

ARTICLE

Genotype–phenotype correlations in patients with retinoblastoma and interstitial 13q deletions

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Patients with an interstitial 13q deletion that contains the *RB1* gene show retinoblastoma and variable clinical features. Relationship between phenotypic expression and loss of specific neighboring genes are unresolved, yet. We obtained clinical, cytogenetic and molecular data in 63 patients with an interstitial 13q deletion involving *RB1*. Whole-genome array analysis or customized high-resolution array analysis for 13q14.11q14.3 was performed in 38 patients, and cytogenetic analysis was performed in 54 patients. Deletion sizes ranged between 4.2 kb and more than 33.43 Mb; breakpoints were non-recurrent. Sequence analysis of deletion junctions in five patients revealed microhomology and insertion of 2–34 base pairs suggestive of non-homologous end joining. Milder phenotypic expression of retinoblastoma was observed in patients with deletions larger than 1 Mb, which contained the *MED4* gene. Clinical features were compared between patients with small (within 13q14), medium (within 13q12.3q21.2) and large (within 13q12q31.2) deletions. Patients with a small deletion can show macrocephaly, tall stature, obesity, motor and/or speech delay. Patients with a medium deletion show characteristic facial features, mild to moderate psychomotor delay, short stature and microcephaly. Patients with a large deletion have characteristic craniofacial dysmorphism, short stature, microcephaly, mild to severe psychomotor delay, hypotonia, constipation and feeding problems. Additional features included deafness, seizures and brain and heart anomalies. We found no correlation between clinical features and parental origin of the deletion. Our data suggest that hemizygous loss of *NUFIP1* and *PCDH8* may contribute to psychomotor delay, deletion of *MTLR1* to microcephaly and loss of *EDNRB* to feeding difficulties and deafness.

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INTRODUCTION

Retinoblastoma (Rb) is caused by mutational inactivation of the *RB1* gene, a tumor suppressor located on chromosome 13q14.2. About 5–15% of the patients with Rb are heterozygous for a gross deletion that includes the entire or substantial parts of *RB1*.¹ It has been reported that the proportion of patients with unilateral Rb in carriers of 13q deletions is higher compared with patients with intragenic loss-of-function mutations.^{1–3}

In addition to Rb, patients with a 13q deletion involving the region 13q14.2 often present with pleiotropic features. On the basis of karyotype–phenotype associations, a classification for patients with a 13q deletion with and without Rb was proposed.⁴ Patients with a deletion proximal to 13q32 (group 1) show mild to moderate mental retardation, variable dysmorphic features and growth retardation. Patients with deletions extending into 13q32 (group 2) show one or more major malformations including severe microcephaly, and malformations of the brain, genitourinary and gastrointestinal tract. Group 3 comprises patients with distal deletions involving 13q33q34. The facial and neurological phenotype in patients with Rb and a 13q deletion was described first in three Japanese patients by Motegi *et al.*⁵ These patients show prominent eyebrows, a broad nasal bridge, a bulbous tip of the nose, a large mouth, a thin upper lip and a

long philtrum. Baud *et al.*⁶ described a series of 22 Rb patients with the most prominent features being anteverted ear lobes, a high and broad forehead, a prominent philtrum and severe mental retardation and/or motor impairment. In a study by Bojinova *et al.*,⁷ frequent features included frontal bossing, a deeply grooved and long philtrum, a depressed and broad nasal bridge, a bulbous tip of the nose, a thick lower lip, a thin upper lip, broad cheeks and large ears and lobules. Additional case reports on patients with an interstitial 13q deletion involving band 13q14, describe macrocephaly, hypertelorism, proptosis, cleft palate, macroglossia, hypotonia and mild to severe developmental delay.^{8–10} In two patients with Rb and an interstitial deletion extending to 13q22, Hirschsprung disease was reported.^{11,12} All these patients were analyzed using standard cytogenetic analysis. To date, only five patients with an interstitial 13q deletion involving the region 13q14.2 defined by array-based analyses have been reported.^{13–15} Caselli *et al.*¹⁴ reported on one patient with normal clinical features and a small 1.7-Mb deletion, and two other patients with larger deletions of 19–45 Mb who showed variable clinical features including craniofacial dysmorphism, psychomotor delay, hypotonia, short stature and anomalies of feet and brain. A correlation of the extent of the deletion to the facial phenotype and other clinical features in patients with an interstitial 13q deletion proximal to the

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region 13q32 (group 1 of Brown's classification) is still wanting. It is also still unknown whether specific genes in the region account for specific aspects of the phenotype seen in Rb patients with an interstitial 13q deletion.

In this study, we report on 63 individuals with isolated or familial Rb who carry an interstitial 13q deletion involving *RBI*. To define genotype–phenotype correlations and to contribute to a functional gene map in the region, cytogenetic analysis in 54 patients was compared with array CGH analysis in 38 patients. Breakpoints were sequenced in five unrelated patients to analyze for the underlying deletion mechanism.

MATERIALS AND METHODS

Patients

A total of 63 Rb patients from 55 families were ascertained through the Rb outpatient clinic and Rb lab (Essen). All patients carried an interstitial 13q deletion involving *RBI* with at least one breakpoint outside of *RBI*. Deletions had been identified during routine genetic testing using microsatellite analysis of short tandem repeat (STR) loci within *RBI*, quantitative multiplex PCR,¹ multiplex ligation-dependent probe amplification (MLPA) (kit P047, MRC Holland, Amsterdam, Netherlands) or standard cytogenetic analysis. Informed consent for study participation was obtained. DNA from peripheral blood lymphocytes and tumor tissue was prepared by following the standard procedures.

Cytogenetic analysis

Standard cytogenetic analysis was performed in 54 patients on cultured lymphocytes with G-banding techniques and a resolution of 500–550 bands per haploid genome.

Array CGH analysis

Custom-made high-resolution oligonucleotide CGH Microarray Kit 4x44K (Agilent Technologies, Santa Clara, CA, USA) was used to map deletion breakpoints in the region 13q14.11q14.3. Whole-genome array CGH analysis was performed in 15 patients with larger deletions, using the Affymetrix 250K Nsp Array (Affymetrix, Santa Clara, CA, USA) or the Agilent Human genome CGH Microarray Kit 244K (Agilent Technologies) according to the manufacturer's instructions.

Molecular characterization of breakpoint sequences

To sequence deletion breakpoints in five patients, Long-Range PCR was performed using expanded long-template PCR system (Roche, Mannheim, Germany). Primers (Biomers, Ulm, Germany) were designed for each patient to bind upstream and downstream of the deleted segments as mapped by high-resolution array CGH. PCR was performed according to the manufacturer's instructions. In patients 21 and 26, fragments were cloned into a PCR II vector by using the TOPO TA kit (Invitrogen, Montreal, QC, Canada). In patients 26, 34 and 47, re-PCR with nested primers was performed. Sequence analysis was performed on a 3100 Genetic Analyzer (Applied Biosystems, Darmstadt, Germany).

Detection of parental origin of interstitial 13q deletions

If parental DNA was available, parental origin of the deletion was determined by genotyping of DNA polymorphisms within *RBI*. Three STR loci were analyzed: *RBI*2 (D13S153), located in intron 2 of *RBI*,¹⁶ *RBI*.20, located in intron 20,¹⁷ and a CA-repeat located at –890 bp upstream of L11910.

In patients uninformative for the above markers and in families in which no parental DNA was available (patients 4, 19, 22, 26, 43, 51, 54, 55, 56, 66, 72, 73), parental origin of the deletion was determined by analysis of the methylation status of a differentially methylated CpG-island in intron 2 of *RBI* using methylation-specific PCR.¹⁸

Statistical analysis

Statistical analysis including contingency analysis of association between genotype and phenotype and one-way analysis of variance was performed using JMP software (<http://www.jmp.com>, SAS Institute, Cary, NC, USA) and Stata 11.1 (StataCorp LP, College Station, TX, USA).

RESULTS

Cytogenetic analysis

Conventional cytogenetic analysis showed a normal karyotype in 17 patients, a small deletion in 13q14.1q14.3 in 11 patients and an interstitial 13q deletion in 13q12.3q31.2 involving 13q14.2 in 26 patients (Table 1).

CGH array analysis

Results of array CGH analysis in 38 patients including nine Rb families are summarized in Table 1. Size and location of the deletions are shown in Figure 1. Nine patients carried a deletion with one breakpoint within *RBI* (patients 17, 21, 26, 34, 47, 49, 50, 56, 58). In only two patients, 14 and 18, analysis revealed recurrence of the breakpoint region.

For analysis of genotype–phenotype associations, we categorized deletions according to size to better compare clinical features. Deletions within 13q14 and smaller than 6 Mb or normal karyotype were considered to be small deletions (27 patients, including 14 patients from five families). Deletions within 13q12.3q21.2 and 6–20 Mb were considered as medium deletions (16 patients, including two patients from one family). All deletions larger than 20 Mb, including large cytogenetic deletions within 13q12q31.2, were classified as large deletions (20 patients, including two patients from one family).

Breakpoint sequence analysis

Results of breakpoint sequencing analysis in five patients are presented in Figure 2. Sequences were compared with the reference genomic sequence using a BLAT Search Genome (UCSC Genome Browser). In patient 47, we found a 2-bp microhomology at the breakpoint junction. The proximal breakpoint was located in intron 2 of the *ITM2B* gene and the distal breakpoint in intron 13 of *RBI*, and involved a Tigger 3b repeat (human transposable element). In patient 34, a 13-bp microhomology at the breakpoint junction was found, with the proximal breakpoint located in a non-repetitive sequence in intron 17 of *RBI* and the distal breakpoint in a MER34C repeat. In patient 26, a 4-bp microhomology at the breakpoint junction was found, with the proximal breakpoint located in a L1MC4a repeat and the distal breakpoint in intron 2 of *RBI* in a L1P1 repeat. In patient 21, a 4-bp microhomology was found, with the proximal breakpoint located in a L1PA6 repeat and the distal breakpoint in a non-repetitive sequence within intron 17 of *RBI*. In patient 78, we found a 34-bp insertion of unknown origin at the breakpoint junction. The proximal breakpoint mapped in a non-repetitive sequence in intron 12 of *RBI*, the distal breakpoint in an AluSq repeat.

Parental origin of the mutation

The human *RBI* gene is imprinted.¹⁸ As this might be relevant for genotype–phenotype correlations, we determined the parental origin of the interstitial 13q deletions (Table 1). In 17/63 patients (27.0%), the deletion was present on the maternal chromosome, and in 44/63 patients (69.8%) on the paternal chromosome. In two patients, analysis was uninformative. In 43 patients with a *de novo* deletion, the deletion was present on the paternal (33 patients, 76.7%) or maternal (10 patients, 23.3%) chromosome.

Genotype–phenotype associations

Phenotypes of the study patients are listed in Tables 1 and 2. All patients with one breakpoint inside of *RBI* had bilateral Rb. Of 54 patients with both breakpoints outside of *RBI*, 61.1% had bilateral Rb and 38.9% had unilateral or no Rb (Figure 3a, likelihood ratio test,

Table 1 Results of cytogenetic and molecular analysis in 63 study patients

Patient ID	Origin of deletion/heredity	Age at diagnosis of Rb in months	Rb Phenotype	Conventional Cytogenetic analysis	Array CGH analysis		Location and size of deletion
					Proximal breakpoint	Distal breakpoint	
<i>Patients with a small deletion</i>							
3 (father of 5,6)	Paternal, n.k.	n.k.	Unilateral retinal scar	46, XY	45362825–48363232 ^a	48696986–48697628 ^a	13q14.12q14.2, 3.33 Mb
5 (son of 3)	Paternal, inherited	18	Bilateral	46,XY,del(13)(q14.2q14.2)	n.p.	n.p.	13q14.12q14.2, 3.33 Mb
6 (son of 3)	Paternal, inherited	1	Unilateral	n.p.	n.p.	n.p.	13q14.12q14.2, 3.33 Mb
15	Maternal, <i>de novo</i>	8	Bilateral	46, XX	47709742–47709919 ^a	48590296–48596709 ^a	13q14.2, 0.89 Mb
17	Maternal, n.k.	24	Bilateral	46, XX	47946955–47948489 ^a	47956038–47956506 ^a	13q14.2, 7.99 kb
19	Paternal, <i>de novo</i>	5	Bilateral	46, XY	46405919–46420133 ^a	49151941–49151998 ^a	13q14.2q14.3, 2.75 Mb
21	Maternal, <i>de novo</i>	11	Bilateral	46, XY	47672542–47681006 ^a	47912363–47912496 ^a	13q13.2, 0.24 Mb
26	Paternal, <i>de novo</i>	n.r.	Bilateral	n.p.	47635376–47636676 ^a	47808181–47812029 ^a	13q14.2, 0.18 Mb
34	Paternal, <i>de novo</i>	14	Bilateral	n.p.	47915282–47917513 ^a	48068498–48071869 ^a	13q14.2, 0.15 Mb
35	Paternal, <i>de novo</i>	9	Bilateral	46, XY	47743725–47746919 ^a	50149499–50149928 ^a	13q14.2q14.3, 2.41 Mb
36	Paternal, <i>de novo</i>	18	Unilateral	n.p.	46963616–46963771 ^a	48367780–48368525 ^a	13q14.2, 1.41 Mb
38 (nephew of 39)	Maternal, inherited	5	Unilateral	46,XY,del(13)(q14.2q14.2)	47485354–47485997 ^a	48659232–48659286 ^a	13q14.2, 1.17 Mb
39 (aunt of 38)	Paternal, inherited	n.k.	Unilateral retinal scar	46,XX,del(13)(q14.2q14.2)	n.p.	n.p.	13q14.2, 1.17 Mb
47	Maternal, <i>de novo</i>	2	Bilateral	46, XX	47710100–47710396 ^a	47849823–47851046 ^a	13q14.2, 0.14 Mb
49	n.k.	n.r.	Bilateral	46, XX	47772350–47772827 ^a	47776329–47776560 ^a	13q14.2, 4.21 kb
50	Maternal, inherited	n.r.	Bilateral	n.p.	47888152–47894725 ^a	47996364–47996791 ^a	13q14.2, 0.11 Mb
52	Paternal, <i>de novo</i>	n.r.	Bilateral	46, XX	47072184–47073478 ^a	49029921–49032229 ^a	13q14.2q14.3, 1.96 Mb
55	Maternal, inherited	n.r.	Bilateral	n.p.	47272350–47272781 ^a	48734057–48735946 ^a	13q14.2, 1.46 Mb
57	Paternal, <i>de novo</i>	16	Unilateral	46, XX	46054012–46054336 ^a	51272913–51275120 ^a	13q14.2q14.3, 5.22 Mb
60 (mother of 63)	Paternal, inherited	—	No Rb	46, XX	47143683–47143754 ^a	481545510–48154565 ^a	13q14.2, 1.01 Mb
63 (son of 60)	Maternal, inherited	n.r.	Unilateral	46, XY	n.p.	n.p.	13q14.2, 1.01 Mb
62 (son of 74)	Paternal, inherited	8	Bilateral	46, XY	46379866–46379925 ^a	48256793–48258148 ^a	13q14.2, 1.88 Mb
74 (father of 62)	n.k.	n.r.	Bilateral	n.p.	n.p.	n.p.	13q14.2, 1.88 Mb
64	Paternal, <i>de novo</i>	10	Bilateral	46, XY	n.p.	n.p.	13q14.2, 1.88 Mb
70 (daughter of 73)	Maternal, inherited	42	Unilateral	46,XX,del(13)(q14.2q14.2)	46317606 ^a	48052536 ^a	13q14.2, 1.74 Mb
73 (mother of 70)	Paternal, n.k.	30	Unilateral	46, XX	n.p.	n.p.	13q14.2, 1.74 Mb
82	Paternal, <i>de novo</i>	9	Bilateral	46, XX	n.p.	n.p.	13q14.2, 1.74 Mb
<i>Patients with a medium deletion</i>							
9	Maternal, <i>de novo</i>	32	Bilateral	46,XY,del(13)(q14.2q21.2)	40733729–40790137 ^b	60179935–60193507 ^b	13q14.11q21.2, 19.46 Mb
10	Paternal, <i>de novo</i>	15	Unilateral	46,XY,del(13)(q14q21.1)	43497450–43497509 ^a	59492754–59502019 ^b	13q14.11q21.2, 16.01 Mb
12	Maternal, <i>de novo</i>	30	Bilateral	46,XX,del(13)(q13q14.2)	43346651–43346827 ^a	53285970–53286216 ^a	13q14.11q21.1, 9.94 Mb
13	Maternal, <i>de novo</i>	5	Bilateral	46,XX,del(13)(q12.3q14.3)	32292404–32318179 ^b	49897351–49937528 ^b	13q13.1q14.3, 17.65 Mb
14	Maternal, <i>de novo</i>	5	Unilateral	46,XX,del(13)(q14q14)	42337828–42348574 ^a	55546169–55564116 ^b	13q14.11q21.1, 13.23 Mb
18	Maternal, <i>de novo</i>	4	Unilateral	46,XY,del(13)(q14.1q14.2)	42337769–42348630 ^a	55034743–55044198 ^b	13q14.11q21.1, 12.71 Mb
40	Paternal, <i>de novo</i>	3	Bilateral	46,XY	43386853–43388868 ^a	53749602–53764680 ^a	13q14.11q21.1, 10.38 Mb
41	Paternal, <i>de novo</i>	18	Unilateral	46,XY,del(13)(q13q14.3)	36576884–36621396 ^b	48717057–48717116 ^a	13q13.3q14.2, 12.14 Mb
42 (daughter of 43)	Paternal, inherited	2	Bilateral	46,XX,del(13)(q14q14)	41390788–41398008 ^a	48224780–48229293 ^a	13q14.11q14.2, 6.84 Mb
43 (father of 42)	Paternal, n.k.	24	Unilateral	46,XY,del(14)(q14.2q14.2)	n.p.	n.p.	13q14.11q14.2, 6.84 Mb
45	Paternal, <i>de novo</i>	15	Bilateral	46,XY,del(13)(q14.1q14.2)	40667660–40732744 ^b	49535641–49535700 ^a	13q14.11q14.3, 8.87 Mb

Table 1 (Continued)

Patient ID	Origin of deletion/heredity	Age at diagnosis of Rb in months	Rb Phenotype	Conventional cytogenetic analysis		Array CGH analysis		Location and size of deletion
				Cytogenetic analysis	Proximal breakpoint	Distal breakpoint		
51	Paternal, <i>de novo</i>	18	Bilateral	46,XY,del(13)(q14.1q14.3)	41516365-41516844 ^a	48840695-48841046 ^a	13q14.11q14.2, 7.32 Mb	
56	Paternal, n.k.	n.r.	Bilateral	n.p.	47936652-47937062 ^a	63956349-63978332 ^b	13q14.2q21.31, 16.04 Mb	
58	Paternal, <i>de novo</i>	12	Bilateral	46,XY,del(13)(q14.1q14.2)	40370025-40431052 ^b	47875264-47875323 ^a	13q14.11q14.2, 7.51 Mb	
61	Paternal, <i>de novo</i>	6	Bilateral	46,XX,del(13)(q14q21.1)	n.p.	n.p.		
68	Paternal, <i>de novo</i>	2	Bilateral	46,XX,del(13)(q12.3q14.3)	37071383-37093430 ^b	53807548-53854488 ^b	13q13.3q21.1, 16.78 Mb	
<i>Patients with a large deletion</i>								
4	Paternal, n.k.	8	Bilateral	n.p.	39671015 ^c	73097233 ^c	13q14.11q22.1, 33.43 Mb	
7	Paternal, <i>de novo</i>	17	Bilateral	46,XX,del(13)(q12.3q21)	37466693-37501000 ^b	65918879-65948122 ^b	13q13.3q21.32, 28.48 Mb	
8	Paternal, <i>de novo</i>	3	Bilateral	46,XY,del(13)(q14q22)	n.p.	n.p.		
11	Paternal, <i>de novo</i>	1	Bilateral	46,XY,del(13)(q12.3q22.1)	n.p.	n.p.		
16	Paternal, <i>de novo</i>	4	Unilateral	46,XX,del(13)(q12.3q14.3)	29036036-29045596 ^b	52932732-52983296 ^b	13q12.3q21.1, 23.95 Mb	
20	Paternal, <i>de novo</i>	1	Bilateral	46,XX,del(13)(q14.11q31.2)	n.p.	n.p.		
22	Maternal, <i>de novo</i>	10	Bilateral	46,XX,del(13)(q14q21)	42331613-42359229 ^b	65608944-65621171 ^b	13q14.11q21.32, 23.29 Mb	
24	Maternal, <i>de novo</i>	9	Bilateral	46,XX,del(13)(q14.11q22)	n.p.	n.p.		
25	Paternal, <i>de novo</i>	n.r.	Bilateral	46,XX,del(13)(q14.1q22)	n.p.	n.p.		
27	Paternal, <i>de novo</i>	n.r.	Bilateral	46,XY,del(13)(q12.3q21.2)	n.p.	n.p.		
48	Paternal, <i>de novo</i>	14	Bilateral	46,XY,del(13)(q12q21.32)	n.p.	n.p.		
54	Paternal, <i>de novo</i>	9	Bilateral	46,XY,del(13)(q13.1q21.1)	33775711-33790664 ^b	54656139-54662257 ^b	13q13.2q21.1, 20.88 Mb	
65	Paternal, <i>de novo</i>	6	Bilateral	46,XX,del(13)(q13q21.1)	n.p.	n.p.		
66 (mother of 67)	Paternal, <i>de novo</i>	10	Bilateral	46,XX,del(13)(q12.3-21.1)	n.p.	n.p.		
67 (son of 66)	Maternal, inherited	8	Unilateral	46,XY,del(13)(q12.3-21.1)	n.p.	n.p.		
71	Paternal, <i>de novo</i>	18	Unilateral	46,XX,del(13)(q14.1q21.3)	n.p.	n.p.		
72	Paternal, <i>de novo</i>	84	Unilateral	46,XX,del(13)(q14.1q22)	n.p.	n.p.		
76	Paternal, <i>de novo</i>	3	Unilateral	46,XY,del(13)(q12q21)	n.p.	n.p.		
77	Paternal, <i>de novo</i>	10	Unilateral	46,XX,del(13)(q14q22)	n.p.	n.p.		
81	Paternal, <i>de novo</i>	22	Bilateral	46,XY(13)(q13.3q31.2)	n.p.	n.p.		

Abbreviation: n.k., not known; n.p., not performed.

^aCustom made high-resolution oligonucleotide CGH Microarray Kit 4x44K Agilent Technologies.^bAffymetrix 250K Nsp Array.^cAgilent Human genome CGH Microarray Kit 244K (based on NCBI Build 36.1 March 2006, hg 18).

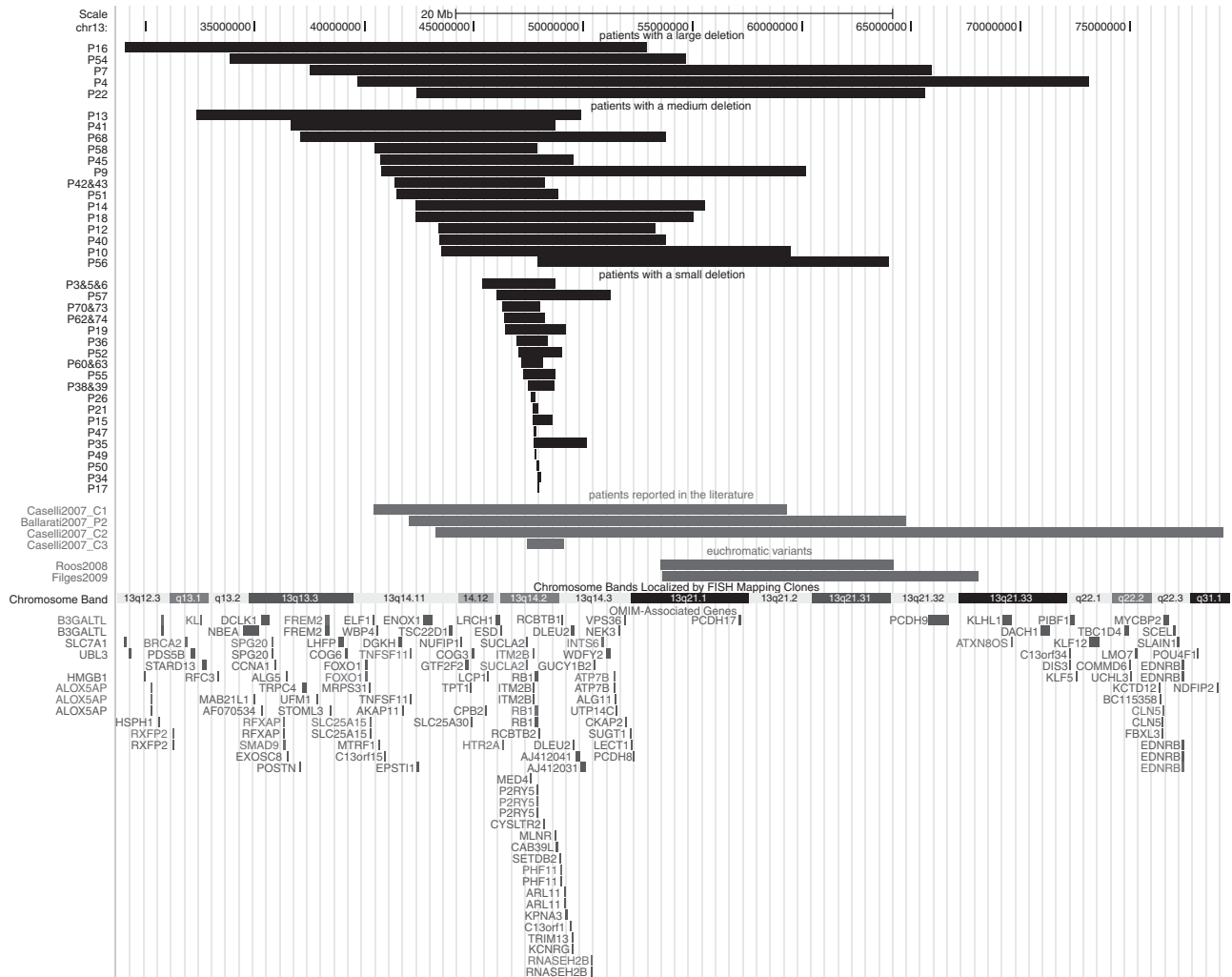


Figure 1 Gene map of deletions in 38 patients and cases reported in the literature. Results of array CGH analysis were uploaded into UCSC Genome browser (on the basis of NCBI Build 36.1 March 2006, hg 18).

$P=0.018$). Comparing deletion sizes and Rb phenotype, it appears that milder phenotypic expression, that is, unilateral Rb or incomplete penetrance, seems to be restricted to patients with deletions larger than ~ 1 Mb (Figure 3b). Neither the two-sample Wilcoxon rank sum test nor the median test showed significance, but this may be because of poor statistical efficiency of these non-parametric tests.

Age at diagnosis of Rb was obtained in 50 patients. With the exception of patient 72, who was diagnosed with unilateral Rb at the age of 7 years, Rb was detected between 9 months and 3 years. In 43 patients with a *de novo* deletion, or who were the first affected family members (Supplementary Table 3), median age at diagnosis of unilateral Rb was 16 (5;18) months, and that of bilateral Rb was 9 (5;14) months. The difference between the two distributions was not significant (Wilcoxon rank sum test: $z=-1.510$, $\text{Prob}>|z|=0.1311$; median test: $\chi^2=2.2750$, $P=0.131$). Distribution of age at diagnosis in patients with bilateral and unilateral Rb who carry a maternal or paternal deletion was distinct, yet not statistically different (Kruskal–Wallis equality of population rank test: $\chi^2=7.693$ with three degrees of freedom, $P=0.0527$). The difference in age at diagnosis between patients with a maternal or paternal deletion was also not significant (Wilcoxon rank sum test: $z=-0.167$, $\text{Prob}>|z|=0.8671$; median test: $\chi^2=0.3667$, $P=0.545$). Age at

diagnosis of Rb was similar between patients with a small, medium and large deletion (data not shown).

Patient 62 was born after 27 weeks of gestation; all other patients were born after 32 weeks of gestation. Mean gestational age was not distinct between patients with a small, medium and large deletion. In view of this homogeneity, comparison of birth measurements among all patients in this cohort is meaningful and showed a trend toward lower birth weight, length and head circumference in patients with a medium or large deletion compared with patients with a small deletion (Supplementary Figure 6a). Patients with a medium and a small deletion showed similar birth measurements. Measurements at time of examination showed a similar trend with a tendency to lower weight, short stature and microcephaly with increasing size of the deletion (likelihood ratio test, $P=0.0365$, $P<0.001$ and $P<0.001$, respectively). Of interest, some patients with a small or medium deletion showed obesity, tall stature and macrocephaly (Supplementary Figure 6b).

Hypotonia, motor and speech delay were present in almost all patients with a medium or large deletion (likelihood ratio test, $P<0.001$, $P<0.001$ and $P=0.0022$, respectively). Among patients with a small deletion, 6/15 patients showed mild to moderate motor and/or speech delay. Additional clinical features included recurrent infections,

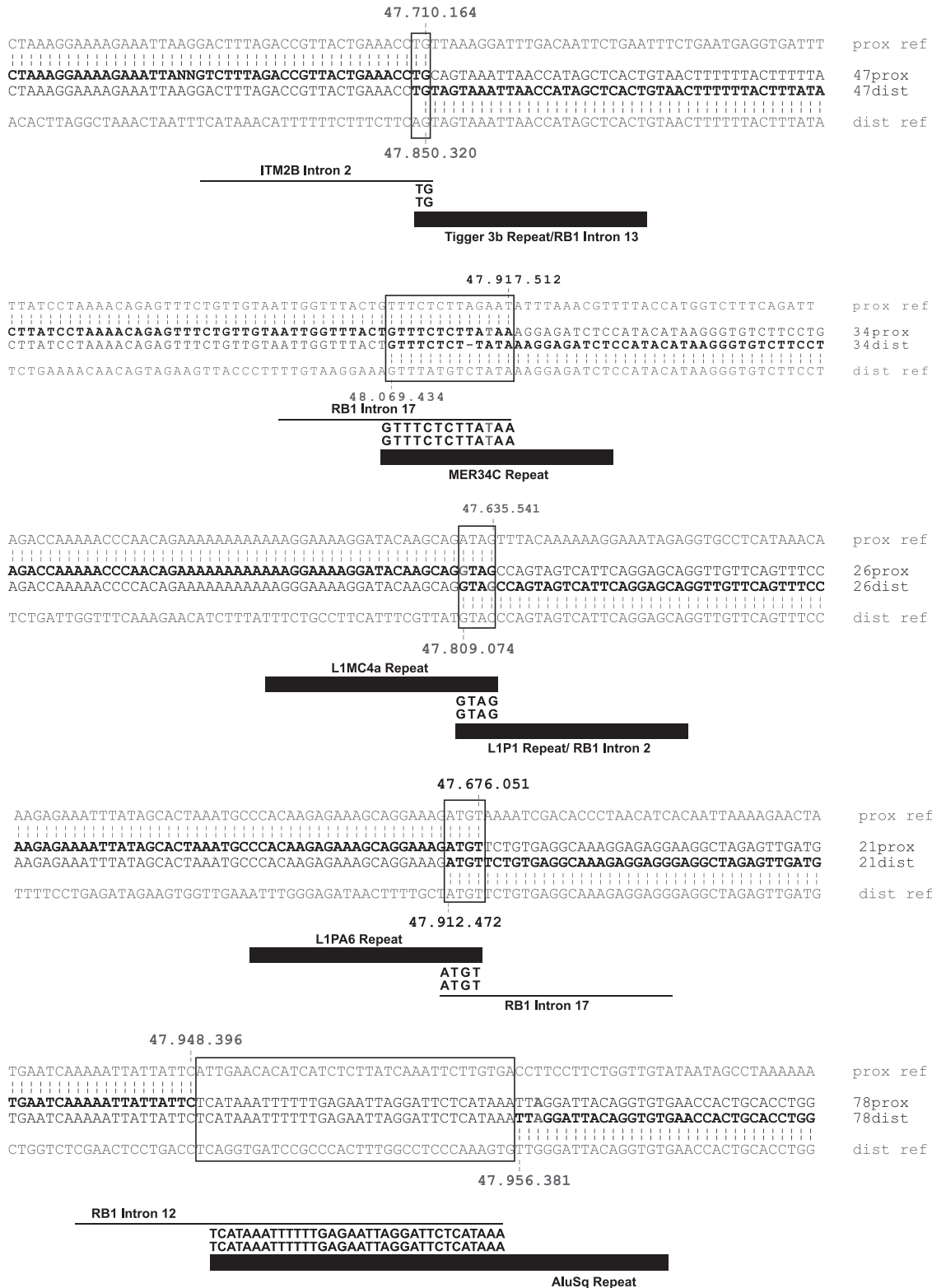


Figure 2 Results of breakpoint sequencing analysis in five patients. Sequence data show proximal and distal breakpoints. Sequence similarities to reference genomic sequence are indicated by vertical bars.

feeding difficulties and constipation, predominantly among patients with a medium and large deletion. In 17 patients, minor anomalies of the limbs were noted, including low-set thumbs, crowded toes, sandal gaps and flat arched feet (Supplemental Figure 5). Less frequent

clinical features were seizures, deafness and brain and heart anomalies. Second tumors included acute myeloid leukemia at the age of 3 years (patient 13) and pineoblastoma WHO V at the age of 3 years (patient 40).

Table 2 Clinical features in patients with a small, medium and large interstitial 13q deletion

Patient ID	SSW	Birth measurements		Age at examination		Measurements at examination		Psychomotor development		Recurrent Heart infections anomalies	Limb defects	MR/CT scan brain	Second malignancies/tumors	Others
		Weight	Length	HC	Length	Build	HC	Motor delay	Tonus					
3	39	3420g (-0.2 SD)	50cm (-0.6 SD)	n.r.	34y	Normal	Macrocephaly	—	Normal	—	—	n.p.	Lipoma	—
4	36	1900g (-1.7 SD)	46cm (-0.4 SD)	n.r.	6y 8m	Short stature	Microcephaly	Mild-moderate	Normal	—	—	Normal	—	Celiac disease, hypothyreosis
5	40	4400g (2.0 SD)	52cm (-0.7 SD)	n.r.	4y 11ms	Normal	Normal	—	Normal	—	—	Normal	—	—
6	40	3420g (-0.9 SD)	50cm (-1.6 SD)	n.r.	10m	Normal	Normal	—	Normal	—	—	n.p.	—	—
7	40	3400g (-0.1 SD)	52cm (-0.4 SD)	37.5cm (2.3 SD)	1y 6m	Normal	Normal	Mild-moderate	Hypoton	Mild-moderate	+	Normal	—	Constipation, feeding difficulties
8	36	2470g (-0.6 SD)	44cm (-1.9 SD)	36.5cm (2.8 SD)	8m	Short stature	Normal	Moderate	Hypoton	Too young	+	Normal	—	Constipation, feeding difficulties
9	37	2058g (-2.3 SD)	51cm (0.3 SD)	36cm (1.9 SD)	12y	Normal	Normal	Mild	Hypoton	Mild-moderate	+	n.p.	—	Pectus excavatum
10	38	3850g (1.2 SD)	54cm (0.8 SD)	n.r.	2y	Short stature	Normal	Mild-moderate	Hypoton	n.r.	—	Normal	—	—
11	37	2240g (-1.9 SD)	45cm (-2.3 SD)	33cm (-1.4 SD)	3y 11m	Short stature	Thin	Microcephaly	Moderate-severe	Hypoton	Moderate-severe	+	—	Constipation, feeding difficulties, retentio testis
12	40	3500g (-0.2 SD)	54cm (0.7 SD)	n.r.	7y 1m	Normal	Thin	Mild-moderate	Hypoton	Mild	—	Normal	—	Hypothyreosis
13	38	3630g (1.3 SD)	53cm (0.6 SD)	37cm (2.0 SD)	6y 10m	Short stature	Normal	Mild-moderate	Hypoton	Mild-moderate	+	Normal	—	Constipation, deafness
14	42	4030g (0.9 SD)	52cm (0.5 SD)	n.r.	11y 9m	Normal	Normal	Macrocephaly	Mild	Mild	—	n.r.	—	—
15	38	3070g (-0.2 SD)	51cm (mean)	33cm (-0.7 SD)	5y 1m	Tall stature	Obese	Normal	Normal	Mild	—	n.p.	—	—
16	40	2110g (-4.0 SD)	46cm (-3.7 SD)	32cm (-2.3 SD)	12y 7m	Short stature	Thin	Microcephaly	Moderate-severe	Hypoton	No speech	+	—	Epilepsia, deafness, hypodontia
18	35	2400g (-0.5 SD)	49cm (0.4 SD)	n.r.	5y	Normal	Normal	Mild-moderate	Hypoton	Mild-moderate	+	—	—	Two fused milk teeth
19	40	3320g (-1.2 SD)	51cm (-0.7 SD)	35cm (-0.7 SD)	7y 2m	Normal	Normal	Mild-moderate	Hypoton	Mild-moderate	n.r.	—	n.r.	Pectus excavatum
20	40	2750g (-2.1 SD)	50cm (-1.5 SD)	36.3cm (1.3 SD)	1m	n.r.	n.r.	Mild	Hypoton	Too young	+	—	—	Feeding problems, widely open fontanelles, laryngotracheomalacia, intestinal malrotation, mesenterium commune, mobile cecum
21	35	3000g (1.2 SD)	49cm (0.4 SD)	36cm (2.2 SD)	10y 10m	Normal	Obese	Macrocephaly	Normal	Mild	—	n.p.	Benigne bone tumor	Retentio testis, sister with postaxial polydactyly

Table 2 (Continued)

Patient ID	Birth measurements		Age at examination		Measurements at examination		Psychomotor development		Recurrent Heart infections anomalies		Limb defects		MRI/CT scan brain		Second malignancies/tumors		Others			
	SSW	Weight	Length	HC	Length	Build	HC	Motor delay	Tonus	Speech delay	+	-								
22	40	3100 g	49 cm	n.r.	4y 11m	Normal	Normal	Mild	Hypoton	—	—	Crowded toes	Normal	—	—	—	—	—		
24	32	1490 g	42 cm	30 cm	1y 7m	Short stature	Thin	Microcephaly	Hypoton	+	ASD	—	Normal	—	—	—	—	Constipation, feeding difficulties, pancreas annular		
27	n.r.	3100 g	51 cm	31 cm	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.		
35	40	4450 g	49 cm	36 cm	14y	Normal	Macrocephaly	—	Normal	Mild	—	Climodactyly third finger	Normal	—	—	—	—	Pectus carinatum		
38	40	3800 g	52 cm	n.r.	21y	Normal	Normal	—	Normal	—	+	—	n.p.	—	—	—	—	—		
40	36	3750 g	51 cm	n.r.	5y 11m	Normal	Obese	Mild	Hypoton	Mild	n.r.	Crowded toes	Large ventricles	Pinealoblastom (WHO IV)	—	—	—	Mild constipation		
41	38	2200 g	49 cm	32 cm	12y 11m	Normal	Normal	Mild	Normal	Mild	—	—	n.p.	—	—	—	—	Volvulus		
43	n.r.	n.r.	n.r.	n.r.	41y	Normal	Normal	—	Normal	—	—	—	n.p.	—	—	—	—	—		
45	38	3520 g	53 cm	36.5 cm	33y	Normal	Normal	Macrocephaly	Normal	Mild	+	Sandal gap	n.p.	—	—	—	—	—	Pyloric stenosis	
47	41	3960 g	54 cm	35 cm	5m	Normal	Normal	—	Normal	Too young	—	Crowded toes	Normal	—	—	—	—	—		
48	n.r.	1850 g	45 cm	n.r.	2y 2m	Short stature	Normal	Microcephaly	Hypoton	n.r.	—	—	Normal	Normal	—	—	—	—	Intestinal malrotation	
51	40	3500 g	n.r.	n.r.	36y	Normal	Normal	Mild	Hypoton	—	—	—	Normal	Normal	—	—	—	—	Radiation-associated lens changes left eye	
52	n.r.	3300 g	n.r.	n.r.	45y	Normal	Obese	—	Normal	—	—	—	Normal	Normal	—	—	—	—	Seizures	
54	40	3150 g	51 cm	35 cm	6y 9m	Normal	Normal	Mild-moderate	Hypoton	Moderate	+	—	n.r.	Lipoma	—	—	—	—	—	
57	41	4300 g	56 cm	39 cm	2y 4m	Normal	Macrocephaly	Mild-moderate	Hypoton	Mild-moderate	+	Sandal gap, long great toes	n.r.	—	—	—	—	—	Died at age 2y 8m of metastatic Rb	
58	42	3330 g	52 cm	36 cm	6y 7m	Short stature	Normal	—	Normal	Mild	—	—	Normal	—	—	—	—	—	—	
61	37	2580 g	43 cm	34 cm	24y	Short stature	Thin	Mild	Normal	Mild	—	—	Normal	Normal	—	—	—	—	Autism	
62	27	730 g	30 cm	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	n.r.	—
64	n.r.	3500 g	55 cm	36 cm	25y	Normal	Thin	Mild	Hyperton	Mild	—	—	n.p.	—	—	—	—	—	Psychosis	
65	40	3220 g	50 cm	n.r.	9y 7m	Short stature	Normal	Mild-moderate	Hypoton	Mild-moderate	+	n.r.	n.p.	n.p.	n.p.	n.p.	n.p.	n.p.	n.p.	—
66	n.r.	3500 g	n.r.	n.r.	44y	Normal	Normal	Mild	Normal	—	+	—	Normal	Normal	Lipoma, fibroadenoma	—	—	—	—	Ovarial cyst
67	40	2420 g	48 cm	33 cm	14y 2m	Normal	Normal	Macrocephaly	Mild-moderate	Hypoton	Mild-moderate	Crowded toes	Normal	Normal	—	—	—	—	—	—
68	40	3530 g	50 cm	36.5 cm	18y	Short stature	Normal	Mild	hypoton	Mild-moderate	+	Syndactyly 2-4 on feet, Short toes	n.p.	—	—	—	—	—	—	Constipation, deafness
70	37	3840 g	55 cm	36 cm	26y	Normal	Normal	—	Normal	—	+	—	Normal	Normal	Lipoma	—	—	—	—	—

Table 2 (Continued)

Patient ID	SSW	Birth measurements		Age at examination	Measurements at examination			Psychomotor development		Recurrent Heart infections anomalies	Limb defects	MRI/CT scan brain	Second malignancies/tumors	Others			
		Weight	Length		HC	Length	Build	HC	Motor delay						Tonus	Speech delay	
71	38	2100g (-2.6 SD)	47 cm (-1.3 SD)	30 cm (-2.7 SD)	21y	Short stature	Normal	n.r.	Mild-moderate	Hypoton	Mild-moderate	+	ASD	n.r.	n.p.	—	Constipation, feeding difficulties, spine anomaly, double ureters, died at age 21 years
72	38	2240g (-2.3 SD)	44 cm (-2.3 SD)	n.r.	7y 2m	Short stature	Normal	Microcephaly	Moderate	Hypoton	Moderate-severe	+	—	Crowded toes	n.p.	—	Constipation, feeding difficulties, hip dysplasia
73	40	n.r.	n.r.	n.r.	47y	Tall stature	Normal	—	—	Normal	—	—	—	Flat-arched feet	n.p.	—	Depression, fibromyalgia
76	38	3230g (-0.3 SD)	50 cm (-1.0 SD)	37 cm (1.7 SD)	6m	Short stature	Thin	Microcephaly	Mild	Hypoton	Too young	+	VSD, tricuspid aortic valve	Crowded toes	n.p.	—	Feeding difficulties, preauricular tags, hypospadias glands
77	39	2700g (-1.4 SD)	48 cm (-1.3 SD)	35.5 cm (0.9 SD)	17y	Short stature	Thin	Microcephaly	Mild-moderate	Hypoton	Mild-moderate	+	—	Low set thumbs, syndactyly 2-4 on feet	n.p.	—	Constipation, feeding difficulties
81	33	1980g (-0.1 SD)	40 cm (-1.6 SD)	34 cm (2.5 SD)	4y 2m	Short stature	Normal	Microcephaly	Mild-moderate	Hypoton	Mild-moderate	+	ASD	Crowded toes, low set thumb	Normal	—	Feeding difficulties; ureteric stenosis, hip dysplasia, two fused milk teeth
82	n.r.	3650 g	52 cm	n.r.	18y	Normal	Normal	Normal	Mild	Normal	—	—	—	—	Normal	—	Swallowing difficulties

Abbreviations: n.p., not performed; n.r., not reported.

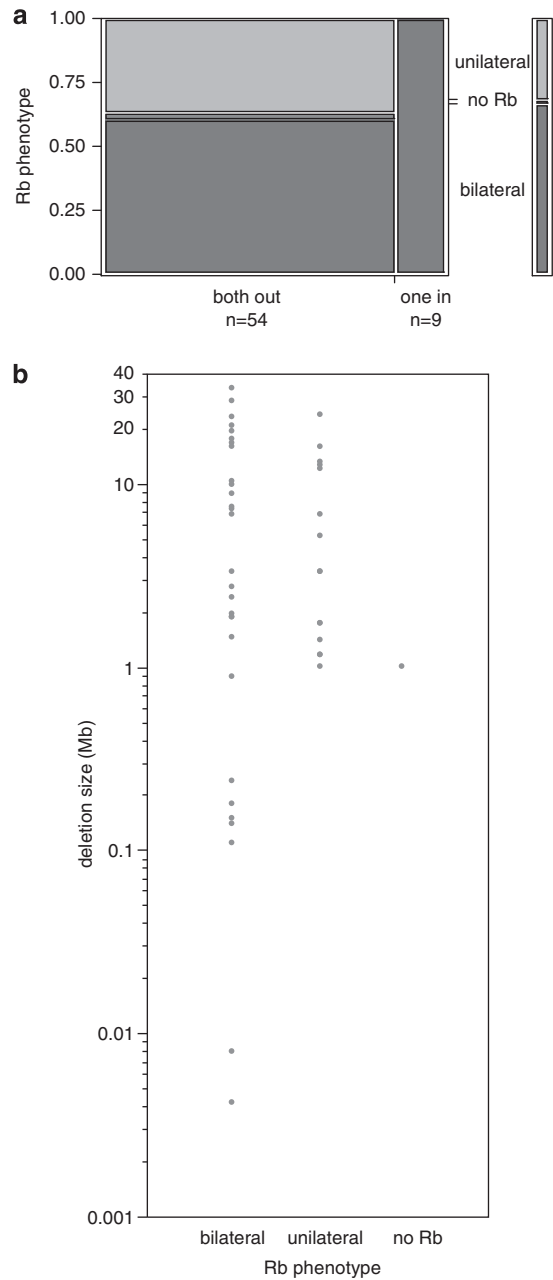


Figure 3 Correlation of retinoblastoma phenotype with deletion size. (a) Box plot: proportions of patients with a deletion involving the whole *Rb1* gene (both breakpoints out of *Rb1*) or part of *Rb1* (one breakpoint in *Rb1*). (b) One-factor analysis.

We found no correlation between the parental origin of the deletion and deletion size, Rb phenotype (unilateral or bilateral), body measurements and psychomotor development. Specifically, proportions of small, medium and large deletions and proportions of unilateral and bilateral Rb were similar among maternal and paternal *de novo* deletions (data not shown).

DISCUSSION

We have analyzed genotype-phenotype correlations in a cohort of 63 patients with an interstitial 13q deletion involving *Rb1*. Deletions were

variable with respect to size and location of breakpoints; no recurrent breakpoints nor any cluster of breakpoints was observed. Sequence analysis of breakpoints in a subset of five patients revealed a mutational signature typical of non-homologous recombination mechanisms, such as non-homologous end joining.^{19,20} Analysis of parental origin of *de novo* deletions showed a slight excess of deletions arising on the paternal chromosome 13; however, these results were less biased in favor of paternal chromosomes compared with new germline *RBI* gene mutations on the whole.²¹ We found no significant effect of parental origin on phenotypic features.

Small *RBI* mutations that lead to premature termination mutations almost invariably cause bilateral Rb.²² Among patients with cytogenetic deletions, bilateral Rb is considerably less frequent with reported proportions ranging from 18/27 (66%)³ to 9/22 (41%).⁶ These figures are in line with the proportion of bilateral affected patients in our cohort (42/63, 66%).

Recently, varying cancer predisposition depending on the size of the deletion was also recognized in patients with 17p13.1 microdeletions involving the *TP53* gene.²³ It was recognized that partial deletions of this gene lead to stronger cancer predisposition than full-length loss that include the first exon and intron, possibly because an aberrant function of this part of the *TP53* accelerates tumorigenesis.²³ In patients with 13q13 microdeletions, however, the pattern of genotype–phenotype correlation is distinct in that full-length loss of only the *RBI* gene is associated with bilateral retinoblastoma as are intragenic mutations that result in loss of function due to premature termination.

It has been suggested that the increased frequency of unilateral Rb and non-penetrance in carriers of large contiguous deletions compared with patients with intragenic loss-of-function mutations is a consequence of a reduced spectrum of effective second mutations.² In a patient with a deletion, second mutations that lead to homo- or hemizyosity, such as mitotic recombination or non-disjunction, will result in homozygous loss of all genes within the deleted region. If a patient's deletion contains a gene essential for basic cellular functions, fewer tumor foci will develop because only those second mutations will trigger tumor formation that leave the single copy of this gene intact. One would expect that deletions associated with milder phenotypic expression must exceed a minimum size to reach into a neighboring essential gene. This is in fact what we found. All deletions in patients with unilateral Rb or non-penetrant carriers are larger than 1 Mb. Further, it seems that once a deletion has exceeded this threshold of size, there will be no further reduction of tumor foci as we observe no increase of the proportion of unilateral disease in patients with very large deletions (Figure 3b).

Our data also provide clues as to the identity of the neighboring cell essential genes. All four patients (patients 15, 21, 26 and 47) with haploinsufficiency for *ITM2B*, but no involvement of *MED4*, have bilateral Rb. This finding suggests that loss of the *ITM2B* gene does not inhibit development of tumor foci. One familial deletion (patients 38, 39) involves *ITM2B* and *MED4* but not *SUCLA2* and is associated with unilateral Rb phenotype. Of a total of 27 deletions resulting in haploinsufficiency for *ITM2B*, *MED4* and *SUCLA2*, nine deletions (33%) are associated with unilateral disease (patients 10, 14, 16, 18, 36, 41, 57, 63, family 70, 73) or no Rb (patient 60). Thus, deletions including *MED4* are associated with milder phenotypic expression. *MED4* (mediator of RNA polymerase II transcription, subunit 4) is ubiquitously expressed and encodes for vitamin D receptor-interacting protein (DRIP) complex that binds nuclear receptors.²⁴ This suggests that alteration of vitamin D signaling through homozygous loss of *MED4* is not tolerated by Rb precursor cells. It will be interesting to

examine whether hemizygous loss of *MED4* has an effect on the growth of Rb, pointing out to vitamin D signaling as a potential target for Rb therapy.

Most patients in our cohort are still young and this might be sufficient to explain why only a few second tumors were observed. Acute myelogenous leukemia, seen in patient 13, has been reported as a rare secondary malignancy among patients with Rb. Gombos *et al*²⁵ identified several patients with secondary acute myelogenous leukemia in childhood, many of whom were treated with chemotherapy, as was patient 13. This supports the link between chemotherapy and acute myelogenous leukemia in children with Rb. In patient 16 the *BRCA2* gene, located in 13q13.1, was also deleted. Heterozygous point mutations in *BRCA2* predispose to breast and/or ovarian cancer²⁶ but, to our knowledge, no patient with a deletion in this region and with breast cancer has been reported to date. Nevertheless, surveillance in Rb patients with deletions extending to 13q13.1 should include tumors associated with *BRCA2* gene mutations.

The patients in our cohort showed variable craniofacial dysmorphism. In patients with small deletions, facial features were highly variable and nonspecific (Figure 4a). This finding contrasts previous reports by Motege *et al*,⁵ Baud *et al*⁶ and Bojinova *et al*,⁷ who suggested that a distinctive facial phenotype is associated with a deletion of band 13q14. In patients with a medium deletion, craniofacial features included a high forehead, a short nose, a small upper lip and curly hair (Figure 4b). Patients with a large interstitial 13q deletion showed a round face, a high forehead, a short nose, a small upper lip and down-turned corners of the mouth (Figure 4c). Patients with a deletion extending to the region 13q22q31.2 showed mild hypertelorism, low-set ears and micrognathia, similar to patients reported with an interstitial deletion involving 13q22 and Hirschsprung disease or Waardenburg–Shah syndrome.^{27–29} Micrognathia was reported as a common dysmorphic feature in patients with 13q deletions and was associated with loss of the region 13q21.33q31.1.³⁰ Additional mild anomalies of the feet were found in 17 patients. Caselli *et al* 2007 also reported on toe crowding and a short V toe in 2/3 patients with an interstitial 13q deletion.

Microcephaly was present in 57.1% of the patients with a large deletion. Our findings suggest that the region 13q21.32q21.33 is critical for microcephaly. Eight genes are located within this critical region: *PCDH9*, *KLHL1*, *ATXN8OS*, *DACHI*, *C13orf34*, *DIS3*, *PIBF1* and *KLF5*. A good candidate gene is *PCDH9* that encodes for a cadherin-related neuronal receptor that localizes to synaptic junctions and has a putative role in specific neuronal connections and signal transduction.³¹ Of note, all deletions found in our cohort are centromeric to 13q33.3q34, a region that was reported to be critical for microcephaly by Kirchoff *et al*.³⁰

Interestingly, a few patients in this cohort and in previous reports showed macrocephaly.^{8,9} However, the pattern of deleted regions was the same as that in patients with normocephaly. Of note, the *MTLRI/MLNR* gene, which encodes for the growth hormone secretagogue receptor found in the pituitary gland and brain, is involved in the control of growth hormone release,³² and is deleted in 5/6 patients with macrocephaly in our cohort.

Short stature was observed in 35.6% of the patients with a medium deletion and in 75% of the patients with a large deletion. This corresponds to findings in other patients with deletions proximal to the 13q31.⁴ Interestingly, two patients in our study showed tall stature (patient 15 and 73). The deletions in these two patients were small and overlapped in a small region within 13q14.2 that includes four genes, *ITM2B*, *RBI*, *P2RY5* and *RCBTB2*.

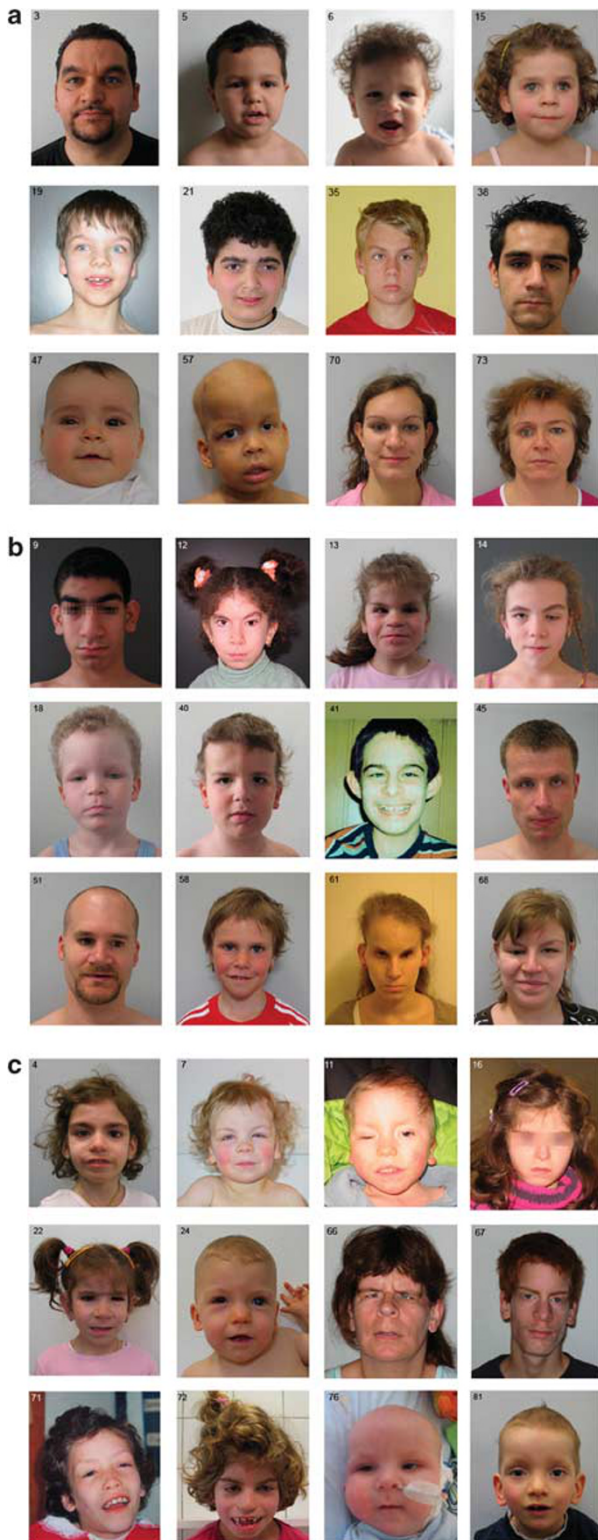


Figure 4 Facial phenotype. (a) Patients with a small deletion show a high forehead, a broad nose tip and a thin upper lip. (b) Patients with a medium deletion show a high forehead, deep-set eyes, a short nose in younger children, a small upper lip and often curly hair. (c) Patients with a large deletion show a round face in younger children, a long face in adult patients, a high forehead, a short nose, a long philtrum in older patients, a small upper lip and down-turned corners of the mouth. Patients 4, 8, 11, 20, 72, 76, 77 and 81 have hypertelorism, low-set ears and micrognathia.

Comparison of psychomotor development shows that 6/15 patients with a small deletion showed motor and/or speech delay. This is in contrast to previous studies that suggested that patients with small deletions limited to band 13q14 show normal neurological development during infancy.^{6,7} Motor delay was seen in 85.7% of the patients with a medium deletion and all patients with a large deletion. Speech delay was also common among patients with a medium (84.6%) and a large (86.7%) deletion. A plausible candidate gene for psychomotor delay in 13q14.12 is *NUFIP1*, which is deleted in 17/22 patients with motor and/or speech delay. *NUFIP1* interacts with FMRP, an RNA-binding protein encoded by *FMR1*, which is responsible for the fragile X syndrome.³³ Another candidate gene located in 13q21.1 is *PCDH8*, which encodes for an integral membrane protein and is thought to function in cell adhesion in a CNS-specific manner.³¹

Constipation and feeding difficulties were frequent findings in patients with a medium (23.1%) and a large (44.4%) deletion. A candidate gene for constipation is *EDNRB*, a G protein-coupled receptor located in 13q22.3. Dosage-sensitive mutations in *EDNRB* have been associated with Hirschsprung disease type 2, pigment anomalies and hearing loss.³⁴ Hirschsprung disease has been reported in other patients with an interstitial deletion involving the region 13q22.^{8,27,28,35,36} The milder form of constipation without evidence of intestinal aganglionosis seen in the reported patients may be explained by regulatory effects.

MRI or CT scan showed hypoplastic or partial aplastic corpus callosum in 3/25 patients. Corpus callosum hypoplasia has also been reported in two patients with deletions 13q13.1q21.1 and 13q14.11q31.1 mapped by array CGH.¹⁴ In the study by Rodjan *et al*,³⁷ MRI scan in seven patients with a 13q deletion showed corpus callosum agenesis in one patient and a Dandy-Walker variant in another patient, but locations of the deletions were not reported. Ballarati *et al*¹³ and Kirchhoff *et al*³⁰ refined the region 13q32.3q33.1 as a critical region for corpus callosum agenesis but no specific candidate gene was found. From the results in this cohort and the patients reported by Caselli *et al*,¹⁴ a second critical region for corpus callosum anomalies can be suspected further centromeric.

Following our data, patients with a proximal interstitial 13q deletion involving the *RBI* gene present with a spectrum of characteristic clinical features that contrast the wider spectrum of major dysmorphism and severe congenital malformations in patients with a 13q deletion involving the terminal chromosomal region 13q32-qter.^{4,13,30,38–44} Thus, analysis of the precise location and size of the deletion is needed to better inform families and physicians about the clinical expectations and survival in patients with a 13q deletion.

Further studies of candidate genes in the region around *RBI* are needed to correlate gene functions to specific clinical phenotypes. Analysis of the parental origin in more patients with an interstitial 13q deletion is needed to further analyze for a possible functional relevance of *RBI* imprinting.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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