

Down syndrome congenital heart disease: A narrowed region and a candidate gene

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Purpose: Down syndrome (DS) is a major cause of congenital heart disease (CHD) and the most frequent known cause of atrioventricular septal defects (AVSDs). Molecular studies of rare individuals with CHD and partial duplications of chromosome 21 established a candidate region that included D21S55 through the telomere. We now report human molecular and cardiac data that narrow the DS-CHD region, excluding two candidate regions, and propose DSCAM (Down syndrome cell adhesion molecule) as a candidate gene. **Methods:** A panel of 19 individuals with partial trisomy 21 was evaluated using quantitative Southern blot dosage analysis and fluorescence in situ hybridization (FISH) with subsets of 32 BACs spanning the region defined by D21S16 (21q11.2) through the telomere. These BACs span the molecular markers D21S55, ERG, ETS2, MX1/2, collagen XVIII and collagen VI A1/A2. Fourteen individuals are duplicated for the candidate region, of whom eight (57%) have the characteristic spectrum of DS-CHD. **Results:** Combining the results from these eight individuals suggests the candidate region for DS-CHD is demarcated by D21S3 (defined by ventricular septal defect), through PFKL (defined by tetralogy of Fallot). **Conclusions:** These data suggest that the presence of three copies of gene(s) from the region is sufficient for the production of subsets of DS-CHD. This region does not include genes located near D21S55, previously proposed as a "DS critical region," or the genes encoding collagens VI and XVIII. Of the potential gene candidates in the narrowed DS-CHD region, DSCAM is notable in that it encodes a cell adhesion molecule, spans more than 840 kb of the candidate region, and is expressed in the heart during cardiac development. Given these properties, we propose DSCAM as a candidate for DS-CHD. **Genetics in Medicine, 2001;3(2):91-101.**

Key Words: chromosome 21, Down syndrome, congenital heart disease, morphogenesis, genes in development

Malformations of the cardiovascular system account for the majority of premature deaths caused by congenital anomalies.¹ Down syndrome (DS), which is normally caused by trisomy 21,² is a major cause of congenital heart disease³ and provides an important model with which to link individual genes to the pathways controlling heart development. In this report, we describe an approach to understanding the genes and pathways responsible for congenital heart disease (CHD) in Down syndrome.

The characteristic heart defect seen in DS derives from the abnormal development of the endocardial cushions (EC) and

results in a spectrum of defects involving the atrioventricular septum and valves. Accounting for approximately 63% of all DS-CHD,⁴ these lesions vary in severity from persistence of the common atrioventricular canal and membranous ventricular septum defects to ostium primum patency with valvular anomalies.^{5,6} The specificity of atrioventricular septal defects (AVSDs) for trisomy 21 is emphasized by the observation that individuals with DS account for 70% of all AVSDs.⁷ Based both on the aberrant cell adhesion observed for DS cardiac fibroblasts and on subsequent computer modeling that supported the assertion, DS-CHD was proposed to be due to increased expression of molecules involved in cell adhesion.^{8,9} This hypothesis suggests the existence of a gene or gene cluster on chromosome 21, which is involved in cell adhesion and likely plays an important role in valvuloseptal morphogenesis, but when overexpressed, results in the defects of DS-CHD.

Independent and intersecting approaches to identifying the gene(s) for DS-CHD have included mapping genes known to be involved in cardiac development (none of which localized to chromosome 21), and studying rare individuals with CHD and partial duplications of chromosome 21. These studies initially suggested that subsets of the DS phenotype were associated with three copies of chromosome band 21q22.2-22.3,¹⁰⁻¹² and

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later, that DS-CHD was caused by the overexpression of genes in the region including D21S55 through the telomere.^{13–15} Subsequent work focused on the identification of a transcriptional map of the DS-CHD region using a 3.5 Mb contiguous clone array covering the interval from D21S55 through MX1/2.¹⁶

We now present clinical, cytogenetic, and molecular analyses of a panel of 19 individuals with partial trisomy 21, eight of whom have DS-CHD. Combining the results from these eight individuals suggests a narrowed DS-CHD region including D21S3 through PFKL. The data suggest that three copies of genes in the region of D21S55, previously proposed as a “DS Critical Region,” are not essential for the production of all types of DS-CHD. Moreover, the data do *not* support an essential role for collagen VI or collagen XVIII in DS-CHD, in that we have identified individuals whose duplications do not include these genes, but nonetheless have CHD of the types found in DS. When combined with evidence showing that *deletion* of telomeric genes is *not* associated with CHD,^{17,18} the data support the hypothesis that trisomy for gene(s) in the DS-CHD candidate region is essential for the production of CHD in DS, although aneuploidy for genes located in the telomeric and other regions likely contributes to the variability of the phenotype.

Only a small number of potential candidates remain in the narrowed candidate region. These include a novel cell adhesion molecule, DSCAM (Down syndrome cell adhesion molecule), which spans more than 840 kb of the candidate region^{19,20} and is expressed in the heart during cardiac development. Given these properties, we propose DSCAM as a candidate gene for DS-CHD.

METHODS

Clinical analyses

The clinical features of DS in four individuals with partial trisomy 21 were assessed as indicated in Table 1. These data were derived from the family or from original records of the primary care physician, the examining geneticist, or consulting specialists. Full IRB approved procedures were followed and confidentiality was maintained throughout.

Cytogenetic analyses

Extended chromosome preparations were made from peripheral blood lymphocyte cultures by methotrexate synchronization.²¹ Metaphase-chromosome preparations were made from skin fibroblast cultures using standard procedures. The chromosomes were stained by G-banding (GTG) techniques.²²

Molecular analyses

Two methods are used to define the trisomic regions in patients with partial aneuploidy for chromosome 21: quantitative Southern blot dosage analysis and fluorescence in situ hybridization (FISH). Each uses a series of previously mapped chromosome 21 microsatellite DNA markers as probes to define copy number. All probes were isolated as DNA fragments (for

Southern blot procedures) or as plasmids, cosmids, or BACs (for FISH studies). The sources and references for all probes used can be found in Table 2.^{23,24} The probe for ADARB1 was the generous gift of Dr. Katherine Gardiner at the Eleanor Roosevelt Institute, Denver, CO, and the probes for collagen VI A1 and A2 were the generous gift of Dr. Mon-Li Chu and Dr. Biaggio Saitta at Thomas Jefferson University, Philadelphia, PA.

Genomic DNAs were obtained from fibroblast or lymphoblastoid cell lines. Procedures for DNA isolation and digestion, agarose gel electrophoresis, Southern blot analysis, probe labeling, hybridization, and autoradiogram development were conducted as described previously.¹³ Southern blots utilized 8–12 paired lanes (16–24 lanes total) of patient and control DNAs. Densitometric analyses utilized the logarithmic transformation of density measurements. FISH studies were conducted using techniques and procedures as described previously.²¹

RESULTS

Clinical, cytogenetic, and molecular analyses

In order to further narrow the region of chromosome 21 responsible for DS-CHD, we have determined the trisomic regions in a panel of 19 individuals with partial trisomy 21, eight of whom have characteristic DS-CHD. Four of these individuals represent new cases to the literature and exhibit subsets of DS features as described below and in Table 1 (see also Fig. 1). Their chromosome 21 duplications were determined as described in the Methods section, and the results are presented in Table 2 and summarized in Figure 2. The remaining individuals have been reported previously, but their breakpoints have been confirmed or further refined (Figs. 2 and 3, Table 2).

Of the eight individuals with DS-CHD, five have aneuploidy for chromosome 21 alone: DUP21BS, DUP21SOL, DUP21SM, DUP21SW, and DUP21ZSC. These individuals exhibit the characteristic spectrum of DS-CHD, which includes atrioventricular septal defects (AVSDs), atrial septal defects (ASDs), ventricular septal defects (VSDs), and tetralogy of Fallot (TOF). Two further cases (DUP21BA and DUP21NA) also carry a small deletion of chromosome 4q35 not associated with CHD, as discussed in Korenberg et al.,¹² and the ninth case (DUP21PM) has an inlet type of VSD characteristic of DS and is trisomic for a small region of chromosome 13 in addition to the trisomic region of chromosome 21. Table 3 provides a summary of the karyotypes, chromosome 21 duplications and types of congenital heart disease present in these eight individuals.

Case 1: Patient DUP21BS

This female infant was the product of a full-term gestation born to a 30-year-old G2P2 mother. The pregnancy was complicated by elevated maternal AFP levels (1.26 mg/100 mL), with a risk ratio for DS of 1/227 to 1/149. A paternal grand uncle with DS had congenital heart disease and died at 16 years of age. Amniocentesis carried out at 17 weeks gestation re-

Table 1
Clinical features of DS subjects

| Parameter | DUP21BS | DUP21SW | DUP21ZSC | DUP21PM |
|-------------------------------|-----------------|------------------|----------------|--------------|
| Birth measurements | | | | |
| Weight | 3.2 kg (25%) | 3.3 kg (25%) | 2.3 kg (<10%) | 4.2 kg (97%) |
| Length | 47.5 cm (10%) | 49.5 cm (25%) | 48 cm (50%) | |
| Head circumference (OFC) | 33.5 cm (50%) | | 31.3 cm (<10%) | |
| Apgar score | | | | |
| 1 min | 8 | 7 | 8 | 7 |
| 5 min | 9 | 9 | 9 | 10 |
| Measurements at exam | | | | |
| Age | 4 months | 5.5 months | 2 days | 5.5 months |
| Weight | 6.2 kg (25%) | 8.2 kg (50–70%) | 2.3 kg (<10%) | 4.4 kg (3%) |
| Height | 61.0 cm (50%) | 64.8 kg (10–25%) | 48 cm (50%) | |
| Head circumference (OFC) | 41.8 cm (50%) | 42 cm (25%) | 31.3 cm (<10%) | |
| Chest circumference | 34.5 cm (75%) | 45.3 cm (75%) | 28 cm (25%) | |
| Hand length | | 8.5 cm (75%) | | |
| Middle finger length | 3.5 cm (75%) | | | |
| Palm length | 4.8 cm (75%) | 4.5 cm (25–50%) | | |
| Outer canthal distance | 6.4 cm (25%) | | 5.3 cm (3%) | |
| Inner canthal distance | 2.4 cm (50–75%) | 2.6 cm (75%) | 1.6 cm (3%) | |
| Ear length | 4.3 cm (50%) | 4.1 cm (25%) | | |
| Phenotypic features | | | | |
| Cardiac anomaly | AVSD | TOF | TOF | VSD |
| Short stature | – | + | – | + |
| Microcephaly | – | – | + | |
| Brachycephaly | – | + | – | |
| Flat occiput | – | + | – | |
| Flat facies | – | + | – | |
| Upslanted palpebral fissures | – | – | + | Small |
| Epicanthic folds | + | – | + | + |
| Brushfield spots | – | – | | |
| Telecanthus | – | | – | + |
| Strabismus | – | – | – | |
| Flat nasal bridge | + | + | – | – |
| Furrowed tongue | – | – | – | – |
| Open mouth/protruding tongue | – | – | – | – |
| Vaulted palate | + | | – | |
| Small ears | – | + | + | |
| Malpositioned/dysmorphic ears | – | – | + | + |
| Short neck | – | + | | |
| Excess nuchal skin | + | + | + | – |

—Continued

Table 1
Continued

| Parameter | DUP21BS | DUP21SW | DUP21ZSC | DUP21PM |
|---------------------------|---------|---------|----------|---------|
| Duodenal stenosis | – | – | – | – |
| Hirschprung disease | – | + | – | – |
| Hypotonia | – | – | – | – |
| Lax ligaments | + | – | | |
| Broad short hands | – | – | | – |
| Brachydactyly | – | | | – |
| Fifth-finger clinodactyly | + | + | + | – |
| Wide space toes 1 & 2 | – | + | + | – |
| Dysmorphic nails | + | – | – | + |
| Transverse palmar crease | + | + | – | – |
| Abnormal dermatoglyphics | + | | | + |
| Delayed development | + | | | + |

+, presence of feature; –, absence of feature; blank, no information available.

vealed a partial duplication of the long arm of chromosome 21. At birth, DUP21BS exhibited features of DS as listed in Table 1 and illustrated in Figure 1. She did well until 8 hours after birth, when she began to have difficulty with temperature instability and hypoglycemia. She had mild hyperbilirubinemia to 10.9 mg/100 mL and transient thrombocytopenia to 70,000. Cardiac examination at 14 weeks revealed a grade 3/6 slightly harsh systolic ejection murmur and echocardiograms revealed a complete AV canal, consisting of a large ostium primum atrial septal defect (ASD) with a significant left-to-right shunt, and an inlet ventricular septal defect (VSD) effectively closed by a tricuspid pouch. The mitral valve was cleft and mildly regurgitant. There was no patent ductus arteriosus. There was left axis deviation of -60° on EKG, with no evidence of right ventricular hypertrophy. The ASD, VSD, and cleft mitral valve were repaired surgically at 3 years of age, resulting in essentially normal heart function with minimal residual mitral valve insufficiency.

Case 2: Patient DUP21SW

This male infant was the product of a 40-week gestation born to a 27-year-old G1P0 mother and a 24-year-old unrelated father. There is a maternal family history of miscarriages and infant death, but no history of Down syndrome or congenital heart disease.²⁶ DUP21SW was noted at birth to have several facial and visceral features of DS (see Table 1 and Fig. 1). Tetralogy of Fallot was diagnosed by echocardiography within several days of birth. This finding was confirmed at 4 months of age by cardiac catheterization, which revealed normal atrial and systemic pressures in both ventricles, and an aortic O₂ saturation of 89%. Cardiovascular examination revealed a grade 3/6 holosystolic murmur best heard at the lower left sternal border. During surgery, his TOF was noted to include an ASD, a large VSD, an overriding aorta with outflow

tract stenosis, and a stenotic pulmonic valve. Bars of thickened muscle were excised from the right ventricular outflow tract.

Case 3: Patient DUP21ZSC

This female infant was the product of a full-term gestation born to a 31-year-old G2P2 mother. The pregnancy was uncomplicated. There were multiple variable decelerations during labor, and upon delivery, the infant was given positive pressure ventilation due to persistent cyanosis. She was noted to have several facial features consistent with Down syndrome (Table 1 and Fig. 1). A heart murmur was detected, and an echocardiogram revealed tetralogy of Fallot. This included a large malaligned VSD, displacement of the conal septum, and moderate right outflow tract obstruction due to a stenotic pulmonary valve with an annulus of approximately 4 mm. There was an overriding patent aorta and a small-to-moderate patent ductus arteriosus (PDA) with left-to-right shunting. There was normal systemic and pulmonary venous return to the heart. The aortic valve was tricuspid, with normal right and left coronary arteries and normal branching. The ventricles were well-balanced with good contractility. There was mild hypotonia, no hepatosplenomegaly and normal genitals and extremities. DUP21ZSC was followed to 1 month of age.

Case 4: Patient DUP21PM

This male infant was the product of a 38-week gestation born to a nonconsanguineous couple of Cree Indian descent. The pregnancy was complicated by gestational diabetes type A. His mother had a history of first trimester miscarriages. One sister died of congenital heart disease at 8 months, and another male sibling died at 24 hours. At 5 months of age, congenital heart disease, failure to thrive, and psychomotor retardation were noted. He was marasmic, weighing only 4.35 kg (<3%). In addition to the features of DS noted in Table 1, he had

Table 2
Genotypes of DS subjects with partial trisomy 21 and CHD

| Probe | Locus | JL | | BS # | SW # | ZSC # | PM | |
|---------------------------------------|-----------------|-------|------|---------|---------|----------|-------|------|
| | | Ratio | # | | | | Ratio | # |
| pGSE9 | D21S16 | 1.76 | 3 | | | | 0.87 | 2 |
| B12F10 ^a | D21S13 | | | | | 2f | 0.65 | 2 |
| pPW236B ^b | D21S11 | | | | | 2f | | |
| B20H12 ^a | D21S232 | | | | 2f | | | |
| pPW245D ^b | D21S8 | | | | | 3f | | |
| APP ^c | APP | 1.33 | 3 | | 2f | 3f | 0.99 | 2 |
| pUT-B79 | D21S121 | 1.79 | 3 | | | | | |
| PPW513-5H | D21S54 | 1.19 | 2 | | | | | |
| JG77/2102 ^b | D21S93 | 1.08 | 2 | 2f | | | | |
| pSOD1/0847 ^b | SOD1 | | | 2f | 2f | | 0.71 | 2 |
| B38G8 ^a | IFNAR1 | | | 2f | | | | |
| B68F10 ^a | GART | | | 2f | | | | |
| B1097O2 ^a | KCNE1 | | | 3f | | | | |
| B1007I22 ^a | RUNX1 | | | | 3f | | | |
| B293D3 ^a | D21S17 | | | | | | 1.19 | 2/2f |
| pPW518-1R ^b | D21S55 | | | | | | 1.2 | 2/2f |
| B295A6 ^d | ERG | | | | | | 1.1 | 2/2f |
| B913H9 ^d | D21S233 | | | | | | | 2f |
| pHO33 ^b /53E7 ^d | ETS2 | | | | 3f | | 0.89 | 2/2f |
| B190G19 ^d | D21S3 | | | | | | 1.51 | 3/3f |
| B564E1 ^d | D21S168 | | | | | | | 3f |
| pGSE8 ^b | DSCAM (D21S15) | 0.75 | 2 | | | | 1.55 | 3 |
| B277G10 ^d | DSCAM (D21S348) | | | | | | | 3f |
| B116E8 ^d | DSCAM (D21S349) | | | 3f | | 3f | | |
| B183C9 ^d | D21S355 | | | | | | | 3f |
| B292D2 ^d | MX1 | | 2f | 3f | 3f | | | |
| B43E9 ^a | TFF1 | | | | | | | 3f |
| B340E4 ^a | D21S25 | | | | 3f | | | |
| B346E2 ^a | PFKL | | 2f | 3f | 3f | 3f | | |
| B27H4 ^a | D21S171 | | | | 3f | | | |
| B78C7 ^a | ITGB2 | 0.95 | 2/2f | | 3f | 2f | | |
| p55B1 ^c | ADARB1 | | | | | 1f | | |
| B1062F23 ^a | COL18A1 | | | 3f | 3f | 1f | | |
| SF50 ^b | D21S44 | 1.68 | 3 | 3f | | | 1.97 | 3 |
| pML1 ^f | COL6A1 | 1.45 | 3 | 3f | 3f | 1f | | |
| pML18 ^f | COL6A2 | | | 3f | 3f | | | |
| pKN3/3C5 ^b | S100β | 1.57 | 3 | 2f | 2f | 1f | | |
| pUTB88 ^b | D21S123 | | | 2f | | | | |

Ratio and copy number are given for Southern blot analyses. f denotes independent result by FISH study.

^aKorenberg et al., 1999.²³

^bAntonarakis et al., 1996.²⁴

^cReference Library, ICRF, United Kingdom.

^dHubert et al., 1997.¹⁶

^eDr. K. Gardiner, Eleanor Roosevelt Institute, Denver, Colorado.

^fDr. M.-L. Chu and Dr. B. Saitta, Thomas Jefferson University, Philadelphia, Pennsylvania.

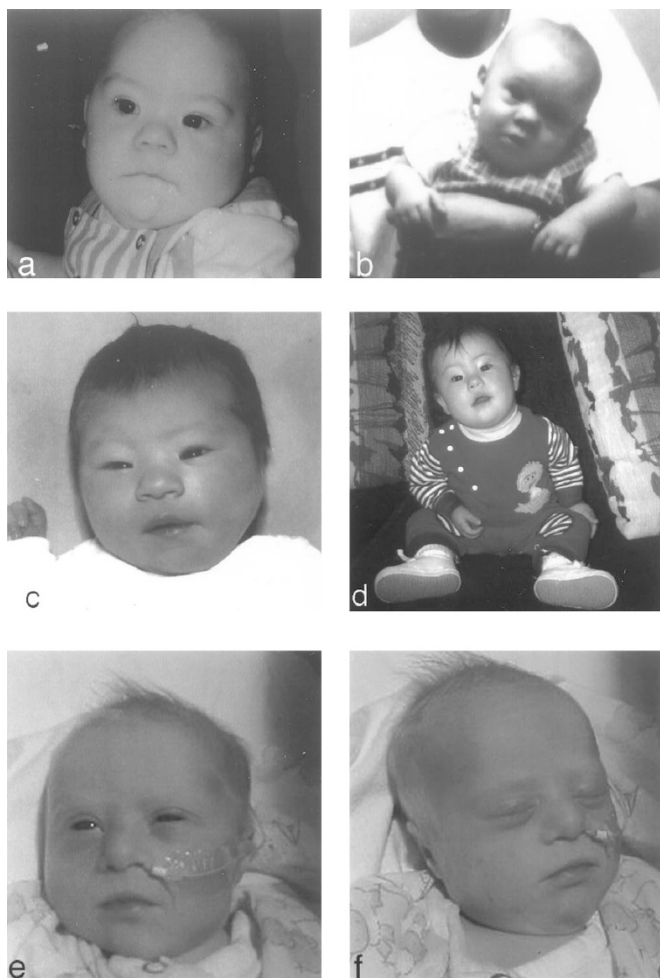


Fig. 1 a,b: DS subject DUP21SW at 5.5 months. c,d: DS subject DUP21BS as a newborn (c) and at 4.5 months (d). e,f: DS subject DUP21ZSC as a newborn.

widespaced eyes, frontal bossing, a wide bulbous nose, wide “carp” mouth and high-arched palate, depression of the anti-helix bilaterally, mild retrognathism, double left ureter, a small penis, and undescended testes, which were surgically corrected. He also had hyperconvex nails, deep plantar creases and

overlapping 2nd over 3rd toes bilaterally. Dermatoglyphics showed normal palmar creases, bilateral distal axial triradii t' , 10 whorls on fingertips, thenar exit of left A line, loop/loop pattern in left thenar/ I_1 area, distal loop in left I_4 , and a small distal loop in the hallucal area bilaterally. Psychomotor development was at the 1-month level. Cardiac catheterizations and surgical repairs at 9 and 15 months demonstrated a large inlet ventricular septal defect located immediately beneath the tricuspid valve with valve tissue overlying much of the defect. This was accompanied by mitral valve insufficiency, a patent ductus arteriosus (PDA), and normal relationship of the great vessels. His psychomotor development remained significantly delayed, and at age 30 months, he could only crawl and make unintelligible sounds. EEG was normal. At 6 years of age, he was incontinent, hyperactive, and had no speech. His gait was wobbly, in part due to bilateral planovalgus feet, and he was barely able to feed himself, although his physical growth was normal—at 6 years his weight was 24.5 kg (75th–90th percentile) and his height was 117 cm (50th percentile).

DISCUSSION

I. Molecular mapping of the DS-CHD candidate region

From clinical, cytogenetic, and molecular analyses, we have determined or refined the chromosome 21 duplications in a panel of 19 individuals with partial trisomy 21, 8 of whom have congenital heart disease (Table 3). We have combined the data from these eight individuals to define a narrowed region of chromosome 21 that is likely to contain the gene(s) responsible for CHD in Down syndrome (Fig. 2).

The validity of a single candidate region for DS-CHD is supported by analysis of all 19 individuals from the partial trisomy 21 panel¹⁵ (Fig. 3). Of these, the incidence of CHD in individuals whose duplications include the candidate region (8 of 14 or 57%) is similar to that associated with full trisomy 21¹³ (50–60%), whereas none of the individuals with duplications outside the candidate region (0 of 5) have CHD (Fig. 3). These data support parsing the DS phenotype into a series of phenotypes, each of which can be affected by different genes.

Table 3
Karyotypes and cardiac phenotypes of DS subjects with partial trisomy 21 and CHD

| Subject | Chromosome 21 duplication | Cardiac phenotype | Karyotype | Reference |
|----------|---------------------------|-------------------|---|-----------------|
| Dup21SOL | D21S129-Ter | VSD | 46,XY,dup(21)(q22.11q22.3) | 15 |
| Dup21NA | D21S267-Ter | ASD (Primary) | 46,XX,t(4;21)der(4)(pter-q34;q22.2-q22.3) | 12, 13, 15 |
| Dup21BA | D21S267-Ter | AVSD | 46,XX,t(4;21)der(4)(pter-q34;q22.2-q22.3) | 12, 15 |
| Dup21SM | D21S55-Ter | PS | 46,XY,rec(21)dupq,inv(21)(p11.2q22.1) | 25, 15 |
| Dup21BS | KCNE1-COL6A1 | AVSD | 46,XX,dup(21)(q22.1q22.3) | This report |
| Dup21SW | RUNX1-COL6A1 | TOF | 46XY rec(21)(pter-q21.2::q22.3-q21.2::q22.3-qter) | 23, this report |
| Dup21ZSC | D21S8-PFKL | TOF | 46,XX,inv.dup(21)(q22.3q21) | This report |
| Dup21PM | D21S3-Ter | VSD | 47,XY,+der(13)t(13;21)q14;q22) | This report |

Ter, telomere.

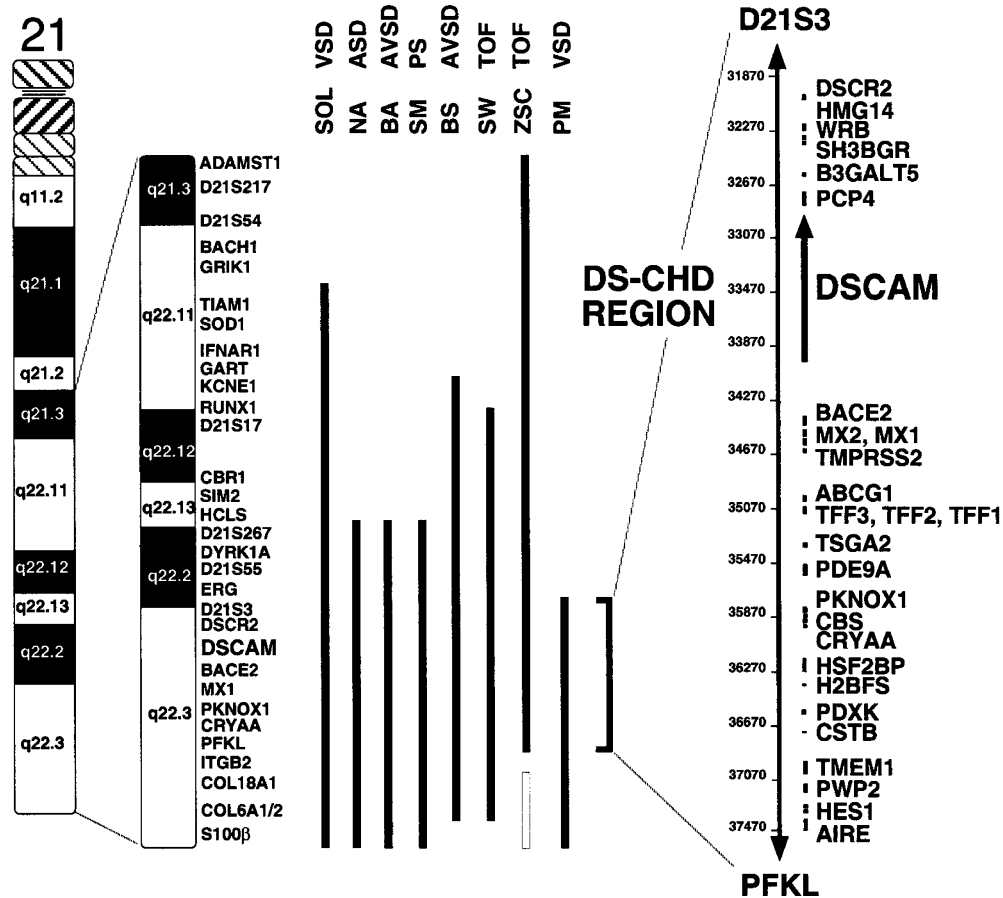


Fig. 2 Narrowed DS-CHD candidate region and genes. Solid lines indicate regions of known duplication; open boxes indicate deleted regions. The candidate region is defined as the minimal region of molecular overlap between the individual duplications. Abbreviations denote atrial septal defect (ASD), ventricular septal defect (VSD), atrioventricular septal defect (AVSD), pulmonic stenosis (PS), and tetralogy of Fallot (TOF). The candidate region is denoted by a line with arrows at both ends, with the extent of the region in kilobasepairs indicated at the left of this line. The locations of known genes mapping within the candidate region are indicated by black bars to the left of the gene symbols. Genes mapping within the candidate region include but are not limited to: Down syndrome Critical Region 2 (DSCR2), high mobility group protein 14 (HMG14), tryptophan-rich basic protein (WRB), SH3-binding domain glutamic acid-rich protein (SH3BGR), GlcNAc-beta-1,3-galactosyltransferase 5 (B3GALT5), Purkinje cell protein 4 (PCP4), Down syndrome cell adhesion molecule (DSCAM), beta-site APP-cleaving enzyme 2 (BACE2), myxovirus resistance 1/2 (MX1/2), transmembrane protease serine 2 (TMPRSS2), white protein homolog 1 (ABCG1), trefoil factor 3 (TFF3), trefoil factor 2 (TFF2), trefoil factor 1 (TFF1), human homolog to mouse testis specific gene 2 (TSGA2), cGMP-specific 3',5'-cyclic phosphodiesterase type 9 (PDE9A), PBX/knotted-1 homeo box-1 (PKNOX1), cystathionine beta-synthase (CBS), alpha crystallin A chain (CRYAA), heat shock transcription factor 2 binding protein (HSF2BP), H2B histone family S member (H2BFS), human pyridoxal kinase (PDXK), cystatin B (CSTB), transmembrane protein 1 (TMEM1), periodic tryptophan protein 2 (PWP2), ES1 protein homolog (HES1), autoimmune regulator (AIRE), and phosphofructo-kinase liver type (PFKL). A complete list of the genes mapping within the region is presented in Hattori et al.²⁰ and at <http://www.rzpd.de/general/html/Chrom21/>.

Narrowing the borders of the DS-CHD region

The telomeric border and the role of collagen VI: The data from DS individual DUP21ZSC, who has TOF, define the telomeric border of the DS-CHD candidate region as PFKL (see Fig. 2). This definition excludes genes located in the subtelomeric region such as S100 β ,²⁷ as well as ADARB1,²⁸ collagen XVIII,²⁹ and collagen VI A1 and A2, which encode the alpha-1 and alpha-2 chains of collagen VI.³⁰ Collagen VI is a globular heterotrimer expressed in the basement membranes of endothelia and in the endocardial cushions during development,³¹ which suggested it as a potential candidate for DS-CHD.³² However, DUP21ZSC and two other cases in the literature³³ have complex CHD of the types associated with DS and have duplications which do not include collagen VI. Subject LI has TOF associated with duplication from 21pter through CRYA1,³³ and subject AL has a VSD associated with duplica-

tion extending at least to ITGB2 and excluding collagen VI³³ (see Fig. 4). These individuals also carry deletions for the region of collagen VI (Fig. 4), which allows the possibility that decreased expression of collagen VI could be responsible for their heart defects. However, this is *not* supported by evidence from individuals with isolated deletions of this region. Six such individuals have been reported, none of whom exhibit CHD^{17,18} (see Fig. 4). There are no cases for comparison in the literature with three copies of the DS-CHD region and two copies of the region of collagen VI. However, we note that DS individual DUP21JL, who is trisomic for the region of collagen VI but has the normal two copies of the DS-CHD candidate region (see Fig. 4), does not have heart disease.³⁴ These data support the hypothesis that three copies of a gene in the proposed DS-CHD candidate region are essential for the production of tetralogy of Fallot or VSD in DS. While aneuploidy for

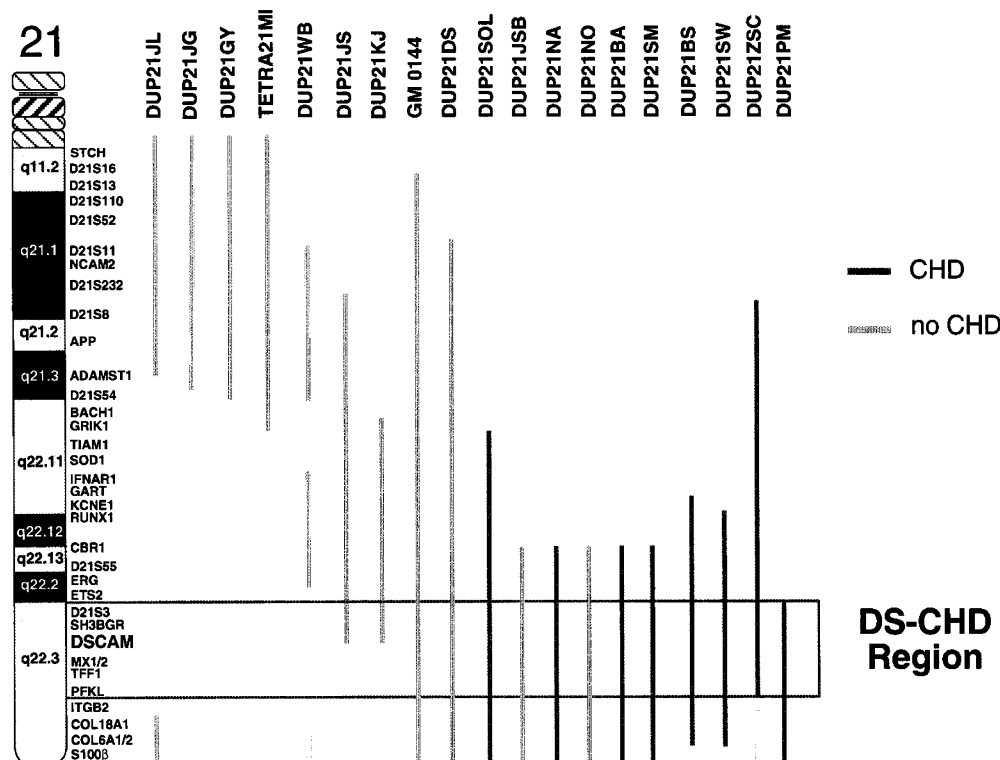


Fig. 3 Panel of 19 subjects with partial trisomy 21 defines the candidate region for Down syndrome congenital heart disease (DS-CHD). Subjects whose duplications are shown in black have CHD, and those whose duplications are shown in gray do not. The candidate region is defined as the minimal region of molecular overlap among the individuals with partial trisomy 21 and congenital heart disease. Fourteen subjects have duplications that include the DS-CHD region, of whom eight (57%) have CHD. None of the five subjects with duplications outside the region have CHD. Solid lines indicate regions of known duplication; open boxes indicate deleted regions. References for those cases previously reported elsewhere can be found in Table 3 and in Korenberg et al.¹⁵ DUP21JL: Park et al.³⁴

collagen VI and/or other genes in the telomeric region appears to be insufficient to generate DS-CHD, these data provide no evidence for the potential involvement of heterotrismy for collagen VI genes in DS-CHD. Nonetheless, these genes likely contribute to the phenotypic variability seen in DS-CHD, and we emphasize that the AVSD commonly seen in DS may require three copies of the telomeric region.

The centromeric border: The data from DS individual DUP21PM define the centromeric border of the DS-CHD candidate region as D21S3 (see Fig. 2). This excludes the region of D21S55, previously proposed to be responsible for many of the features of DS^{10,14,15} and therefore likely excludes genes such as TTC3,³⁵ DYRK1A,³⁶ KCNJ6,³⁷ DSCR4,³⁸ KCNJ15,³⁹ ERG,⁴⁰ and ETS2.⁴¹ DUP21PM carries a 13;21 translocation which also results in trisomy for the short arm and proximal part of the long arm of chromosome 13 (pter-q14) (Table 3). It is, therefore, important to consider whether the heart defect in DUP21PM results from his partial trisomy 21 or his partial trisomy 13. Two lines of evidence support the trisomy of chromosome 21 genes as more likely responsible. First, DUP21PM's heart defect was noted during surgery to be of the type characteristic of DS, a posterior or inlet VSD of the AV canal type, with three small chordae tendineae attached the tricuspid valve cusp around the margin of the defect. Second, although perimembranous VSDs do occur in *full* trisomy 13,

they are associated in 83% (5/6) with a dysplastic tricuspid or pulmonary valve,⁴² whereas DUP21PM had a normal tricuspid valve which virtually occluded the inlet VSD. There are no cases of pure proximal trisomy 13 with CHD for comparison. Therefore, we infer that the heart defect in DUP21PM is more likely to be due to the overexpression of genes on chromosome 21, although an interaction of genes on chromosome 21 and 13 is possible.

It is of interest that, in contrast to his heart disease, many of the relatively nonspecific clinical manifestations in DUP21PM are compatible with the cytogenetic diagnosis of trisomy 13pter-q14, including the microcephaly, wide bulbous nose, wide mouth, retrognathia, undescended testes, and mental retardation.⁴³ Moreover, with the exception of the CHD and mental retardation, the patient had few of the common manifestations of DS.⁴⁴ The Preus dermatoglyphic diagnostic index for DS⁴⁵ gave him a score of -1.2 with a 2% probability of having DS. These data support the notion that the features of aneuploidy and DS in particular are modifiable by the combination with other genes but that some features, in this case CHD, may nonetheless be expressed when combined with other aneuploidy.³

The narrowed candidate region for DS-CHD: In summary, combining the results from the eight individuals in our panel with DS-CHD suggests that the candidate region for DS-CHD may be narrowed to D21S3 (defined by VSD), through PFKL

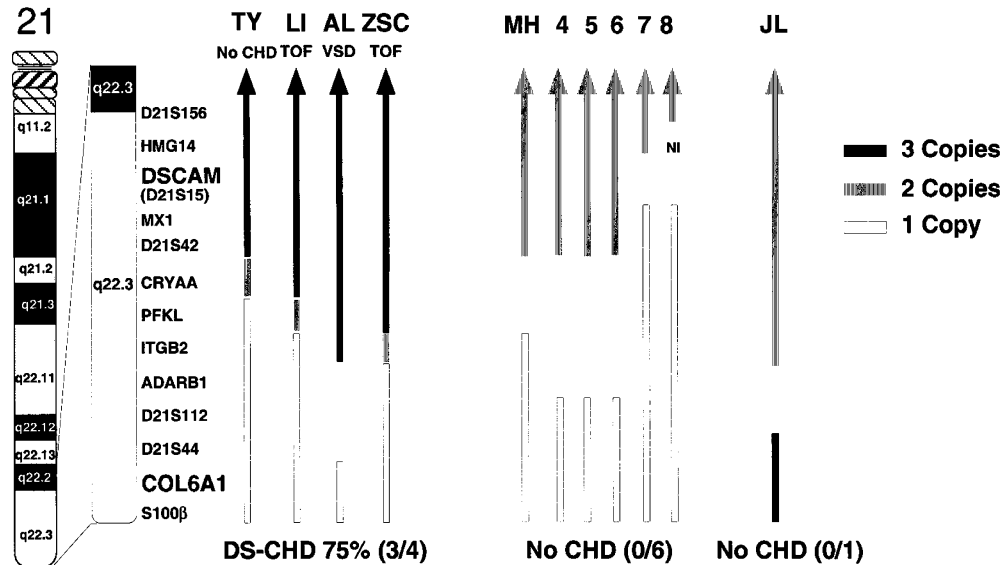


Fig. 4 DS-CHD is associated with three copies of the candidate region, regardless of copy number for the region of collagen VI. Black lines denote duplicated regions (three copies), gray lines denote normal regions (two copies), and open boxes denote deleted regions (one copy). Four individuals have three copies of the DS-CHD region and one copy of the region of collagen VI, of whom three (75%) have CHD, a percentage similar to that seen in full trisomy 21 (55–65%). Six individuals have two copies of the DS-CHD region and one copy of the region of collagen VI, none of whom have CHD. Individual DUP21JL has three copies of the region of collagen VI and two copies of the DS-CHD region and also does not have CHD. TY, LI, and AL: Pangalos et al.³³; MH: Estabrooks et al.¹⁸; 4 through 8: McGinness et al.¹⁷; JL: Park et al.³⁴ NI, tested but not informative.

(defined by TOF), comprising 5.5 Mb (see Fig. 2). This represents a significant reduction of the previously described candidate region, which spanned 10.5 Mb from D21S55 to the telomere.^{13,15} The narrowing of the DS-CHD region does not include the region of D21S55, as well as the subtelomeric region and the region of collagen VI (see discussion above). We emphasize that, while these data support the hypothesis that trisomy for a gene in the DS-CHD candidate region is essential for the production of DS-CHD including TOF and VSD, trisomy for additional genes located in the telomeric and other regions likely contributes to the phenotypic variability of DS-CHD.

II. Candidate genes and a hypothesis

DS heart defects characteristically involve the AV septum, suggesting genes expressed in these tissues during development as candidates for DS-CHD. The formation of the AV septum involves the transformation, migration, proliferation, and differentiation of cells from the AV cushions, the primary atrial septum, the dorsal mesocardium, and the neural crest,⁴⁶ with a possible role indicated for epicardium-derived cells (EPDCs).⁴⁷ Numerous genes have been identified in these tissues but with the exception of collagen VI A1 and A2, none map to chromosome 21. Therefore, we have used the narrowed DS-CHD candidate region to define potential candidate genes (Fig. 2). The 5.5-Mb region between D21S3 and PFKL contains 39 known genes and 25 predicted genes.²⁰ Potential candidates for DS-CHD were prioritized based first on their expression in the embryonic heart or related tissues, and second on homology to genes known to be involved in AV cushion morphogenesis, particularly in cell adhesion. However, many of the genes

in the DS-CHD region are newly identified, and little is known about their expression patterns and/or function. At present, potential candidates *known* to be expressed in the fetal heart include SH3BGR,⁴⁸ DSCR2⁴⁹ (described as CHD 1 in Hubert et al.,⁵⁰ WRB,⁵¹ and HES1.⁵² SH3BGR encodes a glutamic acid-rich protein containing an SH3-binding domain whose expression is ubiquitous but highest in heart and skeletal muscle⁴⁸ (Lyons and Korenberg, unpublished data); DSCR2 encodes a leucine-rich protein thought to function in cell proliferation, which is expressed in adult heart, skeletal muscle, and other tissues;⁴⁹ WRB encodes a tryptophan-rich basic protein with a potential nuclear localization signal, which is expressed in adult heart, brain, skeletal muscle, and other tissues, and fetal brain, lung, liver, and kidney;⁵¹ and HES1 is thought to function in cellular metabolism and is highly expressed in heart and skeletal muscle.⁵² Others of the known and predicted genes in the region may be shown to contribute to the DS cardiac phenotype. We now report that DSCAM is also expressed in the fetal heart during development, and discuss evidence which suggests it as a candidate gene for DS-CHD.

DSCAM as a candidate for DS-CHD

The DSCAM gene constitutes a large part of the DS-CHD region, spanning more than 840 kb of the region between D21S3 and PFKL (Fig. 2), as determined from BAC contigs¹⁹ and genomic sequence analysis.²⁰ Moreover, it is extraordinarily conserved, with 99% homology between the mouse and human forms at the amino acid level (Korenberg, unpublished data). Northern blot analyses of DSCAM expression showed it to be strongly and specifically expressed in the adult and fetal brain, with lower levels of expression in other tissues.¹⁹ Murine

fetal tissue in situ hybridization analysis (TISH) on sections of mouse embryos from E8.5 to E17.5 showed abundant DSCAM expression throughout the developing brain, with lower levels of expression in the developing limb bud and in the neural crest and its derivatives, including the cardiac ganglion and the nerves of the mouse fetal heart.¹⁹ These results are consistent with the recent finding that the *Drosophila* DSCAM homolog functions in axon guidance.⁵³ We now report that reverse transcriptase polymerase chain reaction analyses have shown that DSCAM is expressed in the mouse fetal heart at E10.5 p.c., prior to endocardial cushion fusion (Barlow et al., in preparation), and in human fetal heart tissues at 12 weeks of development (Kurnit and Korenberg, in preparation; figures available at <http://www.csmc.edu/genetics/korenberg/rt-pcr-dscam.html>). Further, both the etiology of characteristic DS heart defects and the increased intercellular adhesiveness of DS cardiac fibroblasts⁸ implicate disturbances in cell adhesion as underlying DS-CHD. Of the 64 known and predicted genes²⁰ identified in the narrowed DS-CHD candidate region to date, DSCAM is the only one which has been shown to mediate cell-cell adhesion.⁵⁴ This expression pattern, together with its location within the candidate region and its identity as a cell adhesion molecule of the immunoglobulin (Ig) superfamily (Ig-CAMs), support a potential role for DSCAM in DS-CHD.

To evaluate DSCAM as a candidate for DS-CHD, central questions will include which embryonic heart tissues and developmental processes are primarily affected. Dramatic decreases in the expression of another Ig-CAM, NCAM, occur in cells of the endocardium during epithelial-mesenchymal transformation.^{55,56} We speculate that the overexpression of DSCAM may have the potential to perturb epithelial-mesenchymal transformation and/or the migration and proliferation of mesenchyme cells, and possibly thus contribute to the increased intercellular adhesion seen in DS cushion fibroblasts⁸ and the abnormal cushion development seen in DS-CHD. Further questions include whether DSCAM effects are mediated through homophilic adhesion, as suggested by recent studies in mouse fibroblasts⁵⁴ or through heterophilic adhesion and signaling interactions involving coreceptors and other cushion molecules. Extracellular matrix (ECM) molecules are involved in a large number of interactions, e.g., heparan sulfates bind to a number of different ECM molecules, including NCAM.⁵⁷ DSCAM also has a region of homology to FGFR4 (Barlow et al., in preparation), which may implicate a role in the regulation of mesenchyme proliferation,⁴⁶ by analogy to the interactions between Ig domains of NCAM and FGFR1 that contribute to neurite outgrowth.⁵⁸ The precise mechanisms underlying DSCAM interactions may ultimately be determined through study of DS tissues at the time of differentiation or in a variety of transgenic mouse and other model systems such as the collagen gel explant system⁵⁵ in which genes can be overexpressed and the effects on morphogenetic processes measured. The results of these investigations should help to elucidate the basis of the most common congenital heart anomalies, defects of the valves and septae, as well as the possible role played by DSCAM

and other chromosome 21 genes in generating DS phenotypes of the heart and brain.

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