

ARTICLE

# Evidence of linkage to chromosomes 10p15.3–p15.1, 14q24.3–q31.1 and 9q33.3–q34.3 in non-syndromic colorectal cancer families

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Up to 25% of colorectal cancer (CRC) may be caused by inherited genetic variants that have yet to be identified. Previous genome-wide linkage studies (GWLSs) have identified a new loci postulated to contain novel CRC risk genes amongst affected families carrying no identifiable mutations in any of the known susceptibility genes for familial CRC syndromes. To undertake a new GWLS, we recruited members from 54 non-syndromic families from Australia and Spain where at least two first-degree relatives were affected by CRC. We used single-nucleotide polymorphism arrays to genotype 98 concordant affected relative pairs that were informative for linkage analyses. We tested for genome-wide significance (GWS) for linkage to CRC using a quantile statistic method, and we found that GWS was achieved at the 5% level. Independently, using the PSEUDO gene-dropping algorithm, we also found that GWS for linkage to CRC was achieved ( $P=0.02$ ). Merlin non-parametric linkage analysis revealed significant linkage to CRC for chromosomal region 10p15.3–p15.1 and suggestive linkage to CRC for regions on 14q and 9q. The 10p15.3–p15.1 has not been reported to be linked to hereditary CRC in previous linkage studies, but this region does harbour the Kruppel-like factor 6 (*KLF6*) gene that is known to be altered in common CRC. Further studies aimed at localising the responsible genes, and characterising their function will give insight into the factors responsible for susceptibility in such families, and perhaps shed further light on the mechanisms of CRC development.

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## INTRODUCTION

There is a good understanding of the molecular genetics underlying the major familial colorectal cancer (CRC) syndromes: Lynch syndrome or hereditary non-polyposis CRC (HNPCC), familial adenomatous polyposis (FAP) and MYH-associated polyposis (MAP). However, these represent only ~5% of CRC cases in the community.<sup>1,2</sup> Of the remainder, there is a clear indication of inheritance suggesting that other predisposing genes are involved in up to 25% of all cases.<sup>3</sup> The Amsterdam criteria<sup>4</sup> are a set of diagnostic criteria used to help identify families that are likely to have Lynch syndrome. Families meeting these criteria, but without evidence of mismatch repair (MMR) deficiency (eg, normal immunohistochemical staining for the MMR genes and/or microsatellite stable cancers) and in the absence of any other identifiable mutations in any of the known familial CRC risk genes, have been termed familial CRC type X<sup>5</sup> or syndrome X<sup>6</sup> families or more recently<sup>7</sup> as hereditary non-syndromic CRC families. Various genome-wide linkage studies (GWLSs)

have been undertaken to identify the underlying causative gene variants in these and similar families. They have resulted in a number of different regions of linkage being reported,<sup>7–15</sup> with regions on 3q<sup>7,10,12</sup> and 9q<sup>8,11,15</sup> being independently identified by different laboratories.

It was the aim of our study to undertake a new genome-wide linkage study (GWLS) to investigate what region of the genome was likely to contain genes conferring increased risk of CRC in a new set of families from Australia and Spain.

## MATERIALS AND METHODS

### Ascertainment of families and exclusion of known syndromes

We restricted our study to non-syndromic, high-risk CRC families, defined as those containing at least one affected person who has one or more first-degree affected relative(s) and where the known causal mutations had been excluded. This study group was enriched for familial CRC type X families but also included other high-risk CRC families. Volunteers from 54 such families were

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enrolled in our study by ascertaining the families with the following characteristics in order of priority:

- Preference 1: Patients from families containing at least one affected person who have had two or more first-degree affected relatives, and at least one affected person diagnosed before reaching 50 years of age (28 families).
- Preference 2: Patients from families containing at least one affected person who have had one or more first-degree affected relatives (26 families).

Note that Preference 1 is identical to the Amsterdam I or familial CRC type X criteria, except for the requirement of multiple generations in the latter.

Affected status was defined as diagnosis with either colorectal carcinoma (CA) or advanced adenoma (AA), where AA was defined as three or more synchronous or metachronous adenomas and/or adenoma(s) with villous morphology, and/or with severe dysplasia, and/or diameter  $\geq 10$  mm. Diagnoses were confirmed by pathology reports. The study was reviewed and approved by the Human Research Ethics Committees of the three participating centres: Flinders Medical Centre, Adelaide (25 families), The Royal Melbourne Hospital, Melbourne (21 families), and Institut Català d'Oncologia, Barcelona (8 families), and informed consent was obtained from all participants. Families with known colon cancer syndromes including HNPCC or Lynch syndrome, FAP, hereditary mixed polyposis, juvenile polyposis, Peutz-Jeghers, Cowden's syndrome or MYH-associated polyposis were excluded by review of medical records.

Persons for whom tumours were available underwent microsatellite instability (MSI) testing of BAT-25 and BAT-26, and/or immunohistochemistry (IHC) of hMLHI, hMSH2, hMSH6 and hPMS2, and, if positive, their family was excluded from the study. In 48 families, one tumour was available and in four families two tumours were available. All tested negative, and therefore these 52 families were included in the study. Two additional families satisfied the requirements for Preference 2, but did not have MSI or IHC data available. These were also judged to be unlikely to be carrying any of the known mismatch repair gene mutations, as (1) no family member was clinically diagnosed with CRC earlier than 60 years, and (2) identity-by-descent (IBD) sharing analysis, conducted on derived single-nucleotide polymorphism (SNP) data for each of the 2 Mb regions centred on *hMLHI*, *hMSH2*, *hMSH6* and *hPMS2*, indicated no inherited contribution. These two families were therefore included in the study to give a total of 54 families.

## Demographics

Summary statistics of families that met our selection criteria were:

- 27 familial CRC type X families;
- 1 family meeting Preference 1, but failing to meet familial CRC type X requirements, as all affected individuals were in a single generation;
- 14 meeting Preference 1, except that all cases were diagnosed at an age  $\geq 50$  years;
- 6 meeting Preference 2 and one reported family individual with an age of diagnosis  $< 50$  years;
- 6 meeting Preference 2 with minimum age of diagnosis  $\geq 50$  years.

Genotypes of 132 individuals from these 54 families were used in the analyses. Of these, 98 were from individuals with a diagnosis of CA with or without adenoma and 34 from individuals with AA but without CA. The number of available affected persons per family ranged from 2 to 11 and the number of affected persons per family with DNA available ranged from 2 to 4. Of the 54 families, 16 were reported to contain affected persons in three or more generations, whereas 38 were reported with affected family members in only one or two generations.

As summarised in Table 1, the median age at diagnosis of CRC in the families was 59 years, significantly less than the median ages of diagnosis of 70 years for men and 71 years for women observed in both the general Australian<sup>16</sup> and Spanish populations<sup>17</sup> ( $\chi^2=25.8$ , for females;  $\chi^2=21.4$  for males; both on 1 d.f. and having  $P < 10^{-6}$ ). The median age at diagnosis of colorectal adenomas was 56 years.

**Table 1 Summary statistics for our non-syndromic colorectal cancer families**

<i>Statistics for all families</i>	
<i>Total counts</i>	
Families	54
Individuals in pedigrees	903
Blood samples	241
Genotypes	241
<i>Disease status</i>	
Unaffected	124
Advanced adenoma	38
Carcinoma	205
Unknown	536
<i>Median age of diagnosis</i>	
Advanced adenoma	56 years (34–77)
Carcinoma	59 years (27–82)
<i>Family statistics</i>	
Generations with reported affected individuals	
First generation	6
Second generations	32
Third generations	14
Fourth generations	2
Total number of reported affected in family	
$\leq 3$	17
$\geq 4$	37
<i>Concordant affected pair statistics for Merlin analysis</i>	
Families with suitable affected pairs, informative for linkage	54
Sibling pairs	65
Parent-child pairs	19
Cousin pairs	1
Avuncular pairs	13
Total concordant affected pairs	98

## Families used in our linkage analyses

The Merlin non-parametric linkage (NPL) analyses used complete pedigree information from all 54 families, as the  $S_{\text{II}}$  statistic weights pedigrees containing more than two affected members. Concordant affected pairs used in the analyses are shown in Table 1.

## Genotyping with the 50K mapping array

Blood samples obtained from consenting family members and DNAs were extracted and genotyped using the GeneChip Human Mapping 50K Array Xba 240 assay (the 50K Array) (Affymetrix Inc., Santa Clara, CA, USA) as described in Supplementary data, to deliver non-redundant genotype data from 305 individuals. Annotation of SNPs was conducted as described in Supplementary data. Also, as described in Supplementary data, pedigree checking was undertaken to account for the relatedness of the siblings and adjustments were made to the data to take account of genotyping errors and linkage disequilibrium between SNPs.

## Genome-wide significance

Genome-wide significance (GWS) of the linkage with CRC was tested by two independent methods: the quantile statistic (QS) described in Saunders *et al*<sup>18</sup> and the gene-dropping algorithm in the PSEUDO package.<sup>19</sup> The QS method is based on a summary statistic of the set of likelihood ratio (LR) statistics computed for each SNP for sibling pairs.<sup>18</sup> The 5% summary statistic was used here. Its GWS was determined from the results of Saunders *et al*.<sup>18</sup> IBD sharing

was estimated for use in the QS method using an implementation in the R package of the forward/backward algorithm<sup>20</sup> with correction for 0.2% genotyping error rate (as found in Saunders *et al*<sup>21</sup>).

Merlin<sup>22</sup> was used to generate NPL scores using the Kong and Cox<sup>23</sup> linear model with the Whittemore and Halpern<sup>24</sup>  $S_{all}$  sharing statistic. Empirical GWS levels for the NPL LOD scores were calculated using PSEUDO 0.3.5 (PSEUDO)<sup>19</sup> generated from a pool of 100 random gene-dropping replicates.

The mode of action of the gene is unknown so methods not heavily reliant on a specific genetic model were used. As shown in Saunders *et al*,<sup>18</sup> the alternative model used to calculate the LR statistic for the GWLS test does not have a large effect on the power of the QS statistics. The calculations here used a dominant model with allele frequency 0.3 and penetrance 0.18 for carriers and 0.017 for non-carriers, which was found to perform well across a range of alternative models.<sup>18</sup> For locating the gene, a NPL approach was used, which is again insensitive to the exact inheritance model.

### Early onset families

The GWS of the statistic was determined for data obtained from 42 families that contained suitable sibling pairs informative for linkage. It would be expected that an individual carrying an inherited susceptibility allele would have an earlier age of onset than usual for the population. Therefore, additional analyses were carried out on three restricted data sets that included only families reporting a case of CA or AA diagnosed either before age 55 years or 50 years or 45 years. However, each of these age restrictions reduced the number of individuals available for analyses compared to the full data set and GWS was not achieved for any of these three additional data sets (data not shown).

## RESULTS

### Establishing GWS

The GWS of linkage with CRC was determined for data (Table 1) obtained from 54 families that contained suitable affected pairs, informative for linkage. Two independent methods were used: the QS,<sup>18</sup> that is specific for families containing sibling pairs and the gene-dropping algorithm in the PSEUDO package,<sup>19</sup> that uses all relative pair data. For the subset of 42 families that contain concordant affected sibling pairs, the 5% QS<sup>18</sup> reached the 5% level of GWS. Supplementary Figure 1 shows the set of LR statistics for all SNPs used in the analysis. We also assessed GWS for subsets of earlier onset families (see below). However, these groups proved to be too small to allow detection of linkage. For the full set of 54 families, GWS of linkage was also assessed by the Merlin method<sup>22</sup> of PSEUDO gene dropping and empirical *P*-values were computed. As summarised in Table 2, a genome-wide threshold of significance ( $P=0.02$ ) was

achieved for the highest non-parametric linkage (NPL) score of 3.45 which occurred at cytoband 10p15.3–10p15.1.

### Locating chromosomal regions linked to increased CRC risk

For the genome-wide non-parametric analysis executed in the Merlin package, the results for all 54 families containing two or more genotyped affected members are shown in Figure 1. As summarised in Table 2, the strongest signal was located on 10p15.3–p15.1 (maxNPL=3.45) for the full set of families. Although this did not quite satisfy a proposed generalised definition for significant linkage (maximal  $S_{all}$  linear model nonparametric LOD scores (maxNPL) > 3.62),<sup>25</sup> we found significant linkage using an empirical gene-dropping approach. Using a 1-LOD support interval approach, we estimated the size of this region of linkage as 2 Mb and to be bounded by SNPs rs10489254 to rs10494827. Using the same approach, regions of suggestive linkage ((maxNPL) > 2.2)<sup>25</sup> were observed for a 6.5 Mb region of chromosome 14q24.3–q31.1 (maxNPL=2.28) and for a 12 Mb region of 9q33.3–q34.3 (maxNPL=2.24). These three regions represent the most promising loci for further study. Regions of weaker linkage were located across a 19 Mb region on 10q22.3–q24.1 (maxNPL=2.14), across 8 Mb on 2p25.3–p25.1 (maxNPL=2.04) and across 21 Mb on 1q25.2–q32.1 (maxNPL=1.71).

### Sensitivity analysis

To determine whether geographical differences in founder effect may be contributing to our analyses, we undertook a sensitivity analysis by separately analysing the samples from Spain and Australia. It was found that the signals in the data from the two countries were consistent and jointly contributed to the overall significance of the linkage results (data not shown).

## DISCUSSION

In the present GWLS, using 54 Australian and Spanish non-syndromic CRC families, we identified promising regions for further study on chromosomes 1q, 2p, 9q, 10p, 10q and 14q. We also observe a minor linkage peak at 9q22 (Figure 1).

The chromosomal region of linkage most strongly implicated to be harbouring a novel susceptibility gene for CRC was 10p15.3–p15.1. Although this region has not previously been implicated as a region of increased risk for hereditary CRC, an examination of current databases provides some evidence for such a likelihood. This signal on 10p15 does lie in a region that has previously been associated<sup>26</sup> with

**Table 2** Non-parametric linkage (NPL) results using Merlin

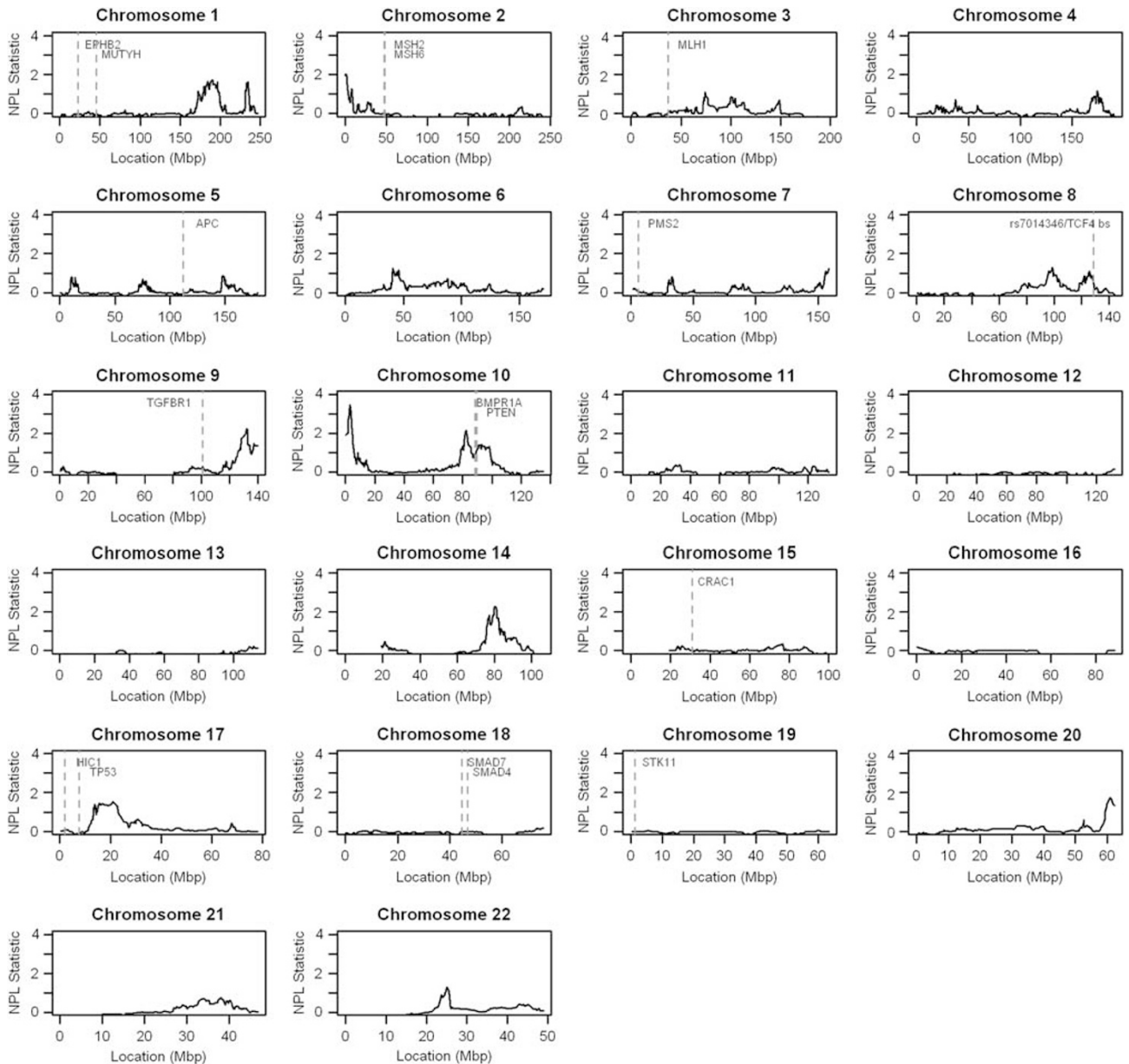
Chromosome	Physical position (Mb) <sup>a</sup>	Size (Mb)	Cytoband	Merlin maxNPL ( $S_{all}$ ) <sup>b</sup>	Linkage interpretation <sup>c</sup>	Genes in region <sup>d</sup>
1	175.67–197.00 (rs10489254–rs10494827)	21.33	1q25.1–1q32.1	1.71		
	231.44–236.38	4.94	1q42.2–1q43	1.64		
2	0.10–8.19 (rs300780–rs181130)	8.09	2p25.3–2p25.1	2.04		
9	127.97–140.0 (rs14207701–rs1073731)	12.03	9q33.3–9q34.3	2.24	Suggestive	152
10	1.87–3.97 (rs10508218–rs10508270)	2.10	10p15.3–10p15.1	3.45	Significant	3
	78.90–98.42	19.52	10q22.3–10q24.1	2.14		
14	76.01–82.54 (rs1867655–rs2022767)	6.53	14q24.3–14q31.1	2.28	Suggestive	84
17	12.53–31.41	18.88	17p12–17q12	1.54		

<sup>a</sup>Flanking single-nucleotide polymorphisms are shown in parentheses.

<sup>b</sup>MaxNPL is defined as the maximal observed Kong and Cox non-parametric  $S_{all}$  linkage statistic and a 1-LOD support interval was used.

<sup>c</sup>Interpretation follows guidelines proposed by Lander and Kruglyak.<sup>25</sup>

<sup>d</sup>Number of RefSeq protein coding genes in region.<sup>27</sup>



**Figure 1** Merlinkage analysis using data from all families containing two or more affected members: plots of the likelihood of SNPs on chromosomes being linked to CRC. Locations for genes known to be causative for known syndromes are shown as vertical lines. The dotted vertical lines indicate the locations of genes known or suspected to be causative for established familial CRC syndromes or from other GWLS or GWAS: (1) *EPHB2*,<sup>34</sup> (2) *MUTYH*,<sup>35</sup> (3) *MSH2*,<sup>36</sup> (4) *MSH6*,<sup>36</sup> (5) *MLH1*,<sup>36</sup> (6) *APC*,<sup>37</sup> (7) *PMS2*,<sup>36</sup> (8) rs7014346/TCF4-binding site,<sup>38,39</sup> (9) *TGFBR1*,<sup>40,41</sup> (10) *BMPRI1A*,<sup>42</sup> (11) *PTEN*,<sup>43</sup> (12) *CRAC1*,<sup>44</sup> (13) *HIC1*,<sup>9</sup> (14) *TP53*,<sup>45–47</sup> (15) *SMAD7*,<sup>48</sup> (16) *SMAD4*<sup>49</sup> and (17) *STK11*.<sup>50</sup>

common or sporadic CRC. Interrogation of the RefSeq database<sup>27</sup> revealed three protein coding genes map to the 2 Mb region of 10p15.3–p15.1; *PFKP*, *PITRM1* and Kruppel-like factor 6 (*KLF6*). Of these, *KLF6* is a plausible candidate gene for increased risk of familial CRC. KLFs are key transcription factors,<sup>28</sup> and while there is debate<sup>26,29</sup> about the frequency of mutation activation in common CRC, there is good evidence that LOH of *KLF6* is a feature of common CRC.<sup>29</sup> Interestingly, these inactivation events are rarely observed in HNPCC or FAP,<sup>26,29</sup> two hereditary syndromes that we excluded from our study.

There is also biological plausibility for the 14q and 9q regions to be harbouring new risk genes for hereditary CRC. In particular, it is interesting that the MMR gene, *MLH3*, is among the 84 protein

coding genes that map to the 6.5 Mb region of 14q24.3–q31.1 (Supplementary Table 1) and is among the nine genes in this region that have been annotated in the Genecards database<sup>30</sup> as being altered in CRC (Supplementary Table 2). We also note that of the 152 protein coding genes that map to the 12 Mb region of 9q32–q34.13 (Supplementary Table 3), 22 have been annotated in the Genecards database,<sup>30</sup> as being altered in CRC (Supplementary Table 4) and that this includes three key enzymes in the prostaglandin biosynthesis pathway, *COX-1*, *PTGES* and *PTGES2*. Recently, increased CRC risk was reported to be associated with a silent mutation in *COX-1*.<sup>31</sup> Increased expression of *PTGES2* and *PTGES*, that function downstream of *COX-2*, has also been correlated with prognosis in CRC patients.<sup>32</sup>



It is likely that large scale DNA sequencing of these regions will be needed to determine the identity of the underlying causal variants for CRC in our non-syndromic CRC families.

Importantly, in this particular group of patients where we excluded families that fitted into the known syndromes, we found no linkage peaks near the genes known to cause familial CRC syndromes including FAP, Lynch syndrome or HNPCC, hereditary mixed polyposis, juvenile polyposis, Peutz–Jeghers, Cowden's syndrome or MAP.

Those genes and SNPs, either known to be causative of established familial CRC syndromes or implicated in other GWLS or GWAS as being pre-disposing for CRC, are shown in Figure 1: *EPHB2*, *MUTYH*, *MSH2*, *MSH6*, *MLH1*, *APC*, *PMS2*, rs7014346/TCF4-binding site, *TGFBR1*, *BMPRIA*, *PTEN*, *CRAC1*, *SMAD7*, *SMAD4*, *STK11*, *TP53* and *HIC1*. Interestingly, none of these genes is located within the novel regions of linkage described in this report, except for *BMPRIA* and *PTEN*, which reside in the minor region of linkage on 10q22.3–q24.1.

However, we also did not find any linkage to those regions of hereditary but non-syndromic CRC that have been recently reported on 3q<sup>7,10,12</sup> 7q<sup>14</sup> or 9q22<sup>8,11,15</sup> and it is interesting to ask why. Similar to ours, these studies<sup>7–15</sup> used similar sample size and also excluded families with mutations in known predisposition genes for CRC to maximise the likelihood of discovering novel CRC risk genes. However, their experimental designs showed differences with each other and with ours. These included the degree to which families were stratified by clinical phenotypes,<sup>9</sup> whether unaffected relatives were included or excluded from the linkage analyses and whether subjects with AA but no adenocarcinoma were classified as affected.<sup>7–15</sup> A feature of all these studies, including ours, is that while promising candidate regions have been identified, there is limited concordance in the regions identified. Independent confirmation of common regions between studies has only been achieved for regions on 3q<sup>7,10,12</sup> and 9q22<sup>8,11,15</sup>. Such observations may be explained in a number of ways. One developing view is that outside of the mutations in the known syndromic genes, there are very few, if any, further high penetrance gene variants that predispose carriers to CRC. The bulk of familial CRC may result from the co-inheritance of relatively common variants in multiple other genes. These may individually impart a small but finite risk of CRC but in combination are responsible for the observed elevated risk in non-syndromic families.

An alternative explanation is that, in addition to the well-characterised familial CRC genes, mutations in a number of different genes may still be causative for familial CRC but that the frequency in the population of carriers of mutations in each of these genes is much lower. This could arise through the different target sizes of the genes or the need to retain certain functions. Clustering of mutations in a single causative gene within any one study, leading to detection of significant linkage, may then arise randomly through some hidden founder effect in a population group or through interaction of the causative mutation with other common genetic or environmental factors in that population group. A recent paper<sup>33</sup> identifying a causative gene for familial pancreatic cancer is illustrative. Originally identified through whole-genome exon sequencing of an individual with familial pancreatic cancer, mutations in the same gene were subsequently identified as being causative in 3 of 90 families studied. If there are multiple different genes each contributing to a low percentage of familial CRC cases, the current study's designs and sizes will continue to have difficulty consistently identifying equivalent genes or loci.

We also considered whether different founder mutations existed in the Spanish and Australian population and that by combining them,

there had been a dilution of the linkage signal. In fact a sensitivity analysis showed that the signals in the data from the two countries were consistent and jointly contributed to the overall significance of the results. Although this does not rule out different founder effects contributing to the observed differences between studies, it does suggest that it is not likely to be major factor. This should, however, be further investigated by larger inter-population comparisons.

Combining data from across a number of similarly performed individual studies may improve the overall power particularly where accumulated numbers are sufficient to stratify the data more rigorously. This could be based on any of a number of criteria but could include disease phenotype (either clinical or molecular), familial phenotype or cancer genotype.

To summarise, our data point to the likelihood that a mutation occurring in chromosomal region 10p15.3–p15.1 can cause an increased risk of CRC in these families. Next steps include localising the responsible genes and characterising their function. This is likely to provide insights into the factors responsible for susceptibility in non-syndromic CRC families and perhaps shed further light on the mechanisms of CRC development.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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