

ORIGINAL ARTICLE

Protistan microbial observatory in the Cariaco Basin, Caribbean. II. Habitat specialization

William Orsi¹, Virginia Edgcomb², Sunok Jeon³, Chesley Leslin¹, John Bunge⁴, Gordon T Taylor⁵, Ramon Varela⁶ and Slava Epstein^{1,7}

¹Department of Biology, Northeastern University, Boston, MA, USA; ²Department of Geology and Geophysics, Woods Hole Oceanographic Institution, Woods Hole, MA, USA; ³Department of Environmental Science, Kangwon National University, Kangwon-Do, South Korea; ⁴Department of Statistical Science, Cornell University, Ithaca, NY, USA; ⁵School of Marine and Atmospheric Sciences, Stony Brook University, Stony Brook, NY, USA; ⁶Estacion de Investigaciones Marinas de Margarita, Fundacion la Salle de Ciencias Naturales, Punta de Piedras, Edo. Nueva Esparta, Venezuela and ⁷Marine Science Center, Northeastern University, Nahant, MA, USA

This is the second paper in a series of three that investigates eukaryotic microbial diversity and taxon distribution in the Cariaco Basin, Venezuela, the ocean's largest anoxic marine basin. Here, we use phylogenetic information, multivariate community analyses and statistical richness predictions to test whether protists exhibit habitat specialization within defined geochemical layers of the water column. We also analyze spatio-temporal distributions of protists across two seasons and two geographic sites within the basin. Non-metric multidimensional scaling indicates that these two basin sites are inhabited by distinct protistan assemblages, an observation that is supported by the minimal overlap in observed and predicted richness of sampled sites. A comparison of parametric richness estimations indicates that protistan communities in closely spaced—but geochemically different—habitats are very dissimilar, and may share as few as 5% of total operational taxonomic units (OTUs). This is supported by a canonical correspondence analysis, indicating that the empirically observed OTUs are organized along opposing gradients in oxidants and reductants. Our phylogenetic analyses identify many new clades at species to class levels, some of which appear restricted to specific layers of the water column and have a significantly nonrandom distribution. These findings suggest many pelagic protists are restricted to specific habitats, and likely diversify, at least in part due to separation by geochemical barriers.

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Introduction

Protists are pivotal members of aquatic microbial communities. Through grazing on prokaryotic and other eukaryotic prey, they regenerate nutrients and modify or remineralize organic matter (Taylor, 1982; Jumars *et al.*, 1989; Sherr and Sherr, 2002). In addition, they can affect the quantity, activity and physiological state of their prey (Madsen *et al.*, 1991; Frias-Lopez *et al.*, 2009). Through direct and indirect effects, protists help determine metabolic potentials of microbial communities and influence aquatic carbon cycling. Bacterial grazing is principally performed by small flagellated protists and

ciliates (Sherr and Sherr, 2002; Frias-Lopez *et al.*, 2009). Grazing releases inorganic nutrients that often limit primary production, and makes organic carbon available to higher trophic levels (Berman *et al.*, 1987; Caron, 1994, 2000; Sherr and Sherr, 2002; Pernthaler, 2005; Jurgens and Massana, 2008). In recognition of their importance in aquatic communities, protists are now considered in numerical models of carbon cycling and in paradigms of surface and deep-ocean microbial ecology (Aristegui *et al.*, 2009). However, relatively little is still known about protistan diversity and distributions, particularly in the deep sea, and the degree to which specific habitats select for unique communities.

A century ago Beijerinck (1913) suggested that, in the microbial world, 'everything is everywhere', implying that microorganisms are limitless in their dispersal abilities. This idea became a topic of debate (Finlay and Fenchel, 1999; Finlay, 2002; Richards and Bass, 2005; Martiny *et al.*, 2006;

Correspondence: S Epstein, Department of Biology, Northeastern University, 313 Mugar Building, Boston, MA 02115, USA.
E-mail: slava.epstein@gmail.com

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Bass *et al.*, 2007). For example, one view holds (Finlay and Clarke, 1999) that a soil sample under 0.1 cm², can contain at least 78% of all globally found species of examined protists (the Genus *Paraphysomonas*), implying that liters of sea water examined here might contain the bulk of protistan diversity described to date. A logical extension of this view is a proposition that protistan species that are abundant locally should also be abundant globally, and *vice versa* (Finlay *et al.*, 2001). An alternative view is the 'moderate endemicity' model, proposed by Foissner (2006). The latter posits that some protistan species have cosmopolitan distributions, whereas other species have restricted distributions, a hypothesis gaining experimental support (Bass *et al.*, 2007).

Determining whether microbial eukaryotes have distinctive biogeographies is far from trivial. Given the high abundances and reproductive potentials of microorganisms, high dispersal rates could allow many species to physically permeate almost all environments (Finlay, 2002; Cavalier-Smith, 2004; Fenchel and Finlay, 2004), but a number of empirical studies do not support this idea (Foissner, 1999; Rutherford *et al.*, 1999; Lawley *et al.*, 2004; Richards and Bass, 2005; Boenigk *et al.*, 2006; Telford *et al.*, 2006; Soininen and Heino, 2007; Fuhrman *et al.*, 2008). Two examples are studies of longitudinal richness gradients of some marine foraminiferans (Rutherford *et al.*, 1999) and bacteria (Fuhrman *et al.*, 2008), suggesting that temperature explains a large portion of microbial endemicity. A handful of studies have addressed habitat specialization of marine protists but have either focused on specific groups (Guillou *et al.*, 2008) or are based on a relatively small number of samples and sequences (Edgcomb *et al.*, 2002, 2009; Stoeck *et al.*, 2003, 2006, 2007; Countway *et al.*, 2005; Zuendorf *et al.*, 2006; Alexander *et al.*, 2009).

The Cariaco Basin, Venezuela, harbors the world's largest truly marine body of permanently anoxic water, and provides a convenient model to study the role of geochemical gradients as possible barriers for active and passive protistan migration and colonization. Temporal changes in the biogeochemistry of the basin have been well studied (Scranton *et al.*, 2001; Muller-Karger *et al.*, 2001a; Astor *et al.*, 2003) as well as the spatio-temporal dynamics of bacterial populations (Lin *et al.*, 2008). Seasonal shifts in local upwelling intensity, rates of fluvial discharge, trade wind intensity and lateral intrusions of oxic waters influence primary production, microbial biomass and metabolic rates (Muller-Karger *et al.*, 2001a; Taylor *et al.*, 2001a; Astor *et al.*, 2003; Lin *et al.*, 2008). However, little is known about these effects on protistan populations. The Cariaco's water column transitions from fully oxic to sulfidic across a temporally varying boundary between 250 m and 350 m of depth. Within the redox transition zone lies strong gradients in O₂, NO₃⁻, H₂S, NH₄⁺, NO₂⁻, PO₄³⁻ and CH₄, and enrichments in S₂O₃²⁻, SO₃²⁻, S⁰, Mn²⁺

and Fe²⁺ that select for specific prokaryotic phylogenotypes at different depths (Taylor *et al.*, 2001a; Lin *et al.*, 2006, 2007, 2008; Li *et al.*, 2008). Within the redoxcline, peaks in prokaryotic metabolic activity and cell numbers are observed, which often coincide with peaks in protistan cell numbers (Taylor *et al.*, 2001a, 2006). Lin *et al.* (2008) showed significant vertical variations in bacterial community structure between oxic, transition and anoxic zones, as well as horizontally between different sites. Vertical, seasonal and geographic patterns in prokaryotic community distribution have also been documented in other locations (see Treusch *et al.*, 2009, for recent synopsis). Recently, genetic approaches have begun to document marine protistan diversity (see Edgcomb *et al.* in this issue for a detailed discussion). However, spatio-temporal analyses of protistan communities have been limited (for example, Countway *et al.*, 2007; Not *et al.*, 2007). Studies have thus far been generally constrained to fewer than 2000 total small subunit ribosomal rRNA gene sequences from any single environment or sample, which in light of observed diversity makes it difficult to assess spatio-temporal differences in protistan communities. Here, we attempt to provide a more comprehensive approach. Although we did not collect multiple samples at each sampling point, we went substantially beyond earlier investigations by collecting samples spanning the major biogeochemical habitats of the Cariaco Basin, revisiting these habitats in different seasons and undertaking the largest Sanger-based 18S rRNA gene sequencing effort within a given oceanographic regime to date. Our data provide a unique opportunity to examine species richness and distribution within an oceanographic regime.

Materials and methods

More extensive information is in the Supplementary Materials and Methods in the companion paper in this issue by Edgcomb *et al.*

Sample collection

Samples were collected from three sites in the Cariaco Basin, Venezuela: Site A (10.50°N, 64.66°W), Site B (10.40°N, 64.46°W) and Site C (10.40°N, 65.35°W) between January and May of 2005 (Figure 1). Samples for DNA extractions were collected at depths corresponding to 40 m above the oxic/anoxic interface, within the oxic/anoxic interface, 40 m below the interface and at 900 m. The oxic/anoxic interface was defined as the depth at which oxygen became undetectable. Thus, a total of 24 water samples were collected (2 months × four depths × three sites). Samples were withdrawn from Niskin bottles (General Oceanics, Miami, FL, USA) under N₂ pressure and captured on 47 mm Durapore membranes (Millipore, Billerica, MA, USA) (0.45 μm

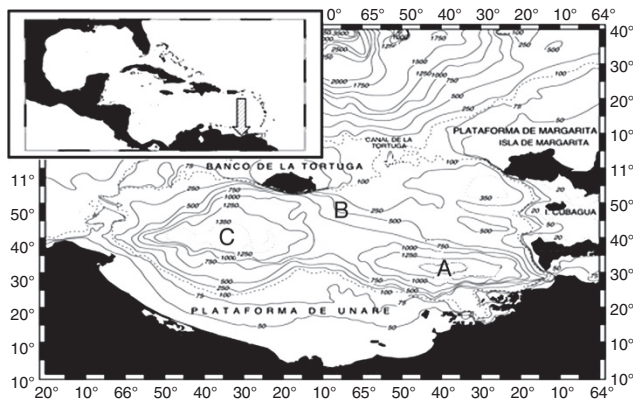


Figure 1 Map of sample sites in the Cariaco Basin, Venezuela. Site A represents the site of the US–Venezuelan CARIACO biogeochemical time series program in the eastern sub-basin. Site B is a shallower site south of the Tortuga channel, the source of incoming Caribbean waters. Site C is centered in the less productive western sub-basin to the east of Canal Centenela.

pore size) onboard, with little to no sample exposure to the atmosphere. Depending on cell concentration in each sample, 2.5–2.8 l samples were filtered under gentle vacuum (<25 cm Hg) until no passage of water through filters was observed. At least two filters from each sample were used for DNA extractions. Membranes were stored in cryovials containing DNA extraction buffer (Stoeck *et al.*, 2003) at -20°C until further processing.

DNA preparation and PCR amplification of 18S rDNA
DNA was prepared using previously described methods (Stoeck *et al.*, 2003, 2007). Depending on yield, DNA from 2–3 filters per depth at each site was combined before PCR. The 18S rRNA gene was amplified using four different primer combinations; Euk528F (5'-CGGTAATCCAGCTCC-3') paired with U1492R (5'-GGTTACCTTGTACGACTT-3'), U1391R (5'-GGGCGGTGTGTACAARGR-3') or with U1517R (5'-ACGGCTACCTTGTACGACTT-3') and Euk360F (5'-CGGAGARGGMGCMTGAGA-3') paired with U1492R. All primer combinations were applied to all samples, and PCR products from \geq three reactions were pooled per primer pair for each sample.

Clone library construction and sequencing

To constrain costs and allow for deeper sequencing of individual libraries, PCR products for sites B and C were pooled from the same depths before clone library construction (reducing the number of analyzed sites to two, and the total number of analyzed samples from 24 to 16). Separate clone libraries were constructed for each of the four depths from the eight-site B/C samples (four from May 2005 and four from January 2005) and from the eight-site A samples. Processing of the data used PHRED and PHRAP (Ewing and Green, 1998; Ewing *et al.*, 1998), and a pipeline script to call bases from chromato-

grams and perform quality control procedures. The sequences were checked for chimeras using the Bellerophon Chimera Check and the Check_Chimera utilities (Ribosomal Database Project) (Cole *et al.*, 2003). After removal of short sequences (<800 bp), putative chimeras, bacterial, archaeal and metazoan sequences, the remaining sequences were grouped into operational taxonomic units (OTUs) based on 90, 95, 98 and 99% rRNA gene sequence similarity levels. This was achieved by first making all possible pairwise sequence alignments by using ClustalW (Thompson *et al.*, 1994), calculating percentage sequence identities, followed by clustering the sequences by using the unweighted pair group method with arithmetic mean, as implemented in the OC clustering program (<http://www.compbio.dundee.ac.uk/Software/OC/oc.html>). All protistan sequences have been deposited in the Genbank, see the accompanying paper in this issue, Edgcomb *et al.*, for details.

Phylogenetic analyses

For our phylogenetic analyses, we used 'centroid' sequences, each representing OTUs sharing 95% identity (for practical considerations). The centroid sequence was defined as the least dissimilar sequence relative to all sequences within a cluster at the 95% threshold. A centroid representative from each OTU was compared against the GenBank-nt database using BLASTn in search of their closest sequence relatives. The highest scoring cultured and uncultured sequence relatives of each centroid were retrieved, and aligned using ARB (Ludwig *et al.*, 2004).

Statistical analyses of clone library data for richness predictions

For each sample, we obtained 'frequency count' data, that is, the numbers of OTUs registered in the corresponding clone library only once, twice and so on, at the 90, 95, 98 and 99% levels of sequence identity. This data was used to estimate, at each % identity level, the total number of OTUs, representing the sum of seen and unseen. The clustering of the entire data set was used to test the fit of a wide range of parametric and non-parametric models for predicting total protistan diversity in the Cariaco Basin (see Edgcomb *et al.*, this issue for a complete description), a methodology that has been used in previous studies (Hong *et al.*, 2006; Jeon *et al.*, 2006) for the estimation of microbial richness. This method was implemented here using the software package CatchAll (Bunge, 2011). The entire data set was then separated by sites (A vs combined B and C) and the four depths from site A (40 m above the oxic/anoxic interface, interface, 40 m below and 670–900 m) and clustered separately. These data were used to predict taxon richness of the different environments based on clustering data at 99% sequence similarity (for details see Supplementary Materials and Methods in Edgcomb *et al.*, this issue).

Canonical correspondence analysis, multi-response permutation procedure and non-metric multidimensional scaling

Canonical correspondence analysis (CCA) is an ordination method that allows for the exploration of community responses to environmental gradients by reducing the dimensionality of the analysis such that the axes reflect a linear combination of the environmental variables and the OTU data (McCune and Grace, 2002). CCA was used here to elucidate the relationships between protistan community structure and concentrations of dissolved O₂ and sulfide. Multi-response permutation procedure (MRPP) is a tool used for testing the hypothesis of no effect of a variable on two different groups of entities and can help determine whether a variable exerts a statistically significant influence on sampled communities (McCune and Grace, 2002). We used MRPP to assess the effect of sulfide, oxygen, season, site and depth on the distribution of OTUs. Cases in which species composition is influenced by external factors such as oxygenated water or terrestrial sediment intrusions from seasonal riverine inputs instead of simply internal factors or environmental gradients, non-metric multidimensional scaling (NMS) is an ordination method that might be more appropriate (McCune and Grace, 2002). We ran a NMS analysis using PC_ORD v4 (MJM Software Design, Gleneden Beach, OR, USA) and selected the autopilot run with the slow and thorough option (McCune and Grace, 2002). Stress values were used as a measure of goodness of fit and values <15 indicate a low probability of drawing the wrong inferences from the results. Monte Carlo tests were used to identify dimensions with solutions that were significantly different from those arising strictly by chance. CCA, NMS and MRPP analyses were applied to our sequence data set, clustered at the 95% sequence identity threshold.

Urn model hypothesis test

As a further statistical test of equal distribution of any particular OTU across the sampled depths, we used an 'urn model', which assumes that the occurrence of each sequence is equally likely in all urns (every depth in this case). The probability of 0, 1, 2 or 3 empty urns was determined by a well-established formula (Kolchin and Chistyakov, 1975). This allows computation of the probability that at least one urn will be empty (OTU does not appear at any one depth), thus obtaining the *P*-value for the test of the null hypothesis of random distribution.

Results

A total of 64 clone libraries were produced after applying the different primer sets to DNA extracted from each of the samples (16 samples × 4 primer sets). From each of the 64 libraries, we sequenced an average of 250 clones, resulting in over 16 000 rRNA

gene sequences. Rigorous culling of confirmed or suspected metazoan, chimeric and short (<800 bases) sequences left 6498 high quality (average length 1100 bases) 18S rRNA protistan gene sequences (for details on the number of rRNA gene sequences analyzed in this study classified by season, biogeochemical habitat, site and PCR primer pair from each sample, see Supplementary Table 2 in Edgcomb *et al.*, this issue). Clustering our data set at the 95% and 99% sequence identity thresholds produced a total of 822 and 2106 protistan centroids, respectively. The percentages of these centroids affiliated with different higher-level taxonomic groups of protists based on BLASTn searches are presented in Supplementary Figure S1 for each depth sampled. Rhizaria, followed by Stramenopiles, dominate our clone libraries at all depths, whereas the Ciliophora, Dinoflagellata, Syndiniales and Euglenozoa represent progressively less abundant clones in the libraries.

Predicting OTU richness

For a complete discussion of the results of OTU clustering see Edgcomb *et al.*, (this issue). The overlap of empirically observed OTUs at the 99% identity level between the sampled depths and sites is presented in Supplementary Figure S3. Between the communities above and within the interface only 9% of OTUs are shared, and less overlap (8%) exists between the communities above and below the interface. In addition, over 90% of OTUs present at site A are not found at sites B and C and *vice versa*. Figure 2a presents the statistical predictions of total OTUs at 99% sequence identity for sampled depths from 40 m above the oxic/anoxic interface, the interface and 40 m below, as well as pooled data for the first and second, and second and third depths. These three depths were selected for presentation because they are in closest proximity (~40 m) to one another. The logic behind this analysis is that if one habitat contains X species, the other habitat contains Y species and the two habitats combined contain Z species, then $(X + Y) - Z$ is the maximum number of species shared by the two habitats. The results show a predicted overlap of 904 (±728) OTUs between communities above the interface and within the interface (Figure 2a). Less overlap is predicted for the communities above and below the interface (277 ± 1066 OTUs). Following the same logic, we obtained the richness estimated for site A and the combined estimation for sites B and C. These roughly sum to equal the lower bound of the s.e. for the overall basin prediction of diversity, suggesting that many OTUs are unique between these sites (Figure 3a).

Canonical correspondence analysis, multi-response permutation procedure and non-metric multidimensional scaling

CCA identified environmental parameters that may explain a large proportion of the observed protistan

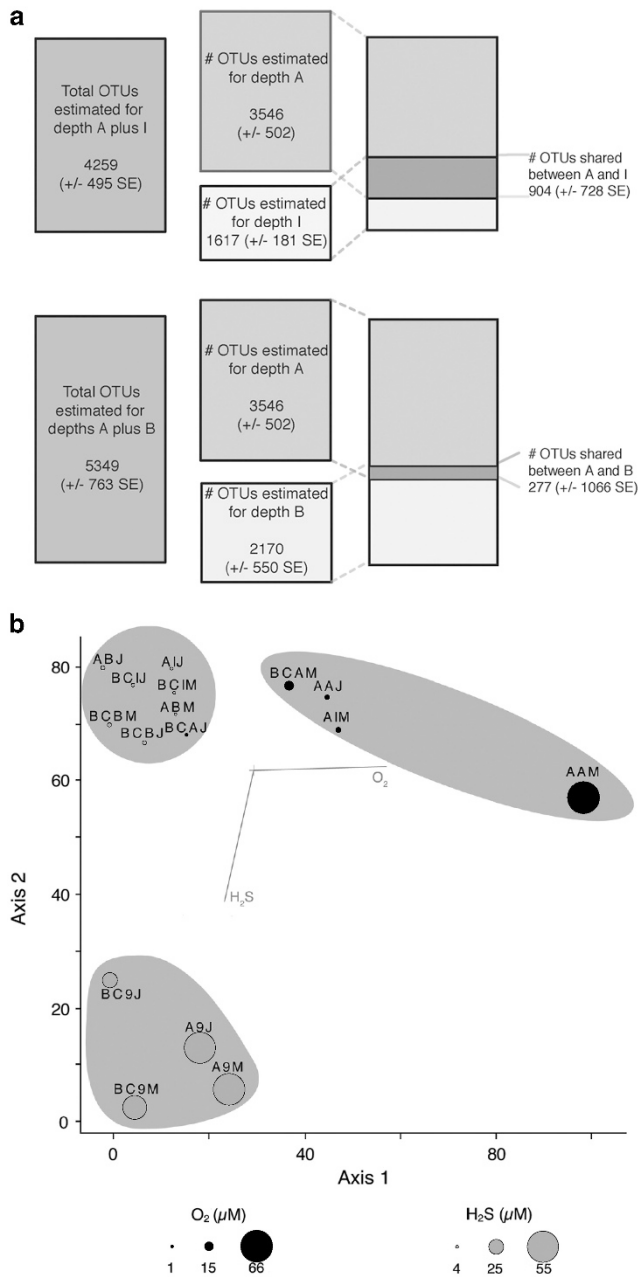


Figure 2 (a) Total protist richness predictions for selected environments (A, 40 m above interface, I, interface and B, 40 m below interface) of the chemically stratified water column in the Cariaco Basin at Site A. (b) A biplot generated from a canonical correspondence analysis (CCA) of our 18S rRNA data set clustered at the 95% sequence identity level. Environmental variables sulfide and oxygen are represented by arrows. Sample depths are represented by circles, the size and color of which indicate the detected amount of either oxygen or sulfide in that sample. Samples that cluster together on the biplot are highlighted in gray.

community distribution pattern. The analysis shows a separation of protistan communities within samples from oxygenated, microoxic and sulfidic waters of the Cariaco Basin along O_2 and H_2S gradients (Figure 2b). The species–environment correlations

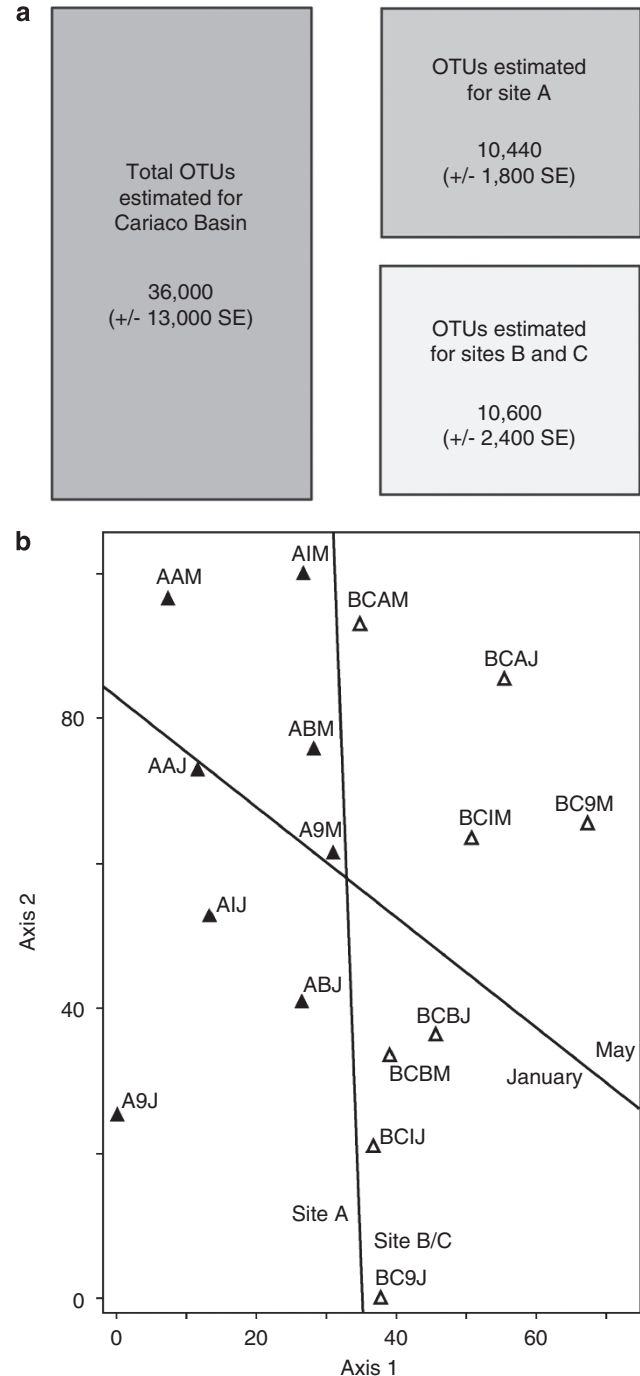


Figure 3 (a) Predicted richness of protistan assemblages in two different geographic locations with in the Cariaco Basin compared with that predicted for the entire basin. (b) Non-metric multidimensional scaling (NMS) ordination of our 18S rRNA data set clustered at the 95% sequence identity level. Triangles represent the 16 samples taken; white and black triangles correspond to combined samples from sites B and C, and site A, respectively. A sample name ending in M or J (that is BCIM, AIJ and so on) corresponds to May and January samples, respectively. Lines are drawn onto the biplot to separate samples that cluster together.

were 0.971 and 0.990 for axes 1 and 2, respectively, and the two-dimensional plot shows that axes 1 and 2 explained 34.6 and 28.4% of the variance in OTU

distribution, respectively. A Monte Carlo test for significance of the Eigenvalues provided a *P*-value ≤ 0.02 .

NMS ordination indicates that there are distinct assemblages of protists recovered from site A samples vs sites B/C and between the two seasons (Figure 3b). After 43 iterations, the stress of the final two-dimensional solution was 14.1 (below the cutoff of 15) and therefore the solution was considered stable. Axes 1 and 2 explain 28% and 20% of the variance, respectively. A MRPP was performed for season, site, sulfide, oxygen and depth, which were all found to have a significant effect (≤ 0.05) on the observed distribution of OTUs.

Phylogenetic analyses

Among rhizarians, Radiolaria were by far the most abundant phylum represented by 2216 sequences and 150 centroids. Over 50% of these fell into one of the five Radiolarian sequence clades (RAD 1–5) described from the Sargasso Sea by Not *et al.* (2007), principally into the RAD-3 clade, detected from all depths, both seasons and sites (Table 1). In addition to these five 'RAD' clades, several other Radiolarian centroids from our data set form 14 lineages (Figures 4 and 5) labeled RAD 6–19. We identified two new lineages (RAD-11 and 12) within the Acantharea and two new lineages within the Taxopodia (RAD-13, 14). Four RAD lineages (7, 8, 9 and 10) were related to the Polycystinea and do not contain sequences from oxygenated samples. The RAD-9 lineage contained 77 sequences that derive from 7 of the 12 total samples from the anoxic water column.

Approximately, 14% of our data set was affiliated with the Stramenopiles, which formed 148 centroids. On our phylogenetic tree of all Stramenopile centroids (data not shown), 339 sequences clustered with the heterotrophic Stramenopiles. Most lineages detected were uncultured marine stramenopiles (MAST) (Massana *et al.*, 2004; Kolodziej and Stoeck, 2007). The number of sequences within each sample affiliated with MAST clades is organized by depth in Table 1. We discovered six lineages, MAST 14–19, that did not group with the 13 established MAST clades (Massana *et al.*, 2004; Kolodziej and Stoeck, 2007) or the 'mystery heterokont' groups (Richards and Bass, 2005) (Figure 6). Several of these new lineages were not detected in any oxygenated samples (Table 1). The MAST-14, 15 and 16 lineages were affiliated with the Bicosoecida, although the latter two are supported weakly. In contrast, MAST 17 and 19 branch within the Thraustochytrids and Labyrinthulids, respectively, with strong support from both methods.

Sequences affiliated with the Syndiniales (Alveolata) represent approximately 16% of our clone library sequences (Supplementary Figure S1). We detected representatives from all five groups of Syndiniales (Guillou *et al.*, 2008) with most of the sequences being related to groups I (247 sequences)

Table 1 The number of occurrences of various environmental clades detected in this study at each depth sampled

Environmental clade	A seq (samp)	I seq (samp)	B seq (samp)	D seq (samp)	Total seq (samp)
RAD-1	2 (1)	2 (1)	0	2 (1)	6 (3)
RAD-3	693 (4)	333 (4)	50 (4)	142 (4)	1361 (16)
RAD-4	2 (2)	3 (1)	1 (1)	0	6 (4)
RAD-6*	5 (2)	19 (3)	157 (4)	1 (1)	182 (10)
RAD-7*	0	2 (1)	1 (1)	1 (1)	4 (3)
RAD-8*	0	2 (2)	2 (2)	0	4 (4)
RAD-9*	0	12 (1)	57 (3)	8 (3)	77 (7)
RAD-10*	0	0	3 (2)	0	3 (2)
RAD-11*	0	2 (2)	0	0	2 (2)
RAD-12*	1 (1)	1 (1)	2 (1)	0	4 (3)
RAD-13*	0	2 (1)	2 (2)	1 (1)	5 (4)
RAD-14*	10 (2)	1 (1)	1 (1)	0	12 (4)
RAD-15*	2 (1)	0	1 (1)	0	3 (2)
RAD-16*	3 (2)	5 (2)	2 (2)	18 (1)	28 (7)
RAD-17*	2 (2)	0	2 (2)	2 (2)	6 (6)
RAD-18*	38 (4)	11 (3)	1 (1)	1 (1)	51 (9)
RAD-19*	125 (2)	62 (1)	2 (1)	82 (1)	271 (5)
MAST-1	9 (3)	10 (2)	5 (3)	5 (2)	29 (10)
MAST-3	7 (4)	17 (4)	19 (4)	20 (4)	63 (16)
MAST-4	0	0	2 (2)	6 (1)	8 (3)
MAST-5	2 (2)	3 (1)	2 (1)	0	7 (5)
MAST-7	5 (2)	3 (3)	3 (1)	1 (1)	12 (7)
MAST-8	12 (3)	8 (3)	23 (3)	28 (4)	71 (13)
MAST-9	6 (3)	14 (2)	28 (2)	4 (1)	52 (8)
MAST-12	1 (1)	1 (1)	0	0	2 (2)
MAST-14*	0	5 (2)	0	0	5 (2)
MAST-15*	0	0	1 (1)	0	1 (1)
MAST-16*	0	2 (1)	2 (1)	0	4 (2)
MAST-17*	6 (2)	2 (2)	1 (1)	0	9 (5)
MAST-18*	1 (1)	0	0	0	4 (2)
MAST-19*	0	7 (1)	2 (2)	4 (1)	13 (4)
NA1.1	0	7 (2)	1 (1)	2 (2)	10 (5)
NA1.2	10 (4)	30 (3)	13 (2)	2 (1)	45 (10)
NA1.3	6 (4)	6 (2)	10 (3)	0	22 (9)
NA1.4	21 (4)	40 (4)	47 (4)	25 (2)	134 (14)
NA1.5	0	0	0	1 (1)	1 (1)
NA1.6	0	0	4 (1)	2 (2)	6 (3)
NA1.7	5 (2)	0	0	0	5 (2)
NA1.8	2 (1)	3 (3)	4 (2)	0	9 (6)
NA1.9*	0	3 (1)	1 (1)	3 (1)	7 (3)
NA1.4A*	1 (1)	0	2 (2)	0	3 (3)
NA1.4B*	0	1 (1)	4 (2)	0	5 (3)
Putative novel euglenozoa class*	0	4 (1)	25 (4)	21 (5)	50 (10)
Symbiontida	0	1 (1)	0	9 (1)	10 (2)
sister clade A*					
Symbiontida	0	4 (2)	18 (6)	10 (2)	32 (10)
sister clade B*					

Abbreviations: MAST, marine stramenopiles; RAD, radiolarian sequence clade; NA, Novel Alveolate sequence clade.

'seq' indicates the overall number of occurrences and 'samp' is the number of samples in which the clade was detected. Clades marked with an asterisk are unique to this study.

and II (140 sequences). Within group I, we identified a new clade (100% support from both methods) labeled I.9 (Figure 7) that does not cluster with the established 8 group-I sub-clades of Syndiniales (Guillou *et al.*, 2008). Within the Novel Alveolate (NA) 1.4 clade, seven centroids from our data set form two supported sub-clades (1.0 posterior probability and 93% bootstrap). Both I.9 and I.4A were detected in three of our anoxic samples and were

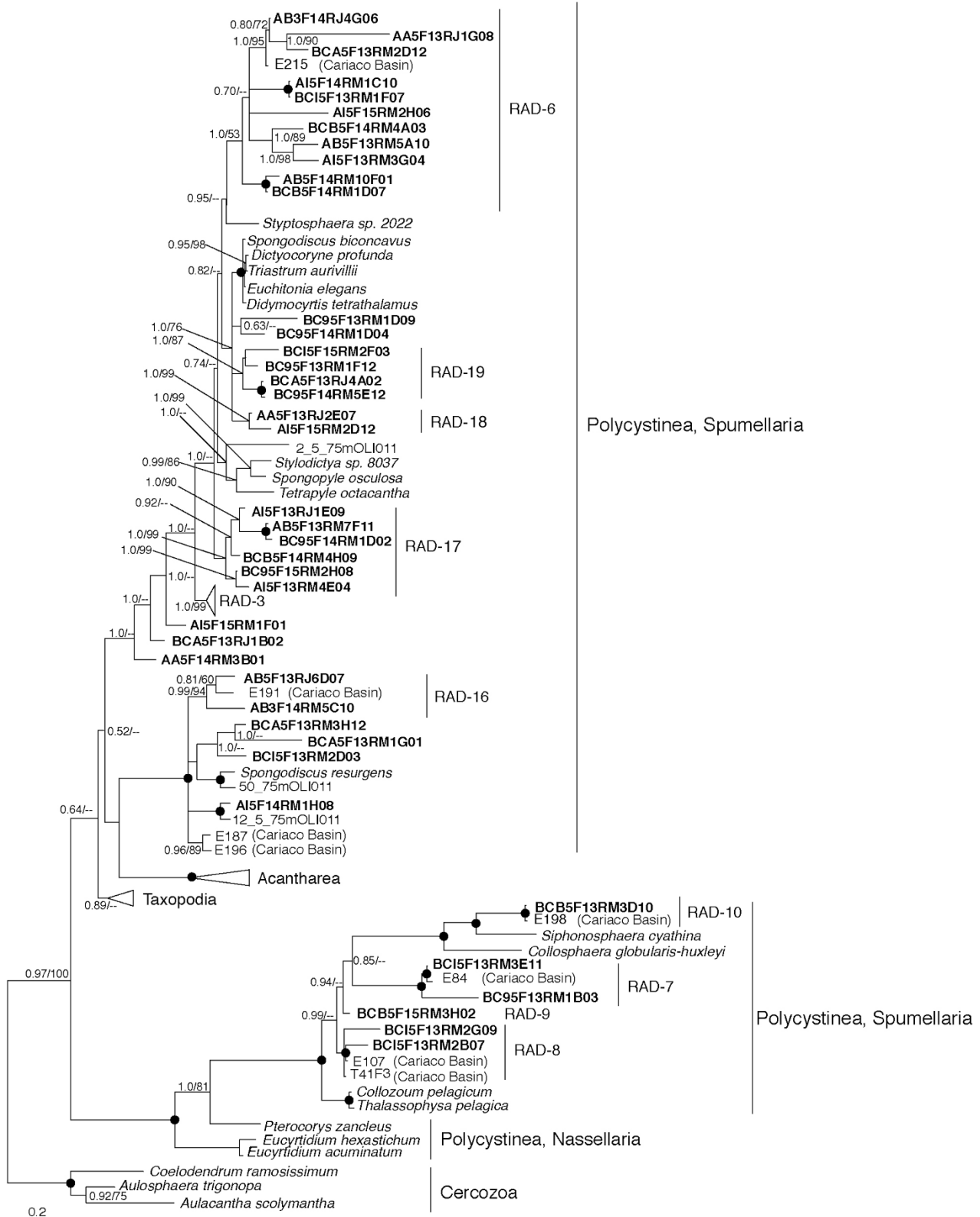


Figure 4 Phylogenetic relationships of selected Polycystinea (Radiolaria). The tree was constructed under Bayesian inference using an alignment of 925 unambiguous positions under the General Time Reversible (GTR) + I + Gamma model of sequence evolution. Bootstrap (RAxML) and posterior probability values greater than 50% are shown at the nodes in the order PP/ML. Black circles at nodes represent full posterior probability and bootstrap support. Radiolarian clades are labeled in accordance with the naming scheme established by Not et al. (2007). Centroids from our survey are in orange font. The color reproduction of this figure is available on the html full text version of the Manuscript.

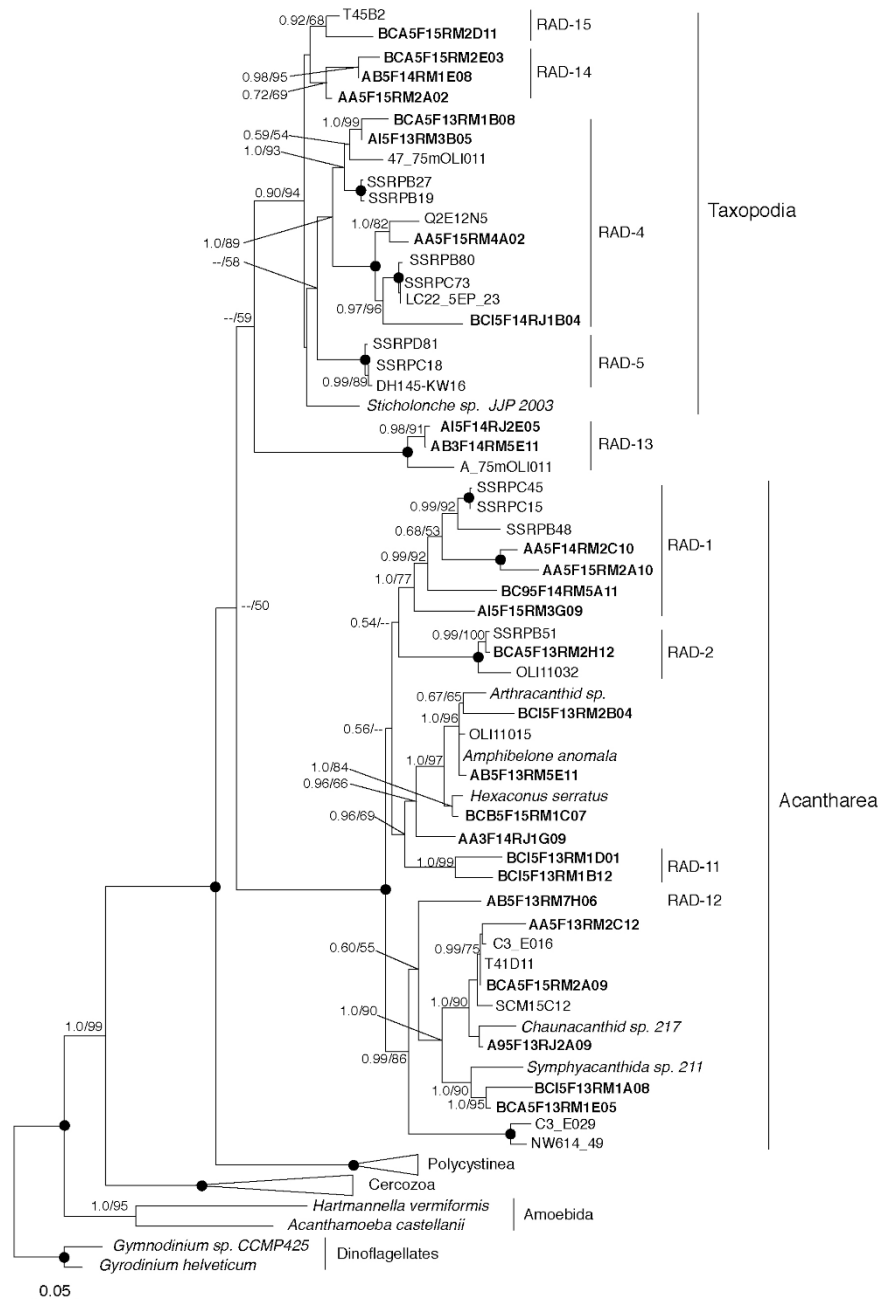


Figure 5 Phylogenetic relationships of selected Acantharea and Taxopodia (Radiolaria). The tree was constructed under Bayesian inference using an alignment of 887 unambiguous positions under the General Time Reversible (GTR)+I+Gamma model of sequence evolution. Clades are labeled in accordance with the naming scheme established by Not *et al.* (2007). For more information see Figure 4.

absent from our oxygenated water column samples (Table 1). Clade 1.4A was detected only from anoxic waters in the pooled samples from sites B/C and was absent from all site A samples.

We detected 90 sequences affiliated with the Euglenozoa that fell into 17 centroids at the 95% identity threshold, with the majority detected only in the 900m anoxic samples (Supplementary Figure S1). A total of 10 centroids, along with a sequence reported from an earlier survey of the Cariaco Basin (Stoeck *et al.*, 2003) form a clade with 100%

supported separation from all other major groups of Euglenozoa, and is presented here as a 'putative novel euglenozoan class' (Figure 8). This clade was detected in 10 out of 13 anoxic samples (9 from our survey plus 1 from Stoeck *et al.* (2003)) and it was absent from all oxygenated samples (Table 1). The closest named relative to this group of sequences is the recently described *Calkinsia aureus* (Yubuki *et al.*, 2009). Three sister clades (labeled Symbiontida clades A, B and C) to *Calkinsia aureus* were also identified; all with strong support on our trees.

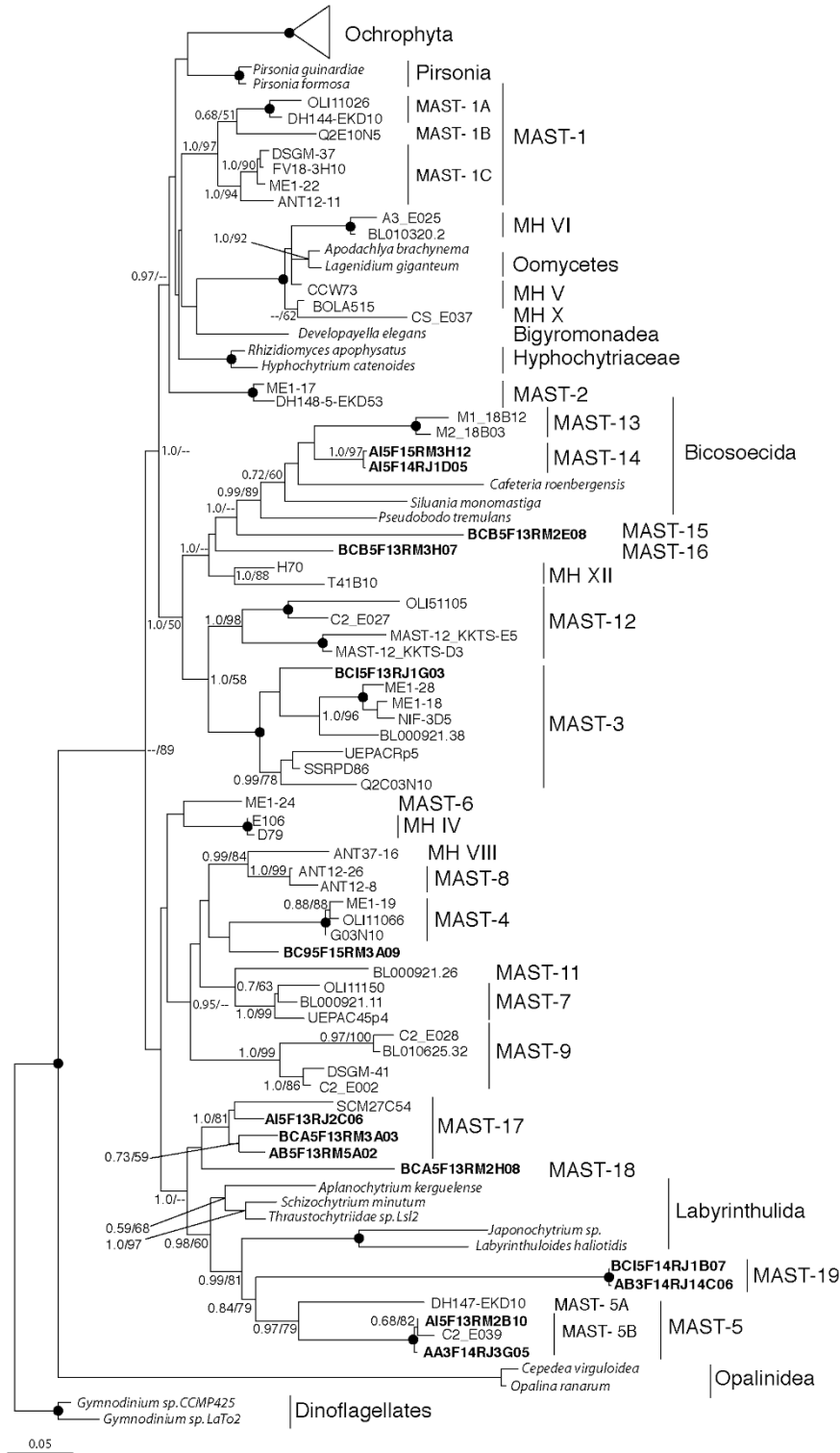


Figure 6 Phylogenetic relationships of selected marine stramenopiles (MAST). The tree was constructed under maximum likelihood using an alignment of 927 unambiguous positions under the General Time Reversible (GTR) + I + Gamma model of sequence evolution. The tree contains full-length sequence representatives from all (except MAST-10) MAST clades and ‘mystery heterokont’ clades (Richards and Bass, 2005). A separate RAxML tree based on a smaller number of characters to accommodate the MAST-10 clade (data not shown) confirmed that this was not a member of any of the new MAST clades described in this study. MAST clades are labeled in accordance with the naming scheme established by Massana *et al.* (2004). For more information see Figure 4.

Clades A and B contain sequences from anoxic samples of the Cariaco Basin only. Clade C consists of sequences from anoxic Framvaren and Mariager Fjords (Behnke *et al.*, 2006; Zuendorf *et al.*, 2006).

Having observed a number of protistan clades apparently restricted to specific geochemical regimes, we chose representative clades from several Kingdoms to statistically estimate whether their association with the respective regime could be because of chance using the Urn Model Test (Supplementary Figure S2). In all cases, such probability proved infinitesimally low, down to for example, 10^{-10} in case of the novel rhizarian clade RAD-9.

Discussion

Spatio-temporal distribution patterns and responses to environmental variables

Using phylogenetic and multivariate community analyses coupled with parametric richness estimations, we show that specific environmental variables impact the distribution of protistan taxa, thus, leading to their habitat preference. Three of the sampled habitats are particularly interesting for this type of analysis because they are sufficiently close to each other (40 m), and the water density gradient is sufficiently weak between these layers to allow, in principle, for frequent redistribution of the individual species by advective water mixing or active motility. The null hypothesis is that given no physical barrier to dispersal, mixing would ensure that each species is present throughout these closely spaced layers of the water column. On the basis of results from several parametric statistical analyses, we reject the null hypothesis and show that protistan communities in closely spaced—but geochemically different—habitats are dissimilar and may share as little as 5% of species (Figure 2a). This conclusion is supported by a direct comparison of empirically observed OTUs at these depths showing that over 90% of detected OTUs are unique (Supplementary Figure S3). Furthermore, canonical correspondence analysis of our data set showed that many of the empirically observed OTUs were organized along oxygen and sulfide gradients (Figure 2b), indicating these environmental variables are responsible, in part, for the observed OTU distribution in our data set. We note that distributions of protistan ecotypes most likely are shaped by integrated responses to the complex chemical milieu and the composition/activity of prokaryotic/eukaryotic prey communities and cannot be solely linked to a specific chemical species. In this study, we track distributions of protistan clades through the geochemically stratified water column, recognizing that oxygen and sulfide distributions correlate with numerous chemical variables that define biogeochemical habitats. We also acknowledge that sampling limitations inherent to microbial oceanography studies may mask many spatio-temporal

patterns. However, we argue that the relatively large sampling effort made here, across multiple seasons, sites and geochemical habitats, reveals gross patterns in community structure of pelagic protists.

Our NMS ordination indicates that there are distinct assemblages of protists recovered from site A vs sites B/C, and clear divisions in most (but not all) samples between the two seasons (Figure 3b). A MRPP *P*-value of 0.012 for the test of significance of geographic sites in the observed distribution of OTUs lends support to this conclusion. These results are corroborated by the minimal overlap in OTU numbers estimated and empirically observed for the locations compared (Figure 3a, Supplementary Figure S3), supporting the notion that spatial separation and local biogeochemistry drives, in part, the distribution of these protistan assemblages. The separation of samples from site A vs the combined B and C samples may be due to the fact that sites B/C are closer to channels providing input of oxygenated surface water from the Caribbean Sea. Site A is closest to riverine inputs from coastal Venezuela, which may drive community divergence among sites. Lin *et al.* (2008) found that among-site variation (site A vs sites B/C) in bacterial communities within the redoxcline was greater than the vertical variation between depths, possibly due to differences in primary production and lateral intrusions of oxic water at the different sites. The western basin has lower surface primary production than the eastern basin (Richards, 1975; Scranton *et al.*, 1987; Muller-Karger *et al.*, 2001a) and such differences likely contribute to variations in microbial communities (Lin *et al.*, 2008).

Water column productivity varies seasonally and spatially across the basin because of nutrient upwelling from late January through June due to intensifying trade winds (Muller-Karger *et al.*, 2001b). Seasonal upwelling events would explain the fact that the site A samples from May in the eastern sub-basin are distinct from the samples taken at the same location in January (Figure 3b). It also explains why a 100% seasonal distinction is not observed for the combined samples from sites B/C because site B is in the eastern sub-basin and site C is in the western sub-basin.

Significant temporal shifts in bacterial community composition along the redoxcline were observed over a 2-year period at the same three sites (Lin *et al.*, 2008). Trophic interactions between aquatic protists and bacteria, better known as the 'microbial loop,' are thought to have a major role in controlling bacterial dynamics (Taylor, 1982; Azam *et al.*, 1983). Phagotrophic protists can chemically sense and congregate at aggregations of prey (Fenchel and Blackburn, 1999). Their growth rates can keep pace with those of their bacterial prey (Fenchel, 1987; Sherr and Sherr, 1994, 2002; Finlay and Fenchel, 2001), and as bacterial population size and composition changes from site to site and season to season, it is logical to expect local protist populations to

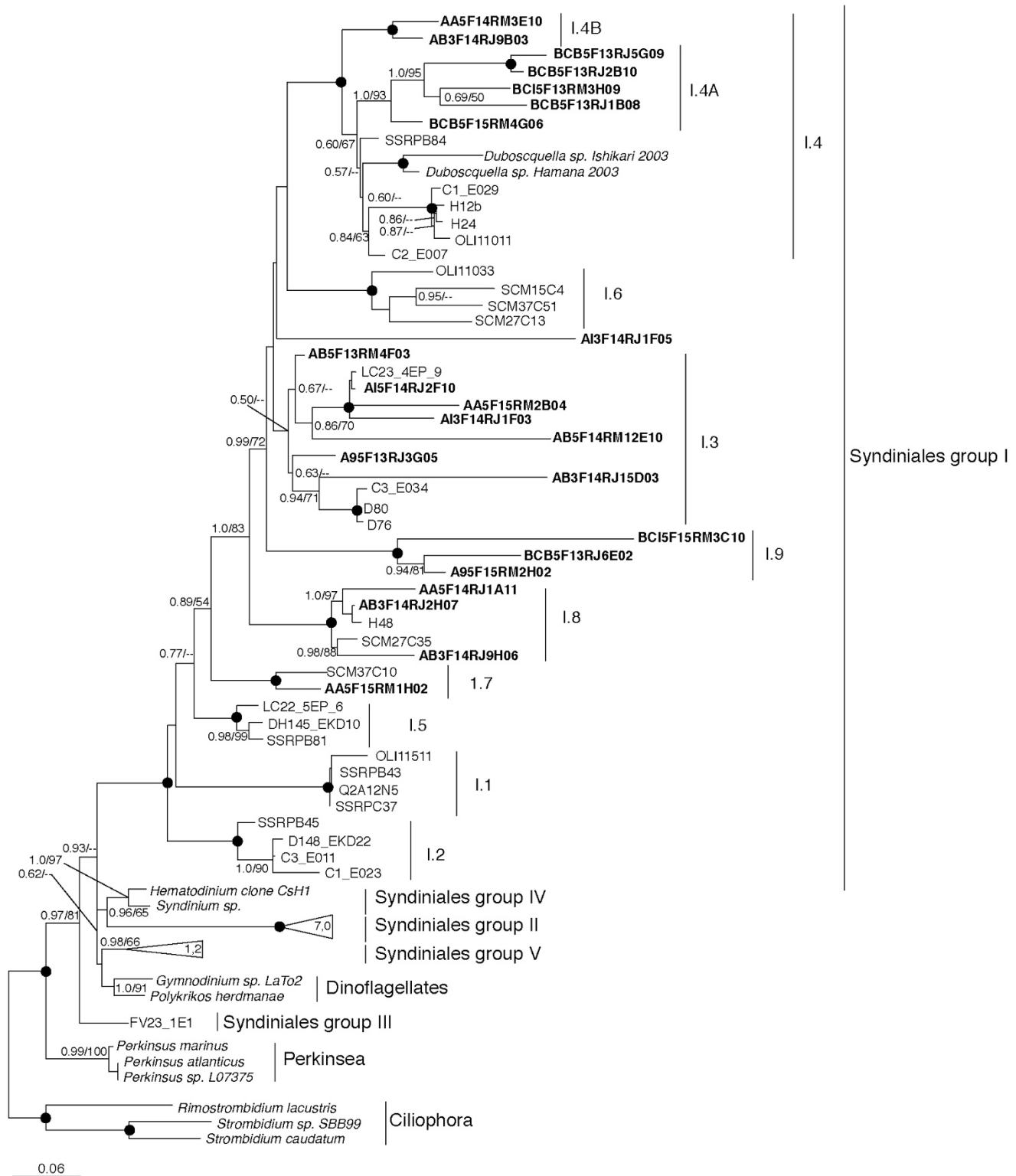


Figure 7 Phylogenetic relationships of selected Syndiniales. The tree was constructed under Bayesian inference using an alignment of 869 unambiguous positions under the General Time Reversible (GTR)+I+Gamma model of sequence evolution. The new Novel Alveolate (NA) 1.9 clade is labeled in accordance with the naming scheme established by Guillou *et al.* (2008). For more information see Figure 4.

exhibit similar variations as the local habitat changes and potential prey species select for community dominance by different protists. Thus, trophic

responses could be contributing to the relatively high standard error in some of our estimates of richness (Figure 3a).

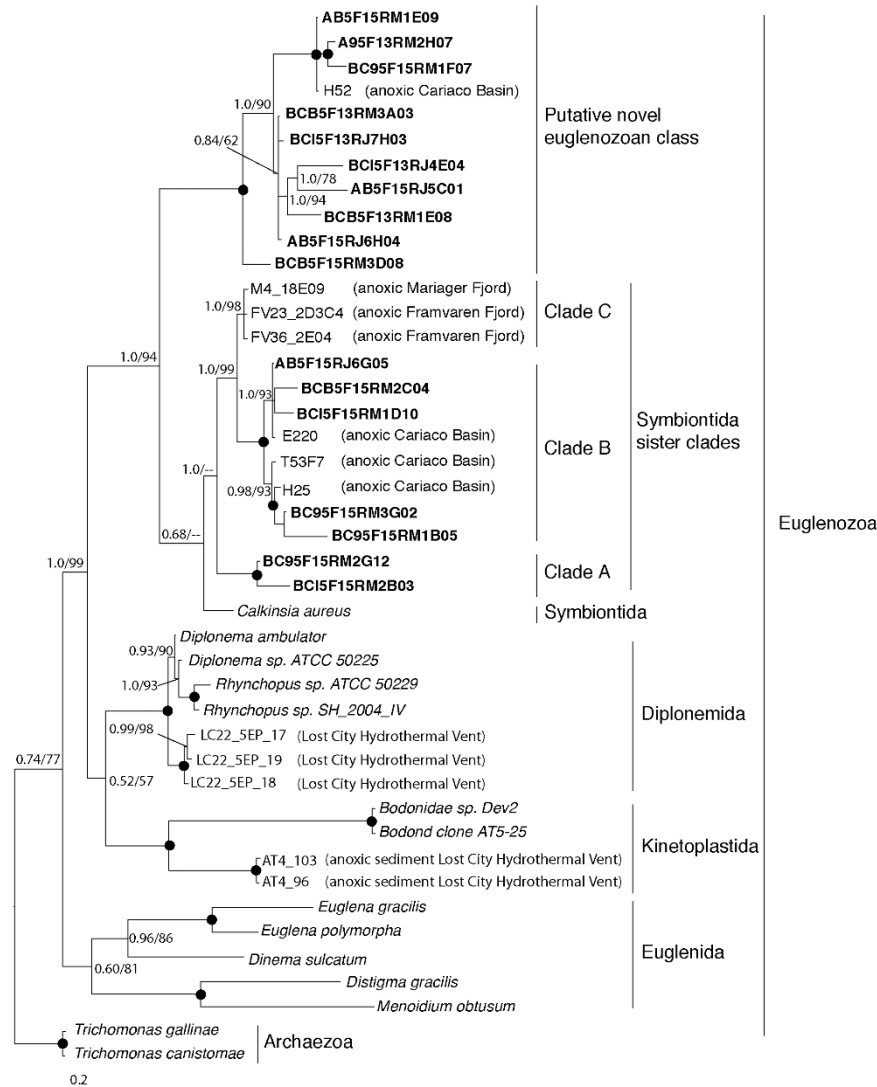


Figure 8 Phylogenetic relationships of selected Euglenozoa. The tree was constructed under Bayesian inference using an alignment of 766 unambiguous positions under the General Time Reversible (GTR) + I + Gamma model of sequence evolution. For more information see Figure 4.

Many OTUs were observed to occur exclusively in a particular geochemical regime. Among the 19 Radiolarian clades, 5 were detected in only one habitat (RAD 7, 8, 9, 10 and 11), but most of these are underrepresented in our data set, and are perhaps members of the ‘rare biosphere’. The RAD 9 clade was never observed in the basin’s oxygenated waters, but appeared 77 times in over 50% of all samples from oxygen-depleted and sulfidic depths. The probability of such a distribution being due to chance is essentially zero (Supplementary Figure S2). Thus, we conclude that RAD 9, and possibly several other Radiolarian clades, are indeed restricted to anoxic regimes.

The distributions of some clades within the alveolate Order Syndiniales were confined to suboxic and/or anoxic habitats similar to the RAD clades described above, perhaps indicating interesting ecological relationships. The Syndiniales is a diverse group of

picoeukaryotes (Moon-van der Staay *et al.*, 2001; Guillou *et al.*, 2008) exhibiting a parasitic lifestyle (Skovgaard *et al.*, 2005; Chambouvet *et al.*, 2008), representing 30% of all dinoflagellate sequences in public databases, and have only been retrieved from marine environments (Guillou *et al.*, 2008). The distribution of Syndiniales may be constrained by the observed endemic distribution of their hosts (Guillou *et al.*, 2008), among them the Radiolaria (Dolven *et al.*, 2007). Indeed, the Syndiniales clade I.9 does seem to be absent from oxygenated waters of the Cariaco Basin, and present exclusively under suboxic and anoxic conditions, mirroring the habitat specialization of several RAD clades (Figures 4, 5; Table 1).

Further examples of apparent habitat specialization can be found among Stramenopiles. Although several of the more frequently encountered MAST taxa seems to be distributed rather homogeneously throughout the water column (for example, MAST 1,

3 and 8), notable exceptions were evident; MAST 4, 14, 15, 16 and 19 were absent from oxygenated waters. In addition, some of these MAST clades appear to prefer anoxic waters immediately below oxic/anoxic interface, which coincidentally exhibits peaks in prokaryotic biomass and production (Taylor *et al.*, 2001b; Stoeck *et al.*, 2003). This distribution is interesting because MAST species have been reported from anoxic environments in the past (Stoeck *et al.*, 2003; Behnke *et al.*, 2006; Zuendorf *et al.*, 2006) and because at least some MAST species are known to be phagotrophic (Massana *et al.*, 2006, 2009). Considering our observations, the possibility that some MAST species are anaerobic bacterivores, and thus involved in regulating bacterial dynamics within and below the redoxcline is suggested.

One of the strongest cases of apparent habitat specialization is represented by the novel Euglenozoa clades we discovered. Some of the newly detected OTUs appear to form a novel class level clade that affiliates strongly with the H52 clone from an earlier survey of the deep anoxic Cariaco Basin (Stoeck *et al.*, 2003) (Figure 8). As importantly, this clade separates from all other Euglenozoa OTUs described to date from other anoxic marine environments (Behnke *et al.*, 2006; Zuendorf *et al.*, 2006; Lopez-Garcia *et al.*, 2007; Lara *et al.*, 2009). Additional euglenozoan signatures detected here form two sister clades to Symbiontida, a recently proposed new class of Euglenozoa represented by only a single species, *Calkinsia aureus* (Bernhard *et al.*, 2000; Yubuki *et al.*, 2009). Unique α -taxonomic as well as genomic characteristics support the separation of Symbiontida from the three traditional euglenozoan classes Euglenids, Kinetoplastids and Diplonemids (Yubuki *et al.*, 2009). Many euglenozoan 18S rRNA gene sequences revealed here seem unique to suboxic, anoxic or sulfidic habitats (Table 1), and the probability of such skewed distributions being exclusively due to chance is essentially zero (Supplementary Figure S2).

This study expands the taxonomic groups recovered in smaller-scale previous studies of the Cariaco Basin protist community (Stoeck *et al.*, 2003, 2006). Those studies also reported a differential recovery of particular taxonomic groups among depths from one site, but the depth of sequencing in those studies did not allow further testing of these observations.

Relevance to questions regarding protistan distributions

Clades unique to our study are highly suggestive of local speciation. The number of unique clades is high (Figures 4–8, Table 1), and these are spread over multiple Kingdoms. A potentially important example is the new putative euglenozoan class (Figure 8), which is distinct from all other euglenozoan taxa described so far from all locations. It is abundant in our sequence collection (a total of

50 individual sequences forming 10 centroids), and is apparently distributed throughout the basin's anoxic waters (detected in over 80% of all the anoxic samples), and does not contain related sequences from the growing number of surveys of anoxic environments reported to date (Edgcomb *et al.*, 2002, 2009; Stoeck *et al.*, 2003, 2009, 2010; Behnke *et al.*, 2006; Zuendorf *et al.*, 2006; Alexander *et al.*, 2009). Numerous other examples of apparent geographic distribution patterns include 14 novel lineages of Rhizaria, a new group-I uncultured Novel Alveolate clade and 6 new MAST Stramenopile clades. Also noteworthy is the absence of representatives from the Sargasso Sea in all of the novel clades reported here, as the Sargasso Sea has been sampled heavily (Venter *et al.*, 2004; Countway *et al.*, 2007; Not *et al.*, 2007; Piganeau and Moreau, 2007; Piganeau *et al.*, 2008) and lies just northeast of the Caribbean Sea. As different sites within even the basin itself harbor distinct species assemblages (Figure 3), it is not unexpected that the Cariaco Basin and Sargasso sea likely contain widely divergent communities. The simplest interpretation of these observations is that at least some microbial eukaryotes speciate, diversify and become restricted to a specific biogeochemical niche, and do not successfully disperse to either similar or dissimilar environments elsewhere on the globe. (We acknowledge that species of protists whose 18S rRNA genes have not yet been sequenced may bias our observations and interpretations regarding the potential for the global distribution of selected protist groups due to their underrepresentation in sequence databases).

At the same time, over 250 rhizarian, Dinoflagellate, Syndiniales, Stramenopile and various fungal OTUs (95% identity) appearing in our Cariaco Basin 18S rRNA libraries have previously been detected elsewhere (data not shown), under both similar and dissimilar biogeochemical conditions (Lopez-Garcia *et al.*, 2001; Moon-van der Staay *et al.*, 2001; Edgcomb *et al.*, 2002; Massana *et al.*, 2002; Stoeck *et al.*, 2003, 2006; Not *et al.*, 2007). This indicates that some protist taxa are dispersed widely, and are perhaps even cosmopolitan in their distribution.

Size-related dispersal of protists

The influence of microbial body size on dispersal has been debated, with arguments made for (Finlay, 2002) and against (Heino and Soininen, 2006; Soininen and Heino, 2007; Heino *et al.*, 2010) the existence of a connection between the two. One hypothesis (Fenchel and Finlay, 2004) asserts that with an increase in organism size there is a decrease in dispersal rate, whereas microbes of smaller sizes are more likely to have a wider dispersal range, leading to cosmopolitan distribution. We analyzed the apparent cosmopolitan and 'endemic' clades in our data set to see whether a particular body size range dominated either type of clades. We defined

clades detected in the Cariaco Basin as likely cosmopolitan if their representatives had been registered in at least three locations worldwide (including our survey), and as potentially endemic if all the clade's representatives were so far unique to the basin. To make realistic size assignments, all clades must fulfill an additional criterion: to be closely related (>90% 18S rRNA gene identity) to a described taxonomic group, the latter with known and relatively narrow size range of its members. Screening our sequence collection lead to identification of 32 likely cosmopolitan and 36 possibly endemic clades that satisfied the above criteria, which we then placed into one of three size groups based on the length and/or diameter of their known relatives: 1–20, 25–50 and 80–200 µm. The results of this classification are presented in Supplementary Table 1. Clearly, our endemic group contains as many clades within the smallest and largest among our size categories. Similarly, the smallest clades are equally distributed between 'endemic' or 'cosmopolitan' groups. In spite of uncertainties about definitions of endemism and size assignments, our analysis does not show a clear connection between the size of a cell and its dispersal potential. This in line with the findings of Heino and Soininen (2006) who, using freshwater diatoms as a model to study microbial dispersal capacity, did not observe strong evidence for a relationship between dispersal and body size.

Conclusions

An in-depth survey of the protistan community in the Cariaco Basin reveals an apparent restriction of some protists to different sites in the basin, as well as oxygenated, suboxic or anoxic/sulfidic environments. Phylogenetic and multivariate community analyses along with parametric estimations of richness imply that geographic location, seasonality and geochemical gradients define the community structure of the marine protists in the Cariaco Basin. We conclude that substantially different communities can exist in close proximity to one another, which permits speciation to proceed differently at different sites and depths in the basin. These analyses support the moderate endemism model (Foissner, 2006; Bass *et al.*, 2007), which views protists as an assemblage of species, some of which are cosmopolitan and others endemic, and thus biogeographically similar to macroorganisms.

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