

# Current concepts on primary open-angle glaucoma genetics: a contribution to disease pathophysiology and future treatment

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## Abstract

Glaucoma is a common, complex, heterogenous disease and it constitutes the major cause of irreversible blindness worldwide. Primary open-angle glaucoma (POAG) is the most common type of glaucoma in all populations. Most of the molecular mechanisms leading to POAG development are still unknown. Gene mutations in various populations have been identified by genetic studies and a genetic basis for glaucoma pathogenesis has been established. Linkage analysis and association studies are genetic approaches in the investigation of the genetic basis of POAG. Genome-wide association studies (GWAS) are more powerful compared with linkage analysis in discovering genes of small effect that might contribute to the development of the disease. POAG links to at least 20 genetic loci, but only 2 genes identified in these loci, *myocilin* and *optineurin*, are considered as well-established glaucoma-causing genes, whereas the role of other loci, genes, and variants implicated in the development of POAG remains controversial. Gene mutations associated with POAG result in retinal ganglion cell death, which is the common outcome of pathogenetic mechanisms in glaucoma. In future, if the sensitivity and specificity of genotyping increases, it may be possible to screen individuals routinely for disease susceptibility. This review is an update on the latest progress of genetic studies associated with POAG. It emphasizes the correlation of recent achievements in genetics with glaucoma pathophysiology, glaucoma

treatment perspectives, and the possibility of future prevention of irreversible visual loss caused by the disease.

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## Introduction

Glaucoma is a major cause of irreversible blindness worldwide.<sup>1</sup> It is defined as a group of complex disorders characterized by progressive degeneration of the retinal ganglion cells, resulting in characteristic visual field defects, which reflect optic nerve atrophy, with a distinctive clinical appearance.

Primary glaucoma occurs in the absence of an identifiable secondary cause (eg, pseudoexfoliation, pigment dispersion, chronic uveitis, and so on). Classification of primary open-angle glaucoma (POAG) is mostly based on the age of onset and therefore it is classified as primary congenital glaucoma (onset up to 3 years of age), juvenile open-angle glaucoma (JOAG/onset at 10–35 years), and adult-onset POAG (after the age of 35 years).<sup>2</sup> The latter is the most common form and the one that does not follow a clear inheritance pattern.

Normal tension glaucoma (NTG) refers to patients with glaucoma, an open-angle, characteristic visual field defect and an intraocular pressure (IOP) <22 mm Hg without treatment.<sup>3</sup>

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Several risk factors have been evaluated for POAG, including black race, untreated systolic hypertension, current cigarette smoking, family history of glaucoma, diabetes mellitus, and myopia.<sup>4</sup> Elevated IOP was found to be a major risk factor.<sup>5</sup> An interaction between genetic and environmental risk factors is usually assumed to confer the complex disease phenotype.<sup>6</sup>

Family studies have shown the contribution of genetic variation to the development of the disease.<sup>7–17</sup> Relatives of POAG patients have a 22% risk of developing glaucoma at some point in their lives, whereas the risk for the relatives of the normal controls is 2–3%.<sup>18</sup> Gene variants, discovered so far, predisposing to glaucoma, may together contribute up to 5% of all POAG and NTG cases. Therefore, 95% of the genetic contribution for POAG remains undetermined.

Further identification of the genetic basis of glaucoma should help delineate the pathogenesis of the disease. This in turn may identify novel therapies and/or drug targets. Ultimately presymptomatic genetic testing and preventative treatment may be possible.

This review focuses on current knowledge and latest breakthroughs in POAG genetics. It aims to provide a comprehensive analysis of how known genetic variations associated with POAG might ultimately result in the clinical phenotypes noted in POAG. It also assesses how such understanding may lead to novel therapeutic interventions for POAG.

### Search strategy

We searched the MEDLINE/PubMed database for articles from August 1987 to June 2011, after following the MeSH suggestions for articles including the terms: 'primary open glaucoma', 'glaucoma pathogenesis', and 'genes in glaucoma'. The headline used to locate related articles in PubMed was 'glaucoma genetics' and to restrict the search, we used the headlines 'genes and pathogenesis of primary open-angle glaucoma', 'genome-wide association studies (GWAS) in primary open-angle glaucoma', and 'gene therapy in primary open-angle glaucoma'. A manual search was also based on references from these articles, as well as review articles.

### Genetic terms and approaches

A classical Mendelian inheritance pattern is one in which a genotype at one locus is both necessary and sufficient for the phenotype to be expressed.<sup>19</sup> Glaucoma, however, is characterized as a 'complex' disease. That is a phenotype that exhibits heterogeneity, polygenic inheritance, phenocopies, and incomplete penetrance.<sup>20</sup>

'Genetic heterogeneity' indicates that different genes or different genetic mechanisms are involved in different pedigrees. Clinically, genetic heterogeneity refers to the presence of a variety of genetic defects causing the same disease.<sup>21</sup>

'Polygenic inheritance' refers to traits, which are influenced by multiple genes.

'Incomplete penetrance' means that some individuals fail to express the trait, even though they carry the allele. 'Phenocopies' refers to individuals whose phenotypes are the cause of environmental factors and are identical to the ones whose phenotype is determined by the genotype. Thus, the genotype at a given locus may affect the probability of disease, but not fully determine the outcome.<sup>20</sup>

Although glaucoma exhibits all the above features, traditional linkage analyses have been widely used to identify linkage of different forms of glaucoma to particular loci. 'Traditional linkage analysis' utilizes one or more families with multiple members affected. To obtain a statistically significant result, typically greater than 10 affected individuals are required in a three-generation family to generate a logarithm of the odds score of  $>3$ , which equates to a  $P$ -value of 0.05. This generally results in identifying a large chromosomal region within which the causative gene resides. The gene then may be identified by a process of selecting candidate genes from within the region and assessing whether a plausible mutation is detected in the gene, which (a) segregates with the phenotype in the family and (b) is found to be mutated in other patients affected with the same disease. The *myocilin* (*MYOC*) gene was identified via this approach of positional cloning.<sup>11,13</sup>

However, the traditional candidate gene approach can be inadequate for diseases with an unclear pathophysiology.<sup>22</sup> Another method to identify genes contributing to complex diseases is genome-wide association.<sup>23</sup>

Association studies are case-control studies, based on a comparison of unrelated-affected and -unaffected individuals from a population.<sup>20</sup> The aim is to determine the statistical associations between common genetic variations within the human genome and disease. This type of analysis is based on single-nucleotide polymorphism (SNP) arrays. SNPs are the most common type of genetic variation among people and each one represents a difference in a single nucleotide. On average, SNPs occur at a frequency of 1 DNA base in every 1000 throughout a person's DNA. When SNPs occur within a gene (when they fall within coding sequences of genes) or in a regulatory region near a gene, they may have a more direct role in disease by affecting the gene's function. They can act as biological markers, helping scientists locate genes that are associated with

disease. Common chronic disease-associated genetic variants were identified by investigators using this approach in cancer, Crohn disease, and other common, chronic diseases.<sup>24–27</sup> Indeed, age-related macular degeneration (AMD) was one of the first ‘complex diseases’ in which genetic variants were successfully identified by genome-GWAS.<sup>23</sup> A couple of recent GWAS for POAG have identified sequence variants and genetic loci associated with POAG susceptibility in populations of European and East Asian ancestry.<sup>28,29</sup>

The advantages of GWAS include the fact that a specific disease model is not needed, which can allow identification areas of previously unsuspected pathogenesis. It seems likely that pathogenesis of most cases of glaucoma, excluding those in which single gene defects have been identified, may be due to contributions from many different polymorphisms. Thus, the likelihood of picking up an association is going to depend on the ability to control for errors induced by bias and poor phenotyping. Therefore, careful phenotyping is likely to be critical. However, large numbers of cases and controls are needed, and a particular challenge will be to identify the biological context in which statistically significant candidate variants act. This may reflect linkage disequilibrium (non-random combinations of alleles or genetic markers in a population more often or less often than would be expected from a random association of alleles) between the SNPs identified and the true causative functional variant. Therefore, identification of significant SNPs by GWAS needs to be followed up by fine mapping of the regions harboring the most significant statistical signals. This has significant cost implications.

It was thought that SNPs in DNA were the most prevalent and important form of genetic variation. However, recent studies<sup>30–37</sup> reveal that a major source of variation between individual humans, underlying human evolution and many diseases is another form of structural variation called copy number variations (CNVs) or copy number polymorphisms, which are DNA alterations that result in cell having an abnormal number of copies of one or more sections of the DNA. Genes that were thought to always occur in two copies per genome have now been found to sometimes be present in one, three, or more than three copies. Notably, the copy number variable regions encompassed more nucleotide content per genome than SNPs, underscoring the importance of CNV in genetic diversity and evolution.<sup>38</sup>

CNVs may be pathogenic in a variety of human diseases, including POAG.<sup>39–41</sup>

Advances in biochemistry, chemistry, and engineering have enabled the development of new gene expression assays.<sup>42</sup> High-capacity systems can measure the expression of many genes in parallel, instead of studying

one gene at a time. Leung *et al*<sup>43</sup> demonstrated that microarray technology could contribute to the understanding of glaucoma pathogenesis in their investigation of differential gene expressions of an established human trabecular meshwork (TM) cell line under dexamethazone treatment. Similarly, Johnson *et al*<sup>44</sup> used microarray analysis to identify gene expression changes in the pressure-injured optic nerve head (ONH) in a rat glaucoma model. They found that the most significantly affected gene classes were cell proliferation, immune response, lysosome, cytoskeleton, extracellular matrix, and ribosomal. Their study provides an opportunity to identify early gene changes that may have an important role in the pathogenesis of axon damage. By identifying these genes, they expect to identify specific processes by which the stress resulting from elevated IOP is translated to axonal injury within the ONH.

### Genetic loci and glaucoma-associated genes

POAG links to at least 20 genetic loci.<sup>45</sup> Among them, 14 chromosomal loci have been designated GLC1A to GLC1N by the HUGO Genome Nomenclature Committee (<http://www.genenames.org/>; ‘GLC’: glaucoma, ‘1’: primary open angle, ‘A to N’: chronological order of genes discovered; 5 of them (GLC1A, GLC1J, GLC1K, GLC1M, and GLC1N) contributed to JOAG, whereas the others contributed only to adult-onset POAG. Only three genes causing POAG, *MYOC*, *optineurin* (*OPTN*), and *WDR36*, have been identified in these loci, that is, in GLC1A, GLC1E, and GLC1G, respectively.<sup>9,13,46</sup> Rare mutations associated with POAG in a novel gene, *neurotrophin-4* (*NTF4*), have been recently identified in a European, as well as in a Chinese population.<sup>47,48</sup>

A review of the literature on glaucoma genetics suggests a useful separation of them into those which are well-established glaucoma-causing genes, controversial genes that do not have an established role in glaucoma pathogenicity at present, and low-penetrance risk alleles that contribute to the likelihood of getting disease, but don’t cause disease on their own.

### High-penetrance glaucoma-causing genes: *MYOC* and *OPTN*

#### *TIGR/MYOC gene at the GLC1A locus*

Sheffield *et al*<sup>11</sup> studied 37 members of a Caucasian family in the United States with a history of an autosomal dominant form of JOAG and 22 were found to be affected. Linkage analysis mapped the disease-causing

gene to chromosome 1q21–q31, which was named GLC1A. GLC1A was also linked with late-onset POAG.<sup>49</sup>

In 1997, Stone *et al*<sup>13</sup> discovered the first gene, in which mutations were identified to cause JOAG, mapping to the GLC1A region. Among several genes mapping to this region and considered as candidates for the disease-causing gene, they screened two for mutations in families with JOAG, APTILG1 (apoptosis antigen ligand 1) and TIGR (TM-induced glucocorticoid response protein), and they found compelling evidence that mutations only in the *TIGR* gene were responsible for the disease. *TIGR* was later known as the MYOC protein and the *TIGR* gene as the *MYOC* gene.

More than 70 mutations in the *MYOC* gene in different racial/ethnic populations, in animal models, and in cell cultures have been found to contribute to the pathogenesis of POAG, and numerous SNPs causing or not causing glaucoma have been reported.<sup>50</sup> *MYOC* glaucoma is the most common form of inherited glaucoma (2–4% of glaucoma worldwide).<sup>51</sup> Of note, it is particularly associated with high IOP in both the early and the later onset forms of the disease.<sup>52,53</sup>

*MYOC* mutations were initially identified in JOAG. However, the most common *MYOC* mutation, the Gln368Stop mutation, is also highly associated with the development of late-onset POAG.<sup>23</sup> There is no statistically significant difference in the mean age of disease onset between POAG or ocular hypertensive patients who harbor the *MYOC* Gln368Stop mutation and patients without the mutation.<sup>54</sup>

On the basis of the age of onset for glaucoma, IOP values, and treatment needs, it seems there is a correlation between risk grade and patient's phenotype. The Gln368Stop mutation confers mild risk,<sup>55</sup> Thr377Met and Gly252Arg mutations intermediate risk,<sup>56,57</sup> and the Pro370Leu mutation severe risk.<sup>58–61</sup>

### *MYOC protein and glaucoma pathogenesis*

Most ocular tissues produce *MYOC*, including the TM, sclera, iris, cornea, lens, ciliary body, retina, and the optic nerve, and *MYOC* protein has also been isolated from vitreous humor.<sup>62–65</sup>

In the TM, *MYOC* associates with intracellular vesicles, but it is also found in the extracellular space. Hardy *et al*<sup>66</sup> suggested that both native and recombinant *MYOC* are associated with an extracellular membrane population having biochemical characteristics of exosomes (multivesicular bodies releasing their luminal contents into the extracellular compartment) and containing the major histocompatibility complex class II antigen, HLA-DR. According to their suggestions, *MYOC*-associated exosomes function in the initiation of ocular immune responses and that may have a role in the

regulation of IOP in the normal and glaucomatous human eye.

The most prevalent hypothesis for how *MYOC* mutations lead to POAG is that mutant *MYOC* interferes with protein trafficking. The formation of intracellular misfolded *MYOC* protein leads to decreased outflow by a mechanism that is yet not clear, and this in turn affects IOP regulation.<sup>23</sup>

Sohn *et al*<sup>67</sup> investigated whether *MYOC* induction can lead to IOP elevation or vice versa and if any *MYOC* promoter variant is associated with POAG. Their results do not support the hypothesis that *MYOC* induction might be linked to IOP variation and that promoter variants of *MYOC* could be a risk factor for the pathogenesis of POAG. Fingert *et al*<sup>68</sup> had previously shown that variations in the *MYOC* coding sequences or proximal promoter do not appear to be involved in the development of steroid-induced glaucoma. Jacobson *et al*<sup>69</sup> showed that normal *MYOC* was secreted from cultured ocular (TM) cells, but very little to no *MYOC* was secreted from cells expressing five different mutant forms of *MYOC*. Additionally, no mutant *MYOC* was detected in the aqueous humor of patients harboring a nonsense *MYOC* mutation.<sup>69</sup> Such data excludes the possibility of *MYOC* mutant protein having a direct and simple link to POAG. The pathophysiology of *MYOC* glaucoma is still unclear.

However, there is no doubt that the discovery of the first gene associated with glaucoma was a most important breakthrough in the investigation of the role of inheritance in glaucoma. The following recent findings constitute an attempt to explain how *MYOC* mutations can lead to IOP elevation and to the development of POAG.

*MYOC* is expressed in multiple ocular tissues as mentioned above, but its interaction with the mitochondria in the TM and in astrocytes appears to be cell specific.<sup>70,71</sup> On the basis of this finding, He *et al*<sup>72</sup> reported that TM cells overexpressing Pro370Leu mutant *MYOC* demonstrate features of mitochondrial dysfunction and, thus, Pro370Leu mutant *MYOC* may increase vulnerability of TM cells to cellular insults and cause impaired function and even cell death. The investigators above showed that *MYOC* causes dysregulation of calcium channels causing mitochondrial membrane depolarization in TM cells, TM contraction, and subsequently leading to reduced outflow and IOP elevation. Pro370Leu mutant *MYOC* further decreases mitochondrial membrane potential in TM cells. Therefore, they suggested preventive measures targeting mitochondrial protection to delay the onset of the disease in patients carrying the specific *MYOC* mutation.

Another investigation correlating POAG development with *MYOC* mutations relates to the effects of wild-type

and mutant MYOC, as well as of OPTN on neurite outgrowth in neuronal cells. Koga *et al*<sup>73</sup> demonstrated that overexpression of wild-type MYOC or P370L and Q368X mutants, but not OPTN, caused an inhibition of neurite outgrowth and thus may contribute to the development of neurodegenerative glaucoma.<sup>73</sup>

#### *OPTN at the GLC1E locus*

The second gene associated with POAG was identified in 2002 and it was given the name *OPTN* (OPTN for optic neuropathy-inducing protein) by Rezaie *et al*.<sup>9</sup>

An adult-onset POAG locus had been previously mapped on chromosome 10p14–p15, the *GLC1E* locus, in a linkage study of a large British family in which 15 of 46 family members were affected with NTG.<sup>10</sup> After excluding four genes, Rezaie *et al*<sup>9</sup> selected *OPTN* as a candidate gene on the basis of its physical location in this region and its expression in retina. They identified a recurrent missense mutation, E50K, segregating in family members who were affected, asymptomatic gene carriers, unaffected, and spouses. 18.4% of the affected subjects had elevated IOP and the remaining had normal IOP up to 21 mmHg. They suggested that mutations in *OPTN* may be responsible for 16.7% of the hereditary forms of NTG and that there is an additional risk factor of 13.6% in both familial and sporadic cases. They also demonstrated further expression of *OPTN* in human TM, non-pigmented ciliary epithelium, retina, brain, adrenal cortex, liver, fetus, lymphocyte, and fibroblast.

However, no other subsequent report showed such a high prevalence of mutations in *OPTN* to be responsible for hereditary forms of NTG. Also, the only mutation in *OPTN*, that was strongly associated with NTG, was the E50K change. The prevalence of E50K may finally be <2% in NTG patients and <1% in POAG overall.<sup>74–76</sup>

#### *OPTN and glaucoma pathogenesis*

The *OPTN* gene had been first identified as FIP2. Tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) is a proinflammatory cytokine, which has a number of important biological functions, and one of them is to control viral infection.<sup>77</sup> In an attempt to understand the mechanism by which the viral proteins inhibit TNF- $\alpha$  functions, Yongan Li *et al*<sup>78</sup> identified a gene, *FIP-2* (for 14.7K-interacting protein), interacting with E3-14.7K, a protein that is one of the several inhibitors of TNF- $\alpha$  cytotoxicity. The investigators found that FIP-2 reverses the protective effect of E3-14.7K on TNF receptor-induced cytotoxicity. Their study suggested that FIP-2 is a component of the TNF- $\alpha$  signaling pathway. Expression of FIP-2 protein blocks the protective effect of E3-14.7K and it is therefore possible that FIP-2 can utilize some inducible cellular cofactors to activate the cell death pathway.

TNF- $\alpha$  increases the severity of damage in the ONH in both POAG and in NTG patients.<sup>79,80</sup> According to Rezaie *et al*<sup>9</sup>, if wild-type *OPTN* has a neuroprotective role against TNF- $\alpha$ -induced cytotoxicity, the defective protein can lead to optic neuropathy and visual loss, despite normal IOP in NTG.

De Marco *et al*<sup>81</sup> further explored the role of *OPTN* in cell survival. After confirming Rezaie's findings regarding localization of *OPTN* in the Golgi and having accepted *OPTN* to be directly involved in the survival of RGCs in a cell autonomous manner, they showed that *OPTN* translocates to the nucleus upon oxidative stress, using a mouse model. This translocation was dependent on the GTPase activity of an interactor of *OPTN*, Rab8, which is involved in membrane trafficking that promotes changes in cell shape. Although wild-type *OPTN* is overexpressed to protect cells from oxidative stress-induced apoptosis, the disease-causing E50K *OPTN* mislocalises, loses its ability to respond to oxidative stress, and its overexpression results in the release of cytochrome *c*, loss of mitochondrial membrane potential, and activation of the mitochondrial pathway in neuronal cell apoptosis.

On the contrary, Koga *et al*<sup>73</sup> recently showed that overexpression of wild-type *OPTN* results in increased apoptosis of RGCs and that *OPTN* (wild type or the E50K mutant form), unlike MYOC, is devoid of effects on neurite outgrowth in neuronal cells. They concluded that MYOC and *OPTN* appear to have different functions and may contribute to the development of neurodegenerative glaucoma via distinct mechanisms, which are still largely unknown.

#### *The controversial role of WDR36 and NTF4 in POAG*

There is great uncertainty among experts about the contribution of *WDR36* and *NTF4* and their variants in the pathogenesis of glaucoma. Unlike the original reports on the role of *WDR36* and *NTF4*, many others followed, which failed to replicate the original studies in which the above genes were presented as glaucoma-causing genes. The data are currently inconclusive and, before presenting them in this review, it is useful to mention that *WDR36* and *NTF4* could be classified in a group of controversial genes that do not have an established role in glaucoma pathogenicity at present.

#### *WDR36 at the GLC1G locus*

In 2005, Monemi *et al*<sup>46</sup> described mapping of a new locus for POAG at the 5q22.1 region. The locus was named *GLC1G* and the candidate gene for *GLC1G* was identified as *WDR36*. *WDR36* is expressed in the lens, iris, sclera, ciliary muscles, ciliary body, TM, retina, and the optic nerve. The investigators above observed

WDR36 mutations in subjects with either high-pressure or low-pressure glaucoma and they concluded that WDR36 is involved in both types of glaucoma and IOP. In two of the seven GLC1G-linked families, they did not find any DNA coding variations in the coding regions of the *WDR36* gene, and they explained this finding on the basis of mutations in another POAG gene at GLC1G.

The association of POAG with WDR36 does not replicate in all populations from the United States,<sup>82,83</sup> Canada,<sup>84</sup> Australia,<sup>85</sup> and Germany.<sup>86</sup> In all these reports, WDR36 variants, including the D658G variant, which was used by Monemi to assert that *WDR36* is a glaucoma gene, were equally found in patients and controls and failed to segregate with POAG. There are families linked to the GLC1G locus in which a WDR36 mutation cannot be detected.<sup>87–89</sup>

#### *WDR36 and POAG pathogenesis*

In terms of neuroprotection as a complementary treatment in glaucoma, Bakalash *et al*<sup>90</sup> vaccinated experimental animals with an agent that suppresses human autoimmune disease and alters immune function by engaging the T-cell receptor. They found that vaccination with this agent can protect RGCs from the consequences of elevated IOP in rats.<sup>90</sup> Therefore, T-cell-mediated responses may participate in glaucoma-associated optic neuropathy. On the basis of the use of DNA microarrays, Mao *et al*<sup>91</sup> had previously looked for genes specifically involved in human T-cell activation, and one of them was *WDR36*, which highly co-regulated with interleukin-2.

Monemi *et al*<sup>46</sup> observed that one of the four identified disease-causing mutations of *WDR36*, D658G, maps to the C-terminal part of the cytochrome heme cd1 domain, which is part of an enzyme with cytochrome oxidase activity. A gene responsible for primary congenital glaucoma, *cytochrome P450, subfamily 1, polypeptide 1 (CYP1B1)*, is also a member of the cytochrome P450 family. Thus, they suggested a functional association between the two genes.<sup>46</sup>

Skarie and Link<sup>92</sup> showed that at the cellular level, loss of *Wdr36* disrupts ribosomal RNA maturation and leads to nucleolar morphology defects, resulting in activation of the p53 stress-response pathway. In POAG, it is established that RGCs are lost because of apoptosis<sup>93,94</sup> and p53 is a key regulator of the apoptotic pathway. Their data showing an interaction of p53 and *WDR36* suggest that variants in these genes could potentially synergize in POAG pathogenesis.

#### *The NTF4 gene and POAG*

Wiggs *et al*<sup>15</sup> performed a two-stage genome-wide scan to identify the genomic locations of adult-onset glaucoma susceptibility genes. They identified several potential

loci, including regions on chromosomes 2, 14, 17, and 19. Subsequently, at the chromosome 19 locus, Pasutto *et al*<sup>47</sup> reported seven different heterozygous mutations in the *NTF4* gene. This accounted for about 1.7% of POAG patients of European origin. Vithana *et al*<sup>48</sup> also identified a single mutation in the *NTF4* gene accounting for <1% of POAG patients in a Chinese population. Liu *et al*<sup>95</sup> recently reported that coding variants in the *NTF4* gene were not associated with an elevated risk of POAG in a United States Caucasian population. There were phenotypic differences between the study populations such as differences in the mean age, in the subtypes of glaucoma, as well as ethnic differences that could explain the discrepant findings. However, a similar investigation in an Indian population also did not replicate an association of variations in the *NTF4* gene with POAG.<sup>96</sup>

NT-4 normally activates tyrosine kinase-B receptor (TrkB) present in the RGCs and therefore is considered to play a protective role against high IOP, ischemia and release of cytotoxins.<sup>97–101</sup> According to Pasutto *et al*<sup>47</sup>, mutations in the *NTF4* gene resulted in the impairment of TrkB signaling, as well as neuronal growth, and therefore, the *NTF4* variants may have a significant effect on neuronal survival. They postulated that agents activating TrkB could therefore be a novel glaucoma treatment.<sup>47</sup> However, Rohrer *et al*<sup>102</sup> recently examined the roles of TrkB receptor isoforms in early postnatal survival in wild-type and mutant mice lacking all isoforms of TrkB. They found that TrkB signaling is not required for survival of RGCs during the period of target-dependent survival, but does appear to reduce degeneration of RGCs in adult animals.<sup>102</sup>

#### *Other loci, genes, and low-penetrance risk alleles associated with POAG*

Fuse<sup>22</sup> presented a list of 27 POAG and NTG genes that have been identified from association studies between 2005 and 2010. Among them, *apolipoprotein E (APOE)* at 19q13.2,<sup>103</sup> *TNF* at 6p21.3,<sup>104</sup> the *toll-like receptor 4 (TLR4)* gene at 9q32–q33,<sup>105</sup> *optic atrophy 1 (OPA1)* gene at 3q28–q29,<sup>106</sup> and *tumor protein p53 (TP53)* at 17p13.1.<sup>107</sup> *CYP1B1* at 2p22–p21 has been associated with JOAG first by Vincent *et al*<sup>108</sup> and later by other investigators as well.<sup>109</sup>

Fingert *et al*<sup>110</sup> recently mapped a new NTG gene to chromosome 12q14, the TANK-binding kinase-1 (*TBK1*). CNVs that encompass the *TBK1* gene were associated with the development of NTG.

Another recent GWAS conducted in Japan by Meguro *et al*<sup>111</sup> showed that common variants in the *S1 RNA-binding domain 1 (SRBD1)* and *elongation of long-chain fatty acids family member 5 (ELOVL5)* genes contribute to NTG susceptibility.

Secreted protein acidic and rich in cysteine (SPARC) is a matricellular glycoprotein, which promotes extracellular matrix deposition.<sup>112</sup> It distributes throughout the TM and it has been considered to have an important role in IOP regulation.<sup>113</sup> SPARC has also been detected in the iris of POAG patients,<sup>114</sup> while Seet *et al*<sup>115</sup> have found that SPARC deficiency in mice resulted in improved surgical survival in a mouse model of glaucoma filtration surgery. Aroca-Aguilar *et al*<sup>116</sup> reported an interaction between recombinant MYOC and SPARC. They suggested that proteolytic processing of MYOC modulates this interaction.<sup>116</sup> Chen *et al*<sup>117</sup> recently evaluated the involvement of SPARC mutations and CNVs in JOAG. The same group had previously located the gene at chromosome 5q in a region within the GLCM1 locus.<sup>88</sup> They finally excluded SPARC as the causal gene at the GLCM1 locus by revealing that coding sequences, splice sites, and copy number of SPARC do not contribute to JOAG. According to the investigators, further studies are required to unravel the involvement of SPARC in the pathogenesis of glaucoma and the causal gene for GLCM1 locus remains unidentified.<sup>117</sup>

Thorleifsson *et al*<sup>28</sup> conducted a genome-wide association study for POAG to search for genomic variants that confer risk of POAG in an Icelandic population of 1263 patients and 34877 controls. After testing 303117 SNPs for association with POAG, they found one, rs4236601[A] at 7q31, located close to CAV1 and CAV2 (encoding caveolin1 and 2) to be associated with POAG. The association was investigated in POAG cases and controls from Sweden, Leicester and Southampton in the UK, as well as from Australia. CAV1 and 2 are involved in the formation of invaginations of the plasma membrane called caveolae and both are expressed in TM and RGCs of the eye.<sup>118,119</sup> Their role in signal transduction has been already studied and an interaction of CAV1 and endothelial nitric oxide synthase, which leads to inactivation of the latter and therefore reduces nitric oxide production, has been established.<sup>120,121</sup> Nitric oxide overproduction causes cytotoxicity, neurodegeneration, cell apoptosis, and circulatory failure. CAV1 is also a regulator of transforming growth factor- $\beta$  (TGF- $\beta$ ) type 1 receptor.<sup>122</sup> Both nitric oxide and TGF- $\beta$  are implicated in the pathogenesis of POAG.<sup>123,124</sup> Although no direct correlation of the above POAG variant and CAV1 or CAV2 expression was observed, Thorleifsson *et al*<sup>28</sup> concluded that the effect of rs4236601 on CAV1 and CAV2 expression in ocular tissue can not be excluded. However, this association was not replicated in the UK cohorts ( $P > 0.05$ ), as well as by Fingert *et al*<sup>125</sup> in an Iowa population.

Burdon *et al*<sup>126</sup> recently reported a GWAS in Australians of European descent, which identified two

susceptibility loci for advanced POAG at TMCO1 (encoding a transmembrane protein with a coiled-coil domain) and CDKN2B-AS1 (cyclin-dependent kinase inhibitor 2B), imparting a threefold increase in risk for carriers of one or more risk alleles at the two loci. A role for both TMCO1 and CDKN2B-AS1 in the retinal ganglion cell apoptosis was proposed.<sup>126</sup>

The most recently published study regarding identification of new loci associated with POAG is the one by Porter *et al*<sup>127</sup> who identified a new POAG locus, GLC1Q, on chromosome 4 at 4q35.1–q35.2. They investigated the genetic cause of POAG in a large four-generation family with an apparent autosomal dominant mode of inheritance using genome-wide linkage analysis, but they failed to identify a mutation within the critical region in the candidate genes *LRPB2BP*, *CYP4V2* and *UFSP2*.<sup>127</sup>

Table 1 presents the most common and most recently found genes associated with POAG, data on relevant original and replication reports, as well as the reported prevalence of each gene mutation in POAG populations.

### The role of endophenotypes in glaucoma

'Endophenotypes' is a psychiatric concept described as internal phenotypes discoverable by a 'biochemical test or microscopic examination'.<sup>128,129</sup> An endophenotype-based approach has the potential to assist in the genetic dissection of complex diseases. Endophenotypes are a special kind of biomarker, as long as they fulfill the criteria suggested. The endophenotype must be associated with disease in the population, it has to be heritable, it must be primarily state-independent (manifests in an individual whether or not illness is active), endophenotype and illness have to co-segregate within families, and finally, the endophenotype found in affected family members has to be found in non-affected family members at a higher rate than in the general population.<sup>130,131</sup>

Quantitative traits refer to phenotypes that vary in degree and represent the product of polygenic effects. Mapping genes influencing the related quantitative trait, rather than the complete complex phenotype, has several important advantages, including objective phenotype definitions and a possible reduction in the underlying molecular heterogeneity.<sup>132</sup>

It has been already stated that complex disorders such as glaucoma result from the combined interaction of genes and environmental factors, and that several risk factors have been evaluated for POAG. Among the established risk factors for POAG, IOP, optic nerve cupping as measured by vertical cup-to-disc ratio, and central corneal thickness (CCT) represent plausible endophenotypes.<sup>133–135</sup> In the Beaver Dam Eye Study,

**Table 1** Table displaying the most common genes found to be associated with POAG, references in which they were originally presented, references replicating or not replicating the results, if any, and percentages of POAG patients carrying the mutations

Causal gene	Original report	Replication reports	Mutation prevalence
MYOC	Stone <i>et al</i> <sup>13</sup>	Fingert <i>et al</i> <sup>51</sup> ; Bhattacharjee <i>et al</i> <sup>158</sup> ; Mengkegale <i>et al</i> <sup>159</sup>	Adults: 3–4% (original report, Fingert <i>et al</i> <sup>51</sup> ) 10–20% of JOAG cases (OMIM number 137750)
OPTN	Rezaie <i>et al</i> <sup>9</sup>	Alward <i>et al</i> <sup>74</sup> ; Aung <i>et al</i> <sup>75</sup> ; Fuse <i>et al</i> <sup>160</sup> ; Weisschuch <i>et al</i> <sup>161</sup> ; McDonald <i>et al</i> <sup>76</sup>	> 16% of NTG cases (original report) < 2% of NTG cases (subsequent reports)
WDR36	Monemi <i>et al</i> <sup>46</sup>	Miyazawa <i>et al</i> <sup>162</sup> Not replicated in: Kramer <i>et al</i> <sup>89</sup> ; Pang <i>et al</i> <sup>88</sup> ; Rotimi <i>et al</i> <sup>87</sup>	1.6–17% (Original report)
NTF4	Pasutto <i>et al</i> <sup>47</sup>	Vithana <i>et al</i> <sup>48</sup> Not replicated in: Liu <i>et al</i> <sup>95</sup> ; Rao <i>et al</i> <sup>96</sup>	1.7% (Europeans, original report) < 1% (Chinese, replication report)
APOE	Copin <i>et al</i> <sup>103</sup>	Vickers <i>et al</i> <sup>163</sup> ; Mabuchi <i>et al</i> <sup>164</sup> ; Not replicated in: Ressiniotis <i>et al</i> <sup>165</sup> ; Lake <i>et al</i> <sup>166</sup>	Not applicable
TNF	Lin <i>et al</i> <sup>104</sup>	Fan <i>et al</i> <sup>167</sup> ; Bozkurt <i>et al</i> <sup>168</sup>	Not applicable
TLR4	Shibuya <i>et al</i> <sup>105</sup>	none reported	Not applicable
OPAI	Aung <i>et al</i> <sup>106</sup>	Mabuchi <i>et al</i> <sup>169</sup> Not replicated in: Woo <i>et al</i> <sup>170</sup>	Not applicable
TP53	Daugherty <i>et al</i> <sup>171</sup>	Fan <i>et al</i> <sup>172</sup> Not replicated in: Mabuchi <i>et al</i> <sup>173</sup> ; Silva <i>et al</i> <sup>174</sup>	Not applicable
CYP1B1	Vincent <i>et al</i> <sup>108</sup>	Melki <i>et al</i> <sup>175</sup> ; Chakrabarti <i>et al</i> <sup>176</sup> ; Pasutto <i>et al</i> <sup>177</sup>	4.6% (Caucasians, Melki R <i>et al</i> <sup>175</sup> ) 18.6% (Indian population, Chakrabarti <i>et al</i> <sup>176</sup> )
CAV1/CAV2 rs4236601	Thorleifsson <i>et al</i> <sup>28</sup>	Not replicated in: Kuehn <i>et al</i> <sup>178</sup>	Not applicable
SRBD1	Meguro <i>et al</i> <sup>111</sup>	None reported	Not applicable
ELOVL5	Meguro <i>et al</i> <sup>111</sup>	None reported	Not applicable
TBK1	Fingert <i>et al</i> <sup>110</sup>	None reported	1.3% of NTG cases
TMCO1 (rs4656461[G]) CDKN2B-AS1 (rs4977756[A])	Burdon <i>et al</i> <sup>126</sup>	None reported	Not applicable

IOP, optic cup diameter, optic disc diameter, and cup-to-disc ratio were estimated to have heritability estimates of 0.36, 0.55, 0.57, and 0.48, respectively.<sup>136</sup> In the Glaucoma Inheritance Study in Tasmania, visual field defect, IOP and, optic disc cupping were ranked in an attempt to predict whether a person in a glaucoma pedigree would develop glaucoma, even at an early stage.<sup>137</sup>

In the context of establishing a genetic correlation between POAG endophenotypes and POAG, a genome-wide scan of IOP was performed, using 486 pedigrees ascertained through a population-based cohort, the Beaver Dam Eye Study.<sup>138</sup> The investigators' purpose was to identify quantitative trait loci controlling IOP and thereby influencing the development of glaucoma. Seven loci of interest were identified (2, 5, 6, 7, 12, 15, and 19) and two of the regions (on chromosomes 2 and 19) co-localized with blood pressure loci. The authors concluded that understanding the mechanism of elevated IOP may help elucidate the understanding of both hypertension and glaucoma.

CCT is a risk factor of glaucoma. Vithana *et al*<sup>139</sup> conducted two GWAS for CCT in 5080 individuals drawn from two ethnic populations in Singapore (Indian and Malays) and identified novel genetic loci significantly associated with CCT (COL8A2 rs96067 and interval of RXRA-COL5A1 rs1536478). They confirmed the involvement of a previously reported gene for CCT and brittle cornea syndrome (ZNF469 rs9938149 and rs12447690), and they showed an association exceeding the formal threshold for genome-wide significance of COL5A1 rs7044529 and CCT. Their findings implicate the involvement of collagen genes influencing CCT and thus, possibly the pathogenesis of glaucoma.<sup>139</sup>

Khor *et al*<sup>140</sup> conducted a GWAS on 4445 Singaporean individuals with replication in Rotterdam, the Netherlands, on 9326 individuals of Caucasian ancestry, using the most widely reported parameter for optic disc traits, the optic disc area. They identified a novel locus on chromosome 22q13.1, CARD10, which strongly associates with optic disc area in both Singaporean cohorts

as well as in the Rotterdam Study, and confirmed the association between CDC7/TGFBR3 and ATOH7 and the optic disc area in Asians, suggesting that there are general genetic determinants applicable to the size of the optic disc across different ethnicities.<sup>140</sup>

The results of quantitative trait analysis can complement the findings from GWAS; for example, Rotimi *et al*<sup>87</sup> in 2006 suggested a locus on 5q22 was linked to high IOP and this lies within the *WDR36* gene, which is implicated in POAG.

### Therapeutic implications of genetics for POAG

RGC death is the final outcome of all pathogenetic mechanisms causing POAG. The putative mechanisms of how this occurs are legion. They include pressure-induced injury of the ONH, leading to retinal gene expression alterations,<sup>44,141</sup> astrocyte response to changes in IOP,<sup>142</sup> oxidative stress, mitochondrial dysfunction, neurotrophic factors,<sup>143,144</sup> and autoimmunity.<sup>145</sup> Identifying validated genetic mutations that are replicated in multiple populations perhaps offers the best hope of correct identification of the most important pathways, which result in RGC death. This in turn should identify novel treatment strategies.

For example, Li *et al*<sup>146</sup> recently proposed RNA interference (RNAi) as a gene silencing therapy for complete elimination of mutant MYOC from human TM cells.<sup>146</sup> Using RNAi,<sup>147–150</sup> they eliminated mutant MYOC in HTM cells. Mutant MYOC is insufficiently folded and accumulates in the ER,<sup>151,152</sup> causing the unfolded protein response with subsequently activated cell apoptosis.

An important goal of genetic studies in glaucoma is the development of personalized medicine for patients. If sufficient specificity and sensitivity can be generated, then patients could be genotyped before symptomatic vision loss has occurred and either begin preventative treatment or be discharged.

Allingham *et al*<sup>23</sup> suggested genetic screening would provide focused delivery of medical resources on smaller at-risk populations if risk assessment reaches a sufficient threshold of accuracy.

Ennis *et al*<sup>153</sup> conducted MYOC glaucoma screening in a regional glaucoma service in southern England, to identify the prevalence of MYOC gene mutations in a UK glaucoma cohort. They suggested that by identifying first-degree relatives without MYOC mutations, these patients might be released from traditional intensive screening programs.

### Comments: future genetic approaches

POAG is a complex, heterogenous disease for which the genetic contribution still needs to be identified in over

95% of the cases. POAG is also a common disease in terms of prevalence and distribution in various populations. However, its prevalence varies in different racial/ethnic populations; for example, there is higher prevalence of POAG in African Americans than in Americans of European ancestry. It is unknown whether genetic factors are responsible for this difference.<sup>154</sup> There are also gene mutations associated with POAG, which are not common in all populations.<sup>50</sup>

New approaches may help identify these as yet unidentified genetic variations. One approach to the whole genome association mapping that exploits linkage disequilibrium generated by admixture between genetically distinct ancestral populations is called 'admixture mapping',<sup>155</sup> and can be an interesting and practical genetic approach in POAG. Although GWAS are more powerful compared with linkage analysis to discover genes of weak effect that might contribute to the development of POAG, they can be extremely expensive as they require the use of thousands of markers to locate genes associated with the disease. Admixture mapping requires considerably fewer markers and is considered to be more robust to allelic heterogeneity.<sup>155,156</sup>

To overcome the missing genetic control due to gene variants that are too rare to be picked up by GWAS, 'next-generation sequencing technologies' could also rapidly facilitate substantial progress.<sup>157</sup>

In conclusion, identification of genetic determinants of POAG is still a work in progress. New genetic sequencing technologies, increased cohort sizes, and detailed phenotyping will undoubtedly identify novel genetic variants. The association of complement gene mutations in AMD has led to the development of novel therapies for AMD. It is expected that identifying novel genetic variants in POAG will also result in novel treatment paradigms. Thus, ongoing studies are worth pursuing.

### Conflict of interest

The authors declare no conflict of interest.

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