Growth behavior of plexiform neurofibromas after surgery

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Purpose: The aim of this study was to analyze growth rate and identify prognostic factors for progression of postoperative plexiform neurofibromas in patients with neurofibromatosis type 1.

Methods: We measured postoperative tumor volume change per year on magnetic resonance imaging. Linear regression models were applied to identify risk factors for tumor progression.

Results: Fifty-two patients (mean age: 25.4 years (3.2–64.2 years)) with 56 plexiform neurofibromas were analyzed. Initial median tumor volume was 40.3 ml (SD: 1,552 (0–10,800)). Surgical indications included disfigurement (n = 21), pain (n = 20), and functional deficits (n = 16). Sixteen percent of all cases experienced acute surgical complication, and 13% showed late complication. Eight patients (19%; 6 children and 2 adults) with residual tumor had repeat surgery for tumor progression. Median tumor progression was 0.6% change per year (SD ± 27.4; range: -59.2 to 88.1) and 2.9% from baseline (SD ±

INTRODUCTION

Plexiform neurofibromas (PNs) are benign peripheral nerve sheath tumors that occur in patients with neurofibromatosis type 1 (NF1).¹ Approximately 50% of patients with NF1 have internal PNs evident on whole-body magnetic resonance imaging (MRI).^{2,3} The vast majority of these tumors seem to be congenital in origin and mainly progress during childhood.^{2,4,5} PNs arise from Schwann cells of peripheral, visceral, or subcutaneous nerves.⁶ These tumors may manifest as either superficial or deep, and either infiltrating or displacing. Therefore, PNs may cause aesthetic disfigurement and/or functional and neurological deficits depending on location, size, and adjacent anatomical structures that may be involved.⁷ Approximately 50% of children with internal PNs have tumor-related symptoms in early childhood that correlate with tumor size and location.⁸

To date, management of progressive symptomatic PNs remains medically challenging. PNs appear to be radioinsensitive tumors (unpublished data, own clinical observation), and radiation is avoided given the known risk of radiation-induced secondary malignancies.⁹ Despite emerging molecularly targeted agents, surgery is the only viable treatment option so far.

However, accurate quantification of the progression rate of PNs after surgery is lacking. In previous studies, prognostic 163.9; range -1,001.3 to 81.8). Patients aged 21 years and younger had the highest progression rate (P < 0.01). For every year of age, the mean growth rate decreased by -0.463 mean percent (P = 0.03). With age as a continuous variable, age, the site of the tumor, and depth were the only factors associated with tumor progression. Fourteen plexiform neurofibromas (10 nodular and 4 diffuse) in 13 patients (5 children and 8 adults) were completely resected (by visualization) and did not relapse during observation (mean: 2.9 years; range: 1.1–5.8 years).

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Conclusion: Age, tumor type, location, and depth are helpful to estimate the progression of plexiform neurofibromas after surgery. Patients benefit from elective surgery of small and completely removable plexiform neurofibromas.

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Key Words: magnetic resonance imaging; neurofibromatosis type 1; plexiform neurofibroma; surgery; volumetric analysis

indicators of recurrence were identified.¹⁰ Patients younger than 10 years at the time of surgery; with lesions of the head/neck, face, and trunk; or who received an incomplete resection, had a shorter time to relapse.^{10,11} On the other hand, favorable factors appeared to be gross total resection and low residual tumor volume.¹⁰ A study in seven young patients with small PNs, who underwent complete resection of superficial PNs, demonstrated no relapse after four consecutive years of follow-up.¹²

Surgical complications may be relatively common in NF1 patients given the frequent infiltrating and hypervascular nature of PNs; these include nerve damage, functional impairment, bleeding, and wound-healing abnormalities.^{13–15} Prada et al.¹⁴ reported sequelae after surgery in approximately 20% of their studied patients, especially in those with head/neck tumors.

In the current study, we analyze tumor growth rate, prognostic features for tumor progression, and symptoms of postoperative PNs in children and adults with NF1.

MATERIALS AND METHODS

We retrospectively analyzed clinical and MRI data of 52 children and adults with NF1. NF1 was diagnosed according to the National Institutes of Health Diagnostic Criteria.¹⁶ After informed consent for participation in the study protocol was obtained, all patients seen for PN at the University Hospital

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Volume change from baseline	10/1	0.0	-8.5	57.1	22.0	0.0	0.0	-7.8	-1,001.3	16.4	9.3	46.3	16.2	14.2	6.5	0.0	49.2	-4.9	0.7	0.0	40.9	0.7	2.9	-1.7	-27.6	-1.1	31.8	34.9	0.0	-103.7	tinvasive e than 20
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lime from surgery to scan	(years) 1.4	1.2	1.1	1.0	4.0	3.8	1.7	0.7	1.8	0.4	4.0	0.7	4.4	0.2	0.1	1.9	9.0	4.2	4.0	0.7	4.6	2.3	5.8	8.3	2.9	4.2	0.1	0.3	0.2	0.8	ad/neck; 2: lective proc
Age at irst scan	(years) 8.7	44.1	50.0	6.0	30.6	8.2	8.2	30.9	20.0	18.9	40.7	11.0	50.5	13.9	19.6	29.0	3.8	39.7	20.3	64.2	19.5	42.8	41.6	47.6	80.00	38.5	3.2	6.2	38.6	38.80	ale. ^b 1: he ession; E, el
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Patient	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	50	51	52	^a F, fema CC, corc



Figure 1 Tumor growth by age. Black dots in the gray areas show more than ± 20 percentage change per year or from baseline. (a) Black dots represent percentage volume change per year per tumor. (b) Black dots represent percentage volume change from baseline volume per tumor. MRI, magnetic resonance imaging. **Outlier.

Hamburg-Eppendorf between 1999 and 2010 and who had surgical removal of at least one PN were enrolled. As part of the protocol, all patients had postsurgical MRI studies of their PN. The ethical committee of the Medical Chamber in Hamburg reviewed and approved this project.

Patient and tumor characterization

All patients were neurologically examined pre- and postoperatively by two of the authors (V.-F.M. and R.E.F.). Preoperatively, patients were examined for tumor-related symptoms that we categorized into (i) functional motor deficit, (ii) functional sensory deficit, (iii) pain, (iv) aesthetic disfigurement, and (v) spinal cord compression. Postoperatively, with the patients, we inquired about and examined for associated residual, resolved, and new tumor-related symptoms and signs, respectively, including the presence of surgical complications. PNs were characterized by their appearance on MRI. In particular, PNs that appeared as a solitary nodule on MRI were labeled as nodular, whereas PNs with a multiform irregular shape were labeled as diffuse. A tumor that contained both features was

Table 2 Regression estimates for	the volume change in
size in neurofibromas ($n = 56$)	

	Number	Number of	Percentage change	e volume in size
Variable	of tumors (total = 56)	patients (<i>n</i> = 52)	Mean difference	P value ^c
Age at first scan ^a			-0.463	0.0252
Sex				0.705
Male	28	26	Ref	
Female	28	26	2.34	
Site				0.0347
Head/neck	17	16	Ref	
Chest	9	8	6.58	0.4897
Abdomen	9	8	22.405	0.0181
Spine	9	8	-13.969	0.1336
Lower limbs	9	9	-2.2935	0.8126
Upper limbs	3	3	-0.6554	0.9684
Nature ^b				
Nodular	23	20	-0.454	0.9727
Diffuse	26	25	Ref	
Both	7	7	-15.574	0.4434
Depth ^a				0.1488
Superficial	17	16	3.247	0.6987
Deep	22	19	Ref	
Both	17	17	17.907	0.0691
Туре				0.5725
Displacing	25	22	Ref	
Invasive	22	21	-13.709	0.3118
Both	9	9	19.474	0.5472

Ref, reference.

^aOn subgroup analysis, age and depth were only significant within those with head and neck tumors (thus this finding is driven by this subgroup).

^bFor neurofibromas of the chest: those with both diffuse and nodular nature ("both") had a lower mean volume change percentage (P = 0.0064) as compared with those with diffuse nature. Those with nodular only were not significantly different from those with diffuse.

^c*P* value obtained from linear regression *P* values did not change significantly when age was placed into a model as a categorical variable (21 years and older versus younger than 21 years).

mixed. Growth pattern was determined to be (i) superficial versus deep, (ii) infiltrating versus displacing, (iii) nodular versus diffuse, or (iv) mixed (containing any of the previously mentioned features), as previously described.¹⁷

Magnetic resonance imaging acquisition

Serial local short-tau inversion recovery MRI studies were obtained using a 1.5 Tesla Siemens Magnetom 63 SP/Symphony/ Avanto scanner (Siemens Healthcare Diagnostics, Eschborn, Germany). Postoperatively, PNs were scanned in a series of 10-mm slices without skips in between at two different time points. We performed postoperative imaging approximately 1 year after the surgical procedure. From previous clinical experiences, we found that partially resected tumors could falsely

appear larger if imaging was performed too early postoperatively (apparent size may be due to physiological changes during wound healing).¹⁸

Volumetric magnetic resonance imaging analysis

Three-dimensional volumetric tumor analysis was conducted using an MRI-based program for tumor segmentation and volumetric analysis as previously described.¹⁹ Tumor volume was calculated in milliliters. Because follow-up time periods varied grossly from patient to patient, we also measured postoperative tumor volume percentage change per year in addition to calculating tumor change from baseline as is usually done. Significant tumor progression was defined as changes more than $\pm 20\%$ per year, as previously described.¹⁹

Statistical analysis

Two by two tables were used for frequency calculations. Linear regression models were applied with age as a continuous and categorical variable (\leq /> 21 years) to identify tumor characteristics associated with tumor growth rate. All calculations were performed with SPSS version 17 (IBM, Chicago, IL). A *P* value \leq 0.05 was considered statistically significant.

Cohort

RESULTS

Fifty-two patients (median age: 25.4 years; range: 3.2–64.2 years), 26 females and males each, with 56 PNs were enrolled into the study. Twenty-four patients were younger than 21 years, and 28 individuals were 21 years or older. Initial median tumor volume was 40.3 ml (SD: \pm 1,552; range: 0–10,800 ml). Tumor characteristics are listed in **Table 1**. The mean time between surgery and the first postoperative MRI scan was 1.7 years (SD: \pm 1.7; range: 0.1–8.3 years). The mean time between first and second postoperative MRI scan was 2.6 years (SD: \pm 1.5; range: 0.3–7.0 years).

Surgical history and complications

Every patient had undergone at least one surgery prior to the first MRI scan. Fourteen PNs (10 nodular and 4 diffuse) in 13 patients (5 children and 8 adults) were completely resected. Surgery of 45 tumors was performed by oral maxillofacial surgeons; nine tumors were resected and/or extirpated by neurosurgeons; and two cases were done in a conjoint procedure. Eight patients had more than two surgeries on their index tumor before their initial MRI scan. Eight patients (19%; 6 children and 2 adults) with residual tumor had repeated surgery due to tumor progression. Mean time to repeat surgery was 2.6 years with a range of 0.9-5.5 years. Most frequent surgical indications were aesthetic disfigurement (n = 21), pain (n = 20), and functional deficits (n = 16). Acute surgical complications were bleeding/hematoma (n = 5), delayed wound healing (n =2), and necrosis (each n = 1). Surgery yielded complete resolution of symptoms in 24 of 52 patients (46%), partial relief of symptoms in 5 of 52 patients (10%), and no change in 16 of 52 patients (31%). If analyzed by symptoms, pain resolved in 14

of 52 patients (27%), followed by resolution of functional deficits in 8 of 52 patients (15%), disfigurement in 6 of 52 patients (12%), and cord compression in 5 of 52 patients (10%). In 7 of 56 cases (13%), patients developed new complaints after surgery, with sensory deficits being the leading symptom (Table 1).

Tumor progression

We measured tumor growth after surgery (Table 1). Median tumor progression was 0.6% change per year (SD: ±27.4; range: -59.2 to 88.1) and 2.9% from baseline (SD: ±163.9; range -1,001.3 to 81.8). Nine of 39 patients (23%) had tumor progression of >20% per year, and only 3 of 39 patients had tumor shrinkage beyond -20% per year (Table 1 and Figure 1). In a fully adjusted regression model (Table 2) with age as a categorical variable, patients aged 21 years and younger had the highest progression rate (P < 0.01; Figure 1). In models stratified according to site, this age effect was maintained only in head/ neck tumors, although cell sizes for several sites were too small to give reliable estimations, particularly for PNs of the upper limbs. With age as a continuous variable, age, the site of the tumor and the depth were the only factors associated with postoperative tumor progression. For every year of age, the mean growth rate decreased by -0.463 mean percent (P = 0.03). PNs of the abdomen and PNs that had both superficial and deep areas had higher mean growths as compared with those of the head/neck (P = 0.02) and those with only deep areas (P = 0.07), respectively. Furthermore, in those with PNs that occurred in the chest, tumor type was significant so that diffuse PNs progressed faster than those with mixed diffuse/nodular features (P < 0.005); however, it should be considered that there were only seven tumors of mixed type. Of the 14 PNs (10 nodular and 4 diffuse) in 13 patients (5 children and 8 adults) that were completely resected (as determined by visualization), none of the PNs relapsed during observation (mean: 2.9 years; range: 1.1-5.8 years). Preoperative tumor volume in these cases tended to be smaller as compared with the median tumor size of the whole cohort (median: 11.8 ml; range: 1.0-246.2 ml).

DISCUSSION

This is the first volumetric study that evaluates postoperative growth behavior of PNs in NF1 patients. Although applied methodology in this study allows for more accurate measurement of growth rates, one of the main limitations of the study was that postoperative MRI scans were performed a median of 1.7 years after surgery, and accurate measure of the degree of resection was not feasible.

Postoperative growth behavior of PNs

From clinical experience, augmented regrowth of PNs after surgery has been a well-known concern among physicians in the past and has led to a cautious approach regarding surgical management of NF1 patients with PNs. In our population, 23% of the studied tumors regrew significantly after surgery (**Table 1**, patients in bold), suggesting that one quarter of the studied patients relapsed as determined radiographically.

Eight individuals (18%) had prior surgery on their index tumor, indicating that the recurrence rate in our study population varies between 15 and 23%. Of note, all patients with radiographic relapse were younger than 18.9 years and comprise 43% (9 of 21) of studied patients younger than 21 years. This number is in concordance with findings by Needle et al.¹⁰, who showed in a pediatric study that of 168 studied PNs, 45% regrew after surgery.

PNs naturally tend to progress inversely to age. In our cohort, the main progression was found in the subgroup of patients younger than 21 years, resembling the natural history of these tumors.^{2,4,5} Therefore, postoperative progression of PNs may not be significantly different from the natural growth behavior of these tumors, suggesting that postoperative tumor growth could be unrelated and not promoted by surgery.

Features associated with tumor progression

In previous studies, young patient age, tumors of the craniofacial area and trunk, and partial resection were found to be associated factors of tumor recurrence.^{10,13} We confirmed these findings and found that depth and diffuse growth type can also be risk factors for fast progression, depending on the primary tumor location. Knowledge about tumor features depending on body sites can be useful for surgical planning and anticipatory guidance of patients regarding surgical outcome.

In previous reports, postsurgical residual tumor volume was reported to be associated with tumor regrowth after surgery.¹⁴ A retrospective study in 96 children after surgery revealed that near total resection as opposed to debulking was associated with longer intervals between repeated surgeries.¹³ In these studies, tumor progression leading to subsequent symptoms was the most common reason for repeat surgery. Of note, in our cohort, residual tumor volume was not associated with progression rate. However, because progression seems to be inversely correlated with age, it is not surprising that most of the patients with repeat surgery in our cohort were children. Children appear to be a subgroup of patients who are at risk not only for worse surgical outcome but also for increased tumor growth if left untreated. In a recent study, approximately one-third of children with PNs younger than 11.5 years had tumor-related symptoms, which represents an increase of >50% of the rate in symptomatic children older than that. Early surgical intervention when the tumor is still small yet completely removable could be beneficial. However, clinical detection of small and asymptomatic PNs remains a challenge because two-third of these tumors are internal. Studies are necessary to evaluate whether early screening with MRI in children with a known diagnosis of NF1 may be warranted and beneficial.

Indications and complications of surgery

In our patient population, indications for surgery were similar to those of previous reports in the literature.^{13,14} Aesthetic disfigurement was the leading cause of surgery, followed by pain and functional deficits. In approximately 10% of our patients, predominantly children, elective surgery achieved complete tumor removal and showed no regrowth during observation. Reviewing our cases, complete resection was feasible because the PN was small and superficial; complete resection may be difficult to undertake in patients with huge and infiltrating tumors. Surgery is limited according to the topography of the PN (localization and extension). These factors are of particular importance in the facial region as compared with other parts of the body, e.g., the trunk. For example, the reduction of a bulky lesion is easier to perform at the trunk than at the face, as observed in previous studies.¹⁴ Hence, we suggest that tumor volume has to be adjusted considering topography. High residual tumor volume is known to be associated with residual symptoms and surgical complications.¹⁰ Previous reports showed that roughly one-quarter of conducted procedures resulted in new symptoms, with neurological deficits being the leading complaint.13 Besides neurological impairment, intraoperative tumor bleeding and wound-healing abnormalities were found to occur in especially large PNs.15 Surgical complications observed in our population were similar to those reported. However, the incidence of such complications was higher than previously reported. Approximately one-quarter of our patients had surgery-related complications and newly evolved symptoms after the intervention. Similar to the results of previous studies, neurological deficits were the leading complaint in these patients.

In summary, this is the first study that accurately analyzed the growth rate of postoperative PNs using an MRI-based method. MRI is a valuable tool for postoperative tumor surveillance. The pediatric population and certain tumor features are associated with fast tumor progression and subsequent poorer surgical outcome. Complete resection is most feasible in patients with small and superficial PNs. Further studies are needed to see if early detection of small tumors in childhood and removal of those could improve surgical outcome. Additional investigations are needed to evaluate tumor relapse and growth behavior in the long term.

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DISCLOSURE

The authors declare no conflict of interest.

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