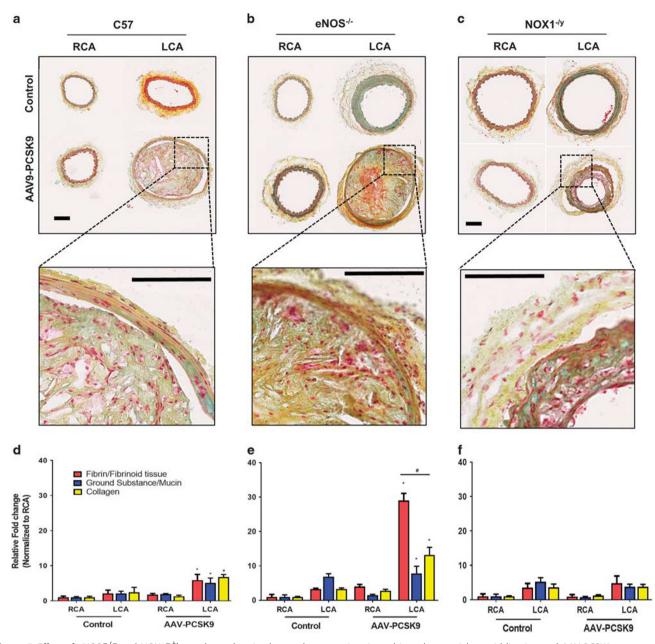
similar levels of hypercholesterolemia and serum PCSK9 (Supplementary Figures 2 and 3). Supplementary Figure 4 illustrates additional gross plaque images showing atherosclerosis development in C57, eNOS<sup>-/-</sup>, and NOX1<sup>-/y</sup> mice using AAV-PCSK9, high-fat diet, and partial carotid ligation surgery.

To further characterize the details of plaque phenotypes, we performed Movat's pentachrome staining, and compared the samples from C57,  $eNOS^{-/-}$ , and  $NOX1^{-/y}$  mice treated with AAV-PCSK9 and partial carotid ligation for 3 weeks. We observed a significant increase in the fibrin/fibrinoid tissues in the LCA of  $eNOS^{-/-}$  mice, compared to the C57 controls (Figures 5a, b, d and e). Interestingly, the fibrin/fibrinoid tissues, collagen deposition in the LCAs of  $NOX1^{-/y}$  mice were significantly less compared to the C57 and  $eNOS^{-/-}$  mice (Figure 4). These results are consistent with the previous findings using  $eNOS^{-/-}$  and  $NOX1^{-/y}$  and  $NOX1^{-/y}$  ApoE<sup>-/-</sup> double knockout mice.<sup>42–44,46</sup>

In addition, CD45 immunostaining showed that macrophage accumulation in the LCAs was significantly increased in eNOS<sup>-/-</sup> mice compared to the C57 controls, while the LCAs of NOX1<sup>-/y</sup> mice showed significantly reduced macrophage accumulation (Figures 6a-c). The contralateral non-ligated RCAs of AAV9-PCSK9 injected group as well as the controls remained free of macrophage accumulation (Figures 6a-g). As a comparison, CD45 immunostaining on the ApoE<sup>-</sup> animals subjected to partial carotid ligation surgery and highfat feeding for same duration showed comparable macrophage accumulation in their LCAs (Figure 6d). Together, these results demonstrate that the combined partial carotid ligation and AAV-PCSK9 method can be used to rapidly test the role of genes in atherosclerosis in flow- and cholesteroldependent manner in C57 mice without generating the double knockouts on ApoE<sup>-/-</sup> or LDLR<sup>-/-</sup> background.

### DISCUSSION

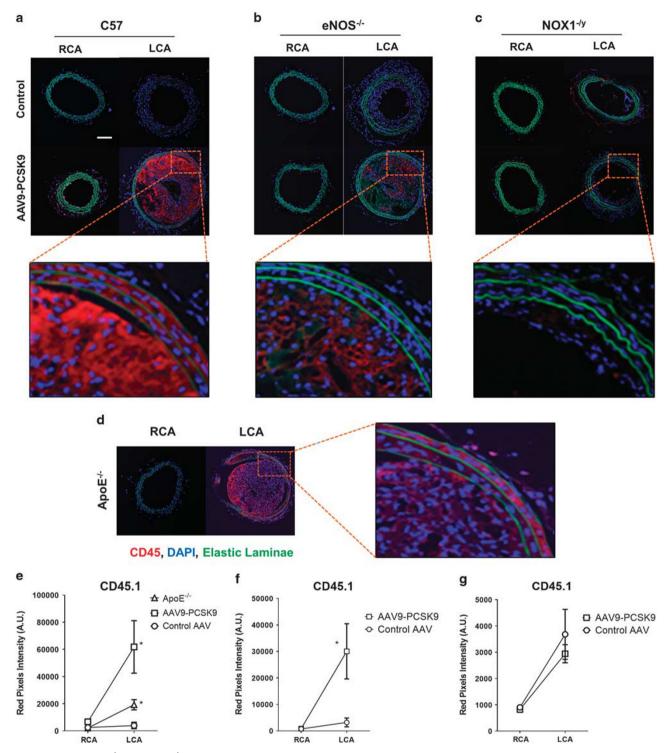
Our results show that a single injection of AAV9-PCSK9, in conjunction with partial carotid ligation surgery and a high-fat diet rapidly induces robust atherosclerosis in the flow-disturbed LCA in C57 mice within 3 weeks. Using this



**Figure 5** Effect of  $eNOS^{-/-}$  and  $NOX1^{-/y}$  on atherosclerotic plaque phenotype in mice subjected to partial carotid ligation and AAV-PCSK9 treatment on C57 background. Serial sections obtained (as described above in Figure 4) were subjected by Movat's pentachrome staining; (a) C57, (b)  $eNOS^{-/-}$ , and (c)  $NOX1^{-/y}$  mice, respectively, and the inset shows zoomed-in images (scale bar = 100 µm). Image-J quantification of relative fibrin/fibrinoid staining (red), ground substance/mucin (blue), and collagen (yellow) are shown (**d**–**f**). The intensity of respective staining in the RCA of control animal was arbitrarily set at 1; means ± s.e.m., \*P < 0.05, n = 5.

new methodology in two independent knockout mouse lines  $(eNOS^{-/-} \text{ and } NOX1^{-/y} \text{ on } C57 \text{ background})$ , we further demonstrated that the role of these genes in atherosclerosis can be studied without the need to generate the double knockouts on ApoE<sup>-/-</sup> or LDLR<sup>-/-</sup> background. These results suggest that virtually any gene(s) can be rapidly studied for its role in atherosclerosis without having to generate double knockouts on ApoE<sup>-/-</sup> or LDLR<sup>-/-</sup> background and wait months for atherosclerosis development.

Recent studies have reported that a single AAV-PCSK9 injection in C57 wild-type mice can induce hypercholesterolemia and atherosclerosis,<sup>14,29</sup> but it took 12–16 weeks to develop atherosclerosis in these animals. More recently, the same methodology has been used to induce abdominal aortic aneurysms and vascular calcification in experimental mouse models.<sup>30,47</sup> Here, we combined the AAV-PCSK9 method with our partial carotid ligation model to study the contribution of d-flow to atherosclerosis development. We and others have



**Figure 6** Effect of  $eNOS^{-/-}$  and  $NOX1^{-/y}$  on atherosclerotic plaque macrophage content in mice subjected to partial carotid ligation and AAV-PCSK9 treatment on C57 background. Serial sections obtained (as described above in Figure 4) were subjected to immunofluorescence staining using anti-CD45 antibody; (a) C57, (b)  $eNOS^{-/-}$ , and (c)  $NOX1^{-/y}$  mice, respectively, and the inset shows zoomed-in images (scale bar = 100  $\mu$ m). (d) Immunostaining for CD45.1 using the RCA and LCA cross-sections from ApoE<sup>-/-</sup> mice subjected to partial carotid ligation and fed a high-fat diet for 3 weeks were used a positive control. (e–g) Image-J was used to quantify CD45.1 staining intensity in the RCAs and LCAs of C57,  $eNOS^{-/-}$  mice and  $NOX1^{-/y}$ , respectively. For comparison, quantification of CD45.1 staining from the RCAs and LCAs of ApoE<sup>-/-</sup> mice subjected to partial carotid ligation is also plotted in e. Means ± s.e.m.; \**P* < 0.05, *n* = 5. DAPI was used for counterstaining nuclei (blue). Autofluorescence (green) shows internal elastic lamina. White scale bar = 100  $\mu$ m.

previously shown that induction of d-flow by partial carotid ligation induces endothelial dysfunction and accelerates atherosclerosis using  $ApoE^{-/-}$  or  $LDLR^{-/-}$  mice.<sup>4,48–58</sup> Another important advantage of AAV-mediated expression of PCSK9 is that it is simple, and provides a rapid (~1 week after the injection) and sustained (>1 year) elevation of blood PCSK9 and cholesterol levels by a single injection.<sup>14,29</sup>

Our partial carotid ligation provides an additional advantage of having a built-in control of the RCA (RCA exposed to stable flow protecting against atherosclerosis) in comparison to the LCA (exposed to d-flow leading to atherosclerosis) in each mouse. This makes it easier to determine the role of each gene in atherosclerosis in a flow and hypercholesterolemic manner in animal models in a much reduced time without having to wait for a few months for plaques to develop. The main reason that our partial carotid ligation model develops atherosclerosis faster than other conventional models (for example, high-fat diet only model) is due to the exaggerated and sustained flow disturbance in the flow-modified carotid (LCA). Although, atherosclerosis is a multifactorial disease and plaque development requires additional factors (such as hypercholesterolemia), disturbed flow regions are where atherosclerosis begins to develop. It is important to note that the molecular mechanisms underlying the partial carotid ligation-induced atherosclerosis are identical to that observed in the naturally athero-prone areas, such as the LC of the aortic arch. We previously showed that many of the flow-sensitive genes and microRNAs (VCAM1, DNMT1, miR-712, and miR-205) upregulated in the naturally and endogenously disturbed flow regions, such as aortic arch are also upregulated in our partial carotid ligation model.<sup>34,54</sup> Our findings suggest that the mechanistic insights obtained from the partial carotid ligation model applies well to other models of atherosclerosis.

Interestingly, our results show that eNOS deficiency leads to acceleration of atherosclerosis under disturbed flow conditions, but stable flow-exposed regions remained protected. This suggests that the atheroprotective effect of stable flow is mediated by other factors that could compensate for eNOS deficiency in the RCA. These additional compensatory factors under stable flow conditions may target important anti-atherogenic genes in endothelium that may play a role in inhibition of leukocyte adhesion, and/or protection of the endothelial permeability barrier.<sup>59–62</sup>

In this study, we used rAAV9 serotype, although previous studies have used the rAAV8 serotype to deliver the gain-of-function mutant of PCSK9.<sup>14</sup> The reason to use rAAV9 was based on the previous finding by Gao *et al*,<sup>63</sup> which showed its superior transduction in the liver as compared to AAV8. However, we found that there was no significant difference in the development of atherosclerosis between these serotypes (data not shown).

In conclusion, we show that the combination of a single AAV-PCSK9 injection, high-fat diet, and partial carotid ligation rapidly induces robust atherosclerosis in the flow-disturbed

carotid artery within 3 weeks in C57 mice. Our results suggest that this is a quick and convenient model to study atherosclerosis and mechanisms using any knockout or transgenic mice without having to generate double knockouts on  $ApoE^{-/-}$  or  $LDLR^{-/-}$  background. Our combined *in vivo* model is well-suited for testing various therapeutic interventions targeting atherosclerosis in a considerably reduced study duration compared to the conventional high-fat diet only model.

Supplementary Information accompanies the paper on the *Laboratory Investigation* website (http://www.laboratoryinvestigation.org)

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#### AUTHOR CONTRIBUTIONS

SK, D-WK, and AR performed all the experiments. SK, D-WK, AR, and HJ analyzed the data. SK and HJ wrote the manuscript. HJ supervised the studies and secured funding. All authors reviewed the manuscript.

#### DISCLOSURE/CONFLICT OF INTEREST

The authors declare no conflict of interest.

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