

***PTPN11*, *SOS1*, *KRAS*, and *RAF1* gene analysis, and genotype–phenotype correlation in Korean patients with Noonan syndrome**

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Abstract After 2006, germline mutations in the *KRAS*, *SOS1*, and *RAF1* genes were reported to cause Noonan syndrome (NS), in addition to the *PTPN11* gene, and now we can find the etiology of disease in approximately 60–70% of NS cases. The aim of this study was to assess the correlation between phenotype and genotype by molecular analysis of the *PTPN11*, *SOS1*, *KRAS*, and *RAF1* genes in 59 Korean patients with NS. We found disease-causing mutations in 30 (50.8%) patients, which were located in the *PTPN11* (27.1%), *SOS1* (16.9%),

KRAS (1.7%), and *RAF1* (5.1%) genes. Three novel mutations (T59A in *PTPN11*, K170E in *SOS1*, S259T in *RAF1*) were identified. The patients with *PTPN11* mutations showed higher prevalences of patent ductus arteriosus and thrombocytopenia. The patients with *SOS1* mutations had a lower prevalence of delayed psychomotor development. All patients with *RAF1* mutations had hypertrophic cardiomyopathy. Typical facial features and auxological parameters were, on statistical analysis, not significantly different between the groups. The molecular defects of NS are genetically heterogeneous and involve several genes other than *PTPN11* related to the RAS-MAPK pathway.

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Introduction

Noonan syndrome (NS; OMIM 163950) is an autosomal dominant disorder with variable phenotype, characterized by short stature, congenital heart disease, and typical facial features (Noonan 1994). The main facial findings of NS are hypertelorism with down-slanting palpebral fissures, ptosis, and low-set posteriorly rotated ears. Other manifestations are webbed neck, chest wall deformity, mild mental retardation, cryptorchidism in males, feeding difficulties in infancy, bleeding diathesis, and lymphatic dysplasia (Allanson et al. 1985).

The genes that cause NS encode members of the RAS-MAPK pathway. Gain-of-function mutations in the *PTPN11* gene, the first identified NS-associated gene (Tartaglia et al. 2001), account for 30–60% of NS cases. In 2006, germline mutations in the *KRAS* gene were identified

in five patients with NS (Schubbert et al. 2006), and germline mutations in the *SOS1* and *RAF1* genes were reported to cause NS in 2007. Therefore, deregulated RAS-MAPK signaling arising from *PTPN11*, *SOS1*, *KRAS*, and *RAF1* mutations causes approximately 60–70% of NS cases (Pandit et al. 2007; Razzaque et al. 2007).

Attempts to find genotype–phenotype correlations have been performed by several authors (Bertola et al. 2006; Musante et al. 2003; Sarkozy et al. 2003; Zenker et al. 2004). Considering that approximately half of NS cases arise because of mutations in *PTPN11*, many studies have attempted to find a method for distinguishing the phenotype of *PTPN11* NS cases from NS attributable to other causes. In some studies (Bertola et al. 2006; Tartaglia et al. 2002; Zenker et al. 2004), PS and hematological abnormalities were more prevalent in the NS group with *PTPN11* gene mutations. On the other hand, Hypertrophic cardiomyopathy (HCM) was less often present in the NS group with *PTPN11* gene mutations (Tartaglia et al. 2002). Recent reports have suggested that there might be an association between *KRAS* gene mutation and mental retardation (Zenker et al. 2007b), or between *RAF1* gene mutation and HCM (Pandit et al. 2007; Razzaque et al. 2007). Unusual ectodermal features, including facial keratosis pilaris and curly hair, and generally normal development and growth were associated with *SOS1* gene mutations in another study (Tartaglia et al. 2007). In the present study, we conducted a mutation analysis on the *PTPN11*, *SOS1*, *KRAS*, and *RAF1* genes in Korean patients with NS. In addition, we investigated genotype–phenotype correlations.

Materials and methods

Clinical evaluation

Fifty-nine unrelated Korean patients were diagnosed with NS by a single medical geneticist at the Asan Medical Center, Seoul, South Korea, between January 2000 and July 2007. This study was approved by institutional review boards, and written informed consent to our work was obtained from all subjects or from their parents. All patients had normal karyotypes. Inclusion criteria were based on the van der Burgt system (van der Burgt et al. 1994).

Electrocardiograms, simple chest radiographs, and echocardiograms were obtained from all patients for evaluation of cardiac anomalies. HCM was diagnosed when the left ventricular maximal end-diastolic wall thickness was >2 SD above the mean for a given age in

children (Burch et al. 1993). Because objective evaluations, including the intelligence quotient, were not performed in all patients of this study, the diagnosis of delayed development or mental retardation was exclusively restricted to the patient who had required special education. IGF-1 and IGF binding protein-3 (IGFBP-3) levels were measured by immunoradiometric assays at diagnosis to evaluate the effects of these materials on growth. The levels of IGF-1 and IGFBP-3 are presented as standard deviation scores (SDS) with reference to normal Korean values (Lee and Kim 2007).

Mutational analysis

Genomic DNA was isolated from peripheral blood lymphocytes using the PUREGENE DNA isolation kit (Gentra, Minneapolis, MN). Four genes in the RAS-MAPK pathway associated with Noonan syndrome were analyzed for mutations; the genes were *PTPN11*, *SOS1*, *KRAS*, and *RAF1*. PCR and sequence analysis explored all coding exons, and their intronic flanking regions, except in the case of *RAF1*. For the *RAF1* gene, PCR and sequence analysis focused on exons 7, 14, and 17, which are major mutation sites (Pandit et al. 2007). Amplifications were performed over 30 cycles, and each cycle consisted of denaturation at 94°C for 30 s, annealing at 55°C for 30 s, and extension at 72°C for 45 s. PCR was carried out in a reaction volume of 10 µl, containing 100 ng of genomic DNA template, 10 pmol of each primer, 200 µM of each dNTP, 2.5 mM MgCl₂, 2.5 µl of 10× buffer, and 1 unit of Taq DNA polymerase (Promega, Madison, WI). Primer sequences are available on request.

Subsequently, DNA sequencing reactions were performed using the same primer pairs, and the BigDye Terminator V3.1 Cycle Sequencing kit (Applied Biosystems, Foster City, CA) according to the manufacturer's instructions. Electrophoresis and analysis of sequencing reaction mixtures were achieved with an ABI3130xl Genetic Analyzer (Applied Biosystems).

To predict the functional impact of amino acid changes, we assessed novel sequence alterations by two in silico prediction algorithms, PolyPhen (Polymorphism Phenotyping) and SIFT (Sorting Intolerant from Tolerance), and performed molecular analyses in the patients' parents and 100 healthy controls additionally. On the PolyPhen program, a position-specific independent counts (PSIC) score of >2.0 indicates probably damaging to protein function, a score of 1.5–2.0 as possibly damaging, and a score of <1.5 as benign or unknown (Ng and Henikoff 2003; Ramensky et al. 2002).

Statistical analysis

Statistical analysis was carried out using the two-tailed Fischer's exact test and the Mann–Whitney *U*-test for between-group comparisons. *P* values of ≤ 0.05 were considered statistically significant.

Results

Study population

Fifty-nine unrelated Korean NS patients (41 boys and 18 girls) who met the inclusion criteria were enrolled in this study. Their ages at diagnosis ranged from 0.1 to 17.2 years (median 3.7 years). Three of 59 cases were familial (5.1%), and transmission of NS was maternal in one patient and paternal in two patients.

Spectrum of *PTPN11*, *SOS1*, *KRAS*, and *RAF1* mutations (Table 1)

In 59 patients with NS, we identified mutations in 30 (50.8%). Sixteen patients (27.1%) had mutations in *PTPN11*, ten (16.9%) in *SOS1*, one (1.7%) in *KRAS*, and three (5.1%) in *RAF1*. Mutation analysis of *PTPN11* showed a total of 13 different heterozygous missense variations, including one novel sequence alteration (T59A). We identified eight nonsynonymous sequence variations in the *SOS1* gene, including a novel sequence alteration (K170E). These two novel alterations (T59A in *PTPN11* and K170E in *SOS1*) were not found in 200 alleles of 100 healthy controls, and we confirmed that their parents did not harbor these alterations. As the results of analyses using the PolyPhen program, T59A and K170E were predicted to be possibly damaging to protein structure with PSIC scores of 1.530 and 1.609, respectively. These two alterations also showed deleterious effects on the SIFT program. A *KRAS* gene mutation was detected in only one patient, and this mutation (V14I) has already been reported as a disease-causing mutation.

Mutation analyses of the entire coding regions of the *PTPN11*, *SOS1*, and *KRAS* genes were negative in 32 patients. For these patients, we analyzed the sequences of exons 7, 14, and 17 of the *RAF1* gene, which have been reported as mutation hot-spot regions in two previous studies (Pandit et al. 2007; Razzaque et al. 2007). We identified two non-synonymous sequence alterations (S257L and S259T). S259T is considered to be a novel mutation, because this change was not found in the healthy controls, and a sequence change in the same site (S259F) has already been reported as a pathogenic change (Pandit et al. 2007). The PolyPhen and SIFT programs also showed

Table 1 *PTPN11*, *SOS1*, *KRAS1*, and *RAF1* gene mutations identified in 59 patients with NS

Exon	Nucleotide substitution	Amino acid substitution	Domain	<i>N</i> (%)
<i>PTPN11</i> (<i>N</i> = 16)				
3	c.175A > G	T59A ^a	N-SH2	1 (6.3)
3	c.184T > G	Y62D	N-SH2	1 (6.3)
3	c.215C > G	A72G	N-SH2	2 (12.5)
3	c.236A > G	Q79R	N-SH2	2 (12.5)
4	c.417G > C	E139D	C-SH2	1 (6.3)
8	c.854T > C	F285S	PTP	1 (6.3)
8	c.922A > G	N308D	PTP	1 (6.3)
8	c.923A > G	N308S	PTP	1 (6.3)
12	c.1382C > T	A461T	PTP	2 (12.5)
12	c.1391G > C	G464A	PTP	1 (6.3)
12	c.1403C > T	T468M	PTP	1 (6.3)
13	c.1505A > G	S502L	PTP	1 (6.3)
13	c.1510A > G	M504V	PTP	1 (6.3)
<i>SOS1</i> (<i>N</i> = 10)				
4	c.508A > G	K170E ^a	HF	1 (10)
6	c.797C > A	T266K	DH	1 (10)
6	c.806T > G	M269R	DH	2 (20)
6	c.806T > C	M269T	DH	1 (10)
10	c.1297G > A	E433K	PH	1 (10)
10	c.1322G > A	C441Y	PH	1 (10)
10	c.1642A > C	S548R	HL	1 (10)
10	c.1656G > C	R552S	HL	2 (20)
<i>KRAS</i> (<i>N</i> = 1)				
2	c.40G > A	V14I	P-loop	1
<i>RAF1</i> (<i>N</i> = 3)				
7	c.770C > T	S257L	CR2	2 (66.7)
7	c.775T > A	S259T ^a	CR2	1 (33.3)

N-SH N-terminal of the *src* homology domain, *C-SH* C-terminal of the *src* homology domain, *PTP* protein tyrosine phosphatase, *HF* histone-like fold, *DH* Dbl homology domain, *PH* pleckstrin homology domain, *HL* helical linker, *CR* conserved region

^a Indicates a novel mutation

that S259T was predicted to affect protein structure and to be a mutation with a probably damaging effect, indicated by a PSIC score of 2.2.

Clinical findings in NS patients with *PTPN11*, *SOS1*, *KRAS*, and *RAF1* mutations (Table 2)

Patients with *PTPN11* mutations

In 16 patients with *PTPN11* mutations, the median age at NS diagnosis was 2.5 years. The mean height and weight was -2.75 ± 1.52 and -2.21 ± 1.34 SDS. Also, mean IGF-1 and IGFBP-3 levels at diagnosis were -2.07 ± 1.72 and -0.04 ± 0.85 SDS, respectively.

Table 2 Frequencies of characteristic NS findings grouped by genes in which mutations were detected

Clinical features	N/Total (%) of subjects				
	With <i>PTPN11</i> mutation	With <i>SOS1</i> mutation	With <i>KRAS</i> mutation	With <i>RAF1</i> mutation	Without mutation
Family history	1/16 (6)	2/10 (20)	—	0/3	0/29 (0)
Face					
Typical features	10/16 (63)	7/10 (70)	+	3/3	16/29 (55)
Heart defect					
PS	8/16 (50)	5/10 (50)	+	0/3	10/29 (34)
HCM	3/16 (19)	1/10 (10)	—	3/3	6/29 (21)
ASD	5/16 (31)	3/10 (30)	—	1/3	10/29 (34)
VSD	1/16 (6)	3/10 (30)	—	1/3	8/29 (28)
PDA	5/16 (31) ^a	0/10 (0)	—	0/3	2/29 (7)
Other	1/16 (6)	0/10 (0)	—	0/3	4/29 (14)
No defect	2/16 (13)	5/10 (50)	—	0/3	6/29 (21)
Short stature					
<3rd percentile	9/16 (56)	3/10 (30)	—	2/3	16/29 (55)
<10th percentile	11/16 (69)	6/10 (60)	—	2/3	21/29 (72)
Thorax deformity	4/16 (25)	4/10 (40)	—	0/3	6/29 (21)
Delayed development or mental retardation	4/15 (27)	0/10 (0) ^a	+	2/3	13/28 (46)
Cryptorchidism	3/11 (27)	3/6 (50)	—	1/2	12/21 (57)
Neonatal lymphedema	2/16 (13)	3/10 (30)	—	0/3	2/29 (7)
Sensorineural hearing loss	3/16 (19)	0/10 (0)	—	0/3	2/29 (7)
Thrombocytopenia	3/16 (19) ^a	0/10 (0)	—	0/3	0/29 (0)

PS pulmonic stenosis, HCM hypertrophic cardiomyopathy, ASD atrial septal defect, VSD ventricular septal defect, PDA patent ductus arteriosus

^a $P < 0.05$ compared with patients who belonged to the other four groups

Fourteen (87.5%) patients had congenital heart diseases, of which PS was most frequently observed (50.0%). Patent ductus arteriosus (PDA) and HCM were observed in five (31.3%) and three (18.8%) patients, respectively. Two or more heart defects were present in 8 of 14 patients with heart diseases.

In comparison to the patients with other gene mutations plus those with no discernible mutations, significantly higher prevalences of PDA (31.3%, $P = 0.018$) and thrombocytopenia (18.8%, $P = 0.017$) were observed in patients with *PTPN11* mutations. The mean IGF-1 SDS value of this group seemed to be lower, with marginal significance ($P = 0.065$), though the mean IGFBP-3 SDS was not different. The patients with *PTPN11* mutations did not show a higher prevalence of PS statistically.

Patients with *SOS1* mutations

In ten patients with *SOS1* mutations, the mean height and weight were -2.21 ± 1.34 and -1.75 ± 0.86 SDS. The median age at NS diagnosis was 10.3 years, and mean

IGF-1 and IGFBP-3 levels at diagnosis were -1.60 ± 1.01 and 0.70 ± 1.32 SDS.

Five patients (50%) had congenital heart diseases, of which PS was most frequently observed (in all five patients). Two or more heart defects were combined in all patients who had heart diseases, and the other five (50%) patients showed structurally normal hearts. No subject showed delayed mental development requiring special education, and this prevalence was significantly lower in these patients than in subjects with the other genotypes ($P = 0.011$). Classical ectodermal features, including facial keratosis pilaris and curly hair, were not observed in patients with *SOS1* mutations.

Patient with *KRAS* mutation

Only one boy had a *KRAS* gene mutation (V14I). He was diagnosed with NS at the age of 3.6 years, and his height and weight were -0.14 and -0.05 SDS, respectively. He exhibited the typical facial features with strabismus, showed isolated PS, and had delayed mental development requiring special education.

Patients with *RAF1* mutations

Three patients had *RAF1* mutations, and the median age at diagnosis was 2.25 years. The median height and weight were -2.92 and -1.29 SDS, and the median IGF-1 and IGFBP-3 levels were -3.00 and 0.45 SDS, respectively. All three patients with *RAF1* mutations had the same type of heart defect (HCM), and HCM was more frequently observed in this group compared to the patients without *RAF1* mutations ($P = 0.009$). However, we could not associate the various phenotypic characteristics with genotype-specific findings.

Patients without *PTPN11*, *SOS1*, *KRAS*, or *RAF1* mutations

Patients in this group were diagnosed at the median age of 4.8 years and showed similar height SDS, weight SDS, and IGFBP-3 values to those of the patients with any NS-relevant mutation. However, the mean IGF-1 SDS value (-0.57 ± 0.65) of this group was higher than that of the other groups with any discernable mutations ($P = 0.003$). The prevalence of cardiac anomalies other than PDA, thorax deformities, and delayed mental development did not differ from the other groups.

Discussion

Spectrum of *PTPN11*, *SOS1*, *KRAS*, and *RAF1* mutations

In total, we found mutations in 30 (50.8%) of patients as the results of analyses in four genes involved in the RAS-MAPK pathway. This is a relatively lower frequency than that of previously reported studies, especially in the case of the *PTPN11* gene (Jongmans et al. 2004; Tartaglia et al. 2002; Zenker et al. 2004). Sixteen patients (27.1%) had *PTPN11* mutations. This proportion is comparable to those published in some reports (Musante et al. 2003; Zenker et al. 2007b), but is lower than in other studies (Tartaglia et al. 2002; Zenker et al. 2004). The finding that a relatively high percentage of patients undoubtedly fitting NS criteria did not carry a mutation in *PTPN11* confirms previous studies indicating that NS is a genetically heterogeneous syndrome.

Most (93.8%) of *PTPN11* mutations in our study were localized in exons 3, 8, 12, or 13, which are thought to be hot-spot regions (Musante et al. 2003; Sarkozy et al. 2003; Tartaglia et al. 2002; Zenker et al. 2004). Also, we identified one novel *PTPN11* mutation (T59A) in exon 3. N308D, which is known to be the most prevalent mutation (Jongmans et al. 2004), was found in only one of our

patients. To our knowledge, our study is the second report of molecular analyses in the patients with Korean NS; however, the previous study reported that four of seven *PTPN11* mutations were N308D (Lee et al. 2007). This discrepancy might be caused by two reasons. First, the cohort number of the previous study was very small and, therefore, genotypes and phenotypes could not be analyzed statistically. Second, the previous study has a limitation that they were performed in just one institute, like in our study.

To date, at least three studies have established that mutations in the *SOS1* gene are the second most prevalent cause of NS (Roberts et al. 2007; Tartaglia et al. 2007; Zenker et al. 2007a). Mutation detection rates were 17–28% amongst clinically well-characterized patients who lacked mutations in the *PTPN11* gene. The *SOS1* mutation detection rate (16.9%) and distribution of the *SOS1* mutations identified in our study correspond with the results of previous works. Most *SOS1* mutations detected were localized in exons 6 and 10, and one novel mutation (K170E) in exon 4 was detected. D169-residue was suggested to be an important site for stabilizing the inactive conformation of *SOS1* protein. Mutations of D169- and surrounding residues led to dysregulation of Ras binding and activation in one previous study (Sondermann et al. 2005). It might be a probable mechanism of action of K170E mutation.

Sequence alterations in *KRAS* accounted for approximately 2.1% of NS cases in previous studies (Zenker et al. 2007b). We found only one (1.7%) patient with a *KRAS* mutation (V14I) in 59 patients with NS. This mutation has been reported previously, and a patient with the V14I substitution had a milder clinical phenotype than a patient with the T58I mutation (Schubbert et al. 2006).

All pathogenic mutations previously reported in *RAF1* were located in only three exons (exons 7, 14, 17), and these exons were thought to be the hot-spot regions. Mutations located in the CR2 domain seemed to be especially associated with HCM. Several lines of evidence have implicated RAS-MAPK signaling in cardiac hypertrophy (Bueno et al. 2000; Muslin 2005), and these could explain why the specific *RAF1* mutations that increase ERK activation result in cardiac hypertrophy. Among the three exons that we examined, *RAF1* mutations in our study were identified in exon 7 of the CR2 domain, and all *RAF1* mutation-positive patients showed HCM.

Genotype–phenotype correlation

Attempts to define genotype–phenotype correlations have been undertaken by several authors (Bertola et al. 2006; Musante et al. 2003; Sarkozy et al. 2003; Sznajder et al. 2007; Tartaglia et al. 2002; Zenker et al. 2004), but definite

conclusions have not been reached. In most previous studies, PS was more prevalent in the group with *PTPN11* gene mutations than in other groups (Sznajder et al. 2007; Tartaglia et al. 2002; Zenker et al. 2004). In one previous study of Sarkozy et al. (2003), however, no difference between *PTPN11*-positive and *PTPN11*-negative patients in the prevalence of this heart defect was found. We did not find a strong association between PS and *PTPN11* gene mutations, but PDA was found more frequently in the *PTPN11* mutation-positive group than in other patients. Although only one patient had isolated PDA (PS and HCM was combined with PDA in each of two patients), the total prevalence of PDA in our patients was 13.5%, a higher proportion than in previous reports (Allanson 1987; Sharland et al. 1992; Sznajder et al. 2007). Recently reported work has suggested an association between *RAF1* gene mutations and HCM (Pandit et al. 2007; Razaque et al. 2007), and the result of the present study also supports this suggestion. A beneficial effect of GH has already been reported in NS patients (Kirk et al. 2001; Limal et al. 2006), and GH administration is an established treatment for NS (Kelnar 2003; Raaijmakers et al. 2008). Because the cost of GH therapy is not covered by public medical insurance in Korea, only eight of our patients were treated with GH, and we therefore could not assess differences in GH efficacy between genotype groups. However, it is well known that GH therapy affects cardiac muscle mass (Isgaard 2004). GH therapy in NS patients with HCM might be dangerous, because such cases are at relatively high risk for the development of progressive ventricular hypertrophy. Therefore, before treating NS patients with GH, it would be important to screen for mutations in the *RAF1* gene and to engage in careful cardiac structure monitoring.

The mean baseline IGF-1 and IGFBP-3 concentrations were −1.46 and 0.10 SDS in our patients, values that are comparable to those of Limal et al. (2006). Because *PTPN11*, *SOS1*, and *RAF1* mutations induce abnormalities in many growth factor-signaling pathways, we suggest that an impaired production of IGF-1 and other growth factors in NS may lead to reduced biological activities of these factors. A partial resistance to GH in NS is well known, especially in *PTPN11* mutation-positive patients (Binder et al. 2005; Limal et al. 2006). In addition, the patients without any mutation had a higher mean IGF-1 concentration than the patients with any of the mutations, and those with *PTPN11* mutations showed a lower value of IGF-1 SDS with marginal significance in this study. This finding suggests that another causative gene of NS might exist, which is independent of growth factor-signaling pathways, including the RAS-MAPK pathway.

Up to one-third of patients with NS show mental retardation, although in most cases it is mild (Allanson 1987). Interestingly, it has been suggested that a most important

discriminating feature is the absence of significant mental retardation in patients with *SOS1* mutations (Tartaglia et al. 2007; Zenker et al. 2007a). However, the prevalence of mental retardation seemed to be higher in patients with *KRAS* mutations (Schubbert et al. 2006; Zenker et al. 2007b). The prevalence of delayed mental development requiring special education was 33.9% in our study. Among our patients, no subject with an *SOS1* mutation showed delayed mental development, and, notably, the patient with the *KRAS* mutation showed delayed mental development requiring special education.

Hematological findings in NS are well-described, and include abnormal platelet count and function and coagulation factor deficiencies. Up to 55% of cases have a mild-to-moderate bleeding tendency, and severe hemorrhage occurs in 3% of cases (van der Burgt 2007). The T73I mutation in the *PTPN11* gene is associated with a predisposition to myeloproliferative disorder, which most often resolves spontaneously (Tartaglia et al. 2003). There was no myeloproliferative disorder in our patients, and no T73I mutation in the *PTPN11* gene was seen in our study. Thrombocytopenia was only observed in the patients with *PTPN11* mutations, and this finding is consistent with previous studies (Bertola et al. 2006; Zenker et al. 2004).

There are some limitations to our study. First, this study was performed in one institute, which may have selection bias. Second, not all exons of *RAF1* gene were analyzed and there may be mutations on the other exons. Third, the wide distribution of patients' age might affect the phenotypes. Lastly, we did not perform any functional study for three putative novel mutations. Further studies including Korean NS patients, with their molecular analyses, should help to allow a more accurate genotype–phenotype correlation and to provide information in genetic counseling.

In conclusion, great progress in the detection and analysis of NS-causative genes has been achieved in recent years, but NS is still a challenging disorder. Our study illustrates the wide spectrum of developmental anomalies that result from deregulation of the RAS-MAPK signaling pathway and offers suggestions for genotype–phenotype correlations.

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