

Ubiquitylation of p62/sequestosome1 activates its autophagy receptor function and controls selective autophagy upon ubiquitin stress

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Alterations in cellular ubiquitin (Ub) homeostasis, known as Ub stress, feature and affect cellular responses in multiple conditions, yet the underlying mechanisms are incompletely understood. Here we report that autophagy receptor p62/sequestosome-1 interacts with E2 Ub conjugating enzymes, UBE2D2 and UBE2D3. Endogenous p62 undergoes E2-dependent ubiquitylation during upregulation of Ub homeostasis, a condition termed as Ub⁺ stress, that is intrinsic to Ub overexpression, heat shock or prolonged proteasomal inhibition by bortezomib, a chemotherapeutic drug. Ubiquitylation of p62 disrupts dimerization of the UBA domain of p62, liberating its ability to recognize polyubiquitylated cargoes for selective autophagy. We further demonstrate that this mechanism might be critical for autophagy activation upon Ub⁺ stress conditions. Delineation of the mechanism and regulatory roles of p62 in sensing Ub stress and controlling selective autophagy could help to understand and modulate cellular responses to a variety of endogenous and environmental challenges, potentially opening a new avenue for the development of therapeutic strategies against autophagy-related maladies.

Keywords: autophagy receptor; ubiquitylation; heat shock; dynamic light scattering; ubiquitin; selective autophagy
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Introduction

Ubiquitin (Ub) is a 76-amino-acid polypeptide ubiquitously distributed in all tissues of eukaryotic organisms

[1]. Ubiquitylation, the reaction of attaching Ub to a substrate protein or to Ub itself, regulates the stability, function, localization and protein-protein interactions of the substrate [2]. Intracellular Ub (both free and in conjugates) is estimated to be at a level of ~ 500 pmol/mg cell lysate [3], making Ub one of the most abundant proteins in a typical cell. Maintaining the cellular pools of Ub conjugates and free Ub constitutes an essential part of cellular Ub homeostasis, which is subjected to highly dynamic but strict regulation [4-6].

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Alteration in Ub homeostasis, termed as Ub stress, is critically implicated in many important biological, pathological or therapeutic conditions such as heat shock, aging, microbial infection, neurodegenerative diseases or chemotherapy [7-9]. Yet another example is that, upon mitochondrial depolarization, an interplay between Pink1 and Parkin leads to change in the landscape of ubiquitylome surrounding mitochondria and beyond [10-12]. Herein, we further specify Ub⁺ stress as a condition of repletion or excess of free Ub, Ub conjugates or both. Reciprocally, Ub⁻ stress defines those conditions where the amount of Ub is insufficient.

Ub⁺ stress is an intrinsic event in cellular responses to variety of pathophysiological conditions, including heat shock that has been shown to upregulate the expression of Ub, E1 Ub-activating enzyme (UBA1) and E2 Ub-conjugating enzymes (such as UBE2D2 or UBE2D3), resulting in an increased level of Ub conjugates [7, 9, 13]. Elevated levels of Ub conjugates are associated with aging [14] and multiple types of neurological diseases [8]. Another typical condition for Ub⁺ stress is prolonged proteasomal inhibition by proteasomal inhibitors, e.g., bortezomib (BTZ), in which the levels of both poly-Ub conjugates and free Ub accumulate. Given the fact that BTZ is also a chemotherapeutic drug used for treating multiple myeloma [15], it would be of critical importance to understand the molecular mechanisms of cellular responses to BTZ as this might prove to be clinically beneficial.

Meanwhile, manipulation of the cellular level of Ub, although practiced worldwide on daily basis and assumed to marginally impact the cell functions, has also been shown to cause significant changes in many aspects of cellular activities. Overexpression of Ub in yeast led to elevated tolerance of osmotic stress, ethanol and canavanine, but sensitized the cells to arsenate and paromomycin [16]. Furthermore, overexpression of Ub caused defects in tissue development in flies [17], and conferred hyper-proliferation and some cancer stem cell-like properties upon mammalian cells [18, 19]. In contrast, Ub depletion sensitized cells to puromycin-induced proteotoxic stress [20, 21]. A decrease in the pool of free Ub through ablation of the *Ubb* [22, 23], *UCH-L1* [24] or *USP14* [25, 26] genes was shown to cause neuronal degenerative disorder-like phenotypes in mice, whereas depletion of *Ubc* led to defective development of the mouse fetal liver [27]. Although Ub stress has significant impact on how cells respond to diverse stimuli, its underlying mechanisms remain poorly understood.

Macroautophagy (hereafter referred to as autophagy) is an evolutionarily conserved process that cells employ to target cytosolic proteins for lysosomal degradation [28,

29]. Cytoplasmic proteins were found to be selectively taken up into nascent autophagic vesicles through a family of autophagy receptors, which commonly bear a functionally conserved LC3-interacting region (LIR) domain [30]. The LIR domain anchors the autophagy receptor to ATG8 family proteins on the surface of the nascent autophagic vesicles [31]. Currently known autophagy receptors include p62/sequestosome-1 (p62/SQSTM1, hereafter referred to as p62), NBR1, NDP52, Nix, Cbl, Stbd1, OPTN and Tollip [30, 32]. Among them, p62 and NBR1 can either work independently or as hetero-oligomers that form via their N-terminal PB1 (Phox and Bem1p) domains and cooperate to recruit target cargoes to the autophagosome [33] to regulate cell signaling, death and metabolism [34]. However, the UBA domain of unmodified p62 tends to form an intermolecular dimer that spatially occludes Ub binding [35, 36]. It is intriguing to ask what mechanism might activate the unmodified p62 from this closed and auto-inhibited conformation in which binding to the ubiquitylated cargoes is prevented.

Results

Ubiquitylated p62/SQSTM1 accumulates upon prolonged proteasomal inhibition

As demonstrated before [37], proteasomal inhibition by prolonged treatment with BTZ (1 μ M) led to significant accumulation of Ub conjugates (Figure 1A). An upregulation in the level of p62 protein was also observed, in spite of the supposedly faster turnover of p62 upon autophagy activation. This might be primarily attributed to the augmented transcription of the *p62* gene mediated by BTZ-stabilized Nrf2 [37, 38]. Upon BTZ treatment, lipidation of LC3 was also increased (Figure 1A). Using Lysotracker Red as a marker [39], the puncta formed by GFP-LC3 were shown to predominantly co-localize with lysosomes in HeLa cells overexpressing Ub (Supplementary information, Figure S1A), indicating that the LC3-positive dots were indeed associated with lysosomes, rather than protein aggregates formed upon Ub⁺ stress. Furthermore, in *p62*^{-/-} mouse embryonic fibroblast (MEF) cells, the level of lipidated LC3 seemed to be unaffected by BTZ treatment but significantly elevated (~50% increase) upon treatment with bafilomycin (BAF, 200 nM), an inhibitor of vacuolar-type H⁺-ATPase that prevents the maturation of autophagic vacuoles. This response seemed not to be changed when cells were treated with both BTZ and BAF (Figure 1B). These data suggested that lipidated LC3 is a substrate for autophagy rather than proteasome-mediated degradation. However, when human p62 was re-introduced into these cells, BAF treatment alone increased the lipidated LC3 to ~80%,

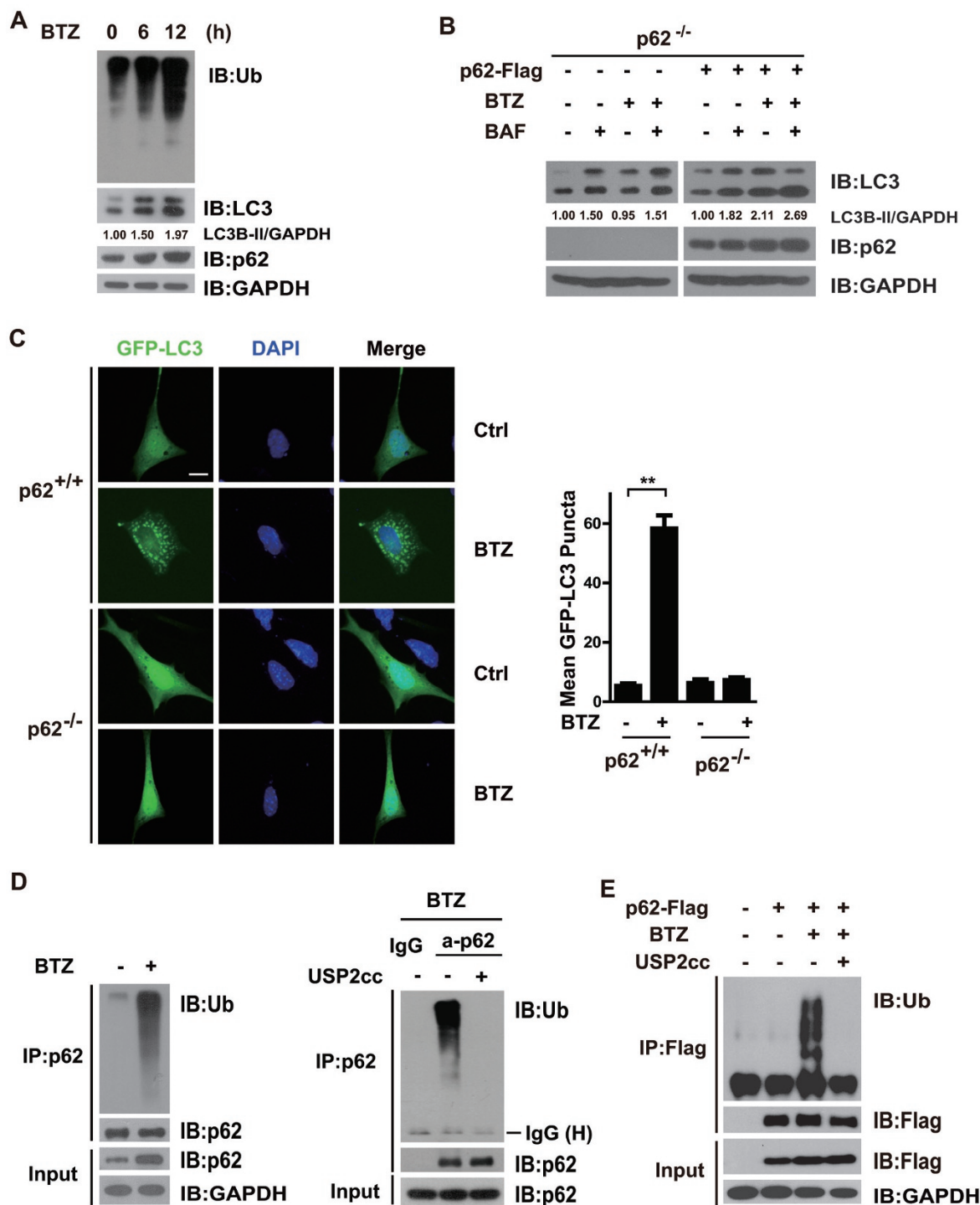


Figure 1 Autophagy receptor p62 is ubiquitinated upon autophagy activation induced by prolonged proteasome inhibition. **(A)** Autophagy was activated upon proteasomal inhibition in HeLa cells treated with bortezomib (BTZ, 1 μ M for 6 or 12 h). **(B)** p62 was required for autophagy activated by BTZ. *p62*^{-/-} MEF cells stably expressing wild-type human p62 treated with BTZ (1 μ M for 12 h) or bafilomycin-A1 (BAF, 200 nM for 8 h) with the indicated combinations. Cells were lysed and analysed with indicated antibodies. The levels of lipidated LC3 were quantitated after normalization of that in each control as 1.0. **(C)** p62 was required for autophagy activated by BTZ. Puncta formation by GFP-LC3 was promoted in *p62*^{+/+} but not *p62*^{-/-} MEF cells. Scale bar, 10 μ m. **(D, E)** Endogenous or Flag-tagged p62 was ubiquitinated upon proteasome inhibition by BTZ (1 μ M for 12 h) in HeLa **(D)** or HEK293T cells **(E)**. Lysates were immunoprecipitated in denaturing RIPA buffer with indicated antibodies. Immunoprecipitates were treated with or without Ub-specific protease 2 (USP2cc), followed by immunoblotting analysis with indicated antibodies.

compared to 110% increase by BTZ treatment alone (Figure 1B). More strikingly, co-treatment of BTZ and BAF led to up to 170% increase in the level of lipidated LC3, suggesting that p62 is required for the upregulation and faster turnover of the autophagy substrate, the lipidated LC3 (Figure 1B). As shown in Figure 1C, only in $p62^{+/+}$ but not $p62^{-/-}$ MEF cells did the BTZ treatment induce the puncta formation by GFP-LC3. Altogether, BTZ-induced proteasomal inhibition appeared to activate autophagy in a p62-dependent manner.

However, multiple previous studies have demonstrated that the C-terminal UBA domain of p62 tends to form a stable intermolecular homodimer [35, 36] that would spatially obstruct it from recognizing and sorting Ub conjugates for autophagic degradation. It is thus intriguing to ask how elevated p62, if indeed at self-inhibitory conformation, might contribute to autophagy activated upon BTZ-induced proteasomal inhibition.

We next investigated whether some posttranslational modifications such as ubiquitylation could modulate the ability of p62 to act as an autophagy receptor under conditions of proteasomal inhibition. To this end, both endogenous and exogenous p62 protein were immunoprecipitated from BTZ-treated HeLa or HEK293T cells in denaturing RIPA buffer, a procedure that affords efficient removal of NBR1 or Ub conjugates that might associate with p62 (Figure 1D, 1E; Supplementary information, Figure S1B and S1C). Immunoblotting (IB) analysis with anti-Ub revealed that BTZ treatment resulted in a shift of endogenous or exogenous p62 to higher molecular weight forms. These could be completely eliminated by treatment with the catalytic core of human Ub-specific protease 2 (Figure 1D and 1E). It was clear that ubiquitylated p62 protein did accumulate following prolonged proteasome inhibition.

As shown in Supplementary information, Figure S1E, endogenous p62 protein was also found to be increas-

ingly ubiquitylated in preparations of total lymphocytes (which included large amounts of tumors cells) from multiple myeloma patients, 12 h after intravenous administration of BTZ/Valcade. This suggested that proteasomal inhibition could indeed lead to increased ubiquitylation of p62 protein *in vivo*.

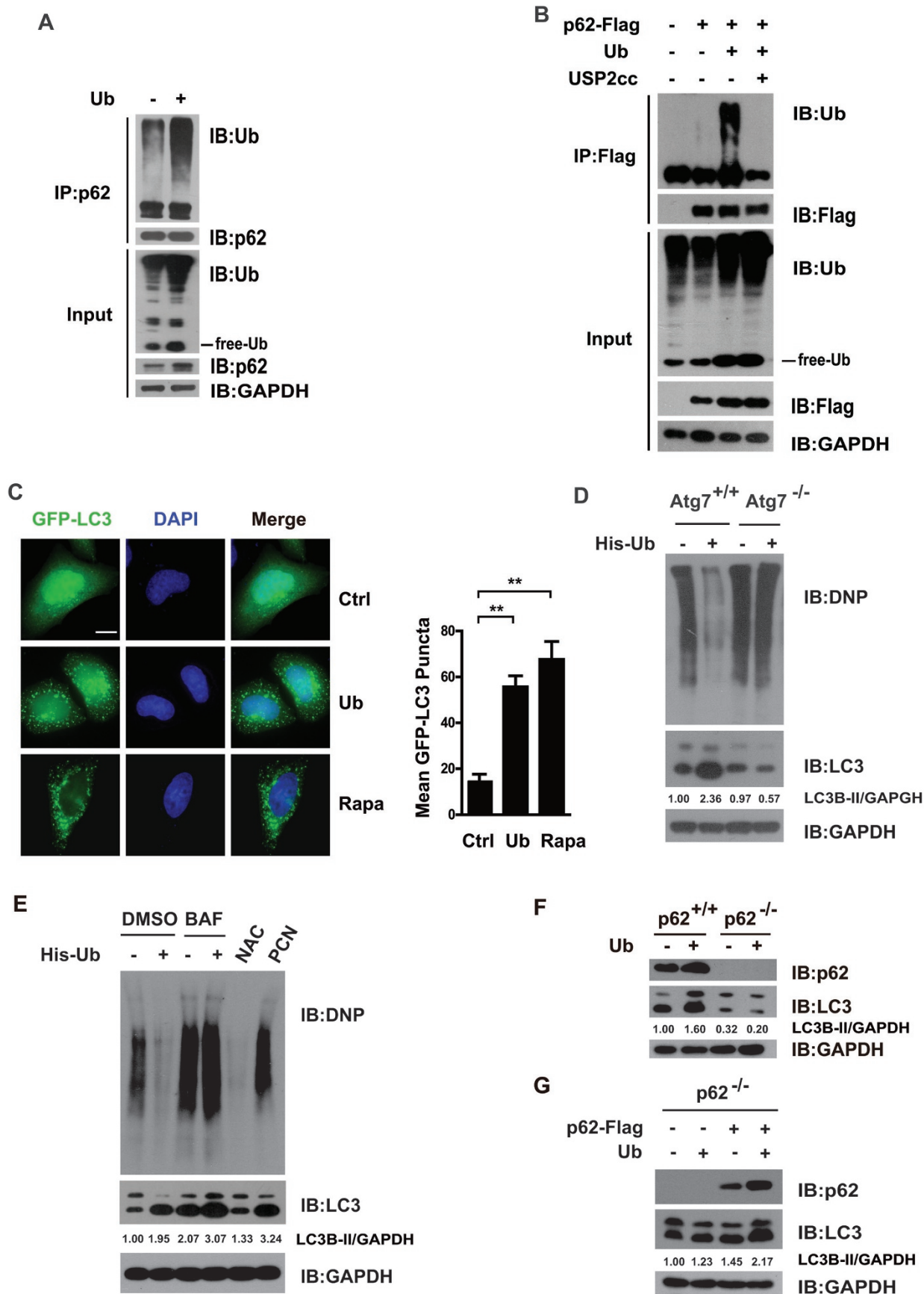
Overexpression of Ub alone activates autophagy in a p62-dependent manner

Interestingly, we also observed that overexpression of Ub led to ubiquitylation of endogenous or exogenous p62 protein in HeLa or HEK293T cells (Figure 2A, 2B; Supplementary information, Figure S1B). Together with the data that, under harsh denaturing conditions (8 M urea lysis buffer), exogenous p62 was also detected in the cellular polyubiquitylated conjugates recovered from cells expressing His₆-tagged Ub (Supplementary information, Figure S1D), it was clear that p62 protein did undergo ubiquitylation under Ub⁺ stress.

As the lysine-free Ub mutant (Ub_{K0}) could be conjugated to p62 as efficiently as the wild-type Ub (Ub_{WT}), it was likely that monoubiquitylation might occur dominantly on p62 (Supplementary information, Figure S1F). As p62_{ΔUBA}, the UBA deletion mutant of p62 protein, was ubiquitylated almost as efficiently as the wild-type protein, the UBA domain of p62 appeared to be not required for such ubiquitylation (Supplementary information, Figure S1G).

Surprisingly, overexpression of Ub was also found to transcriptionally upregulate p62; increase puncta formation by GFP-LC3; and promote lipidation of LC3, whose level could be further increased by treatment with BAF (Supplementary information, Figure S2A; Figure 2C–2E). Consistent with these diagnostic features of autophagy activation [40], we found that BTZ treatment did not cause accumulation of carbonylated proteins but instead induced their faster degradation through

Figure 2 Overexpression of Ub alone activates autophagy in a p62-dependent manner. **(A, B)** Endogenous or Flag-tagged p62 was ubiquitylated upon Ub⁺ stress induced by Ub overexpression in HeLa cells **(A)** or HEK293T cells **(B)**. Lysates were immuno-precipitated in denaturing RIPA buffer with indicated antibodies. Immunoprecipitates were treated with or without Ub-specific protease 2 (USP2cc), followed by immunoblotting analysis with indicated antibodies. **(C)** Puncta formation by GFP-LC3 was promoted in HeLa cells overexpressing Ub (~ 500 pmol/mg total proteins). Scale bar, 10 μm. **(D)** Autophagy might be the main route to proteolytically remove cellular oxidatively damaged (carbonylated) proteins. *ATG7^{+/+}* or *ATG7^{-/-}* MEF cells were transfected with vector or His-Ub, cultured for 24 h and treated with DMSO or bafilomycin-A1 (BAF, 200 nM for 8 h). Carbonylated proteins were visualized through derivatization with DNPH, followed by IB with anti-DNP. The levels of lipidated LC3 were quantitated, after normalization of that in each control as 1.0. **(E)** Autophagy was activated upon Ub⁺ stress induced by overexpression of Ub. HEK293T cells were transfected with vector or His-Ub, cultured for 24 h and treated with DMSO or BAF (200 nM for 8 h). Pyocyanin (PCN) was used as a positive control, and N-acetyl-L-cysteine (NAC) was used as a negative control. Carbonylated proteins were visualized through derivatization with DNPH, followed by IB with anti-DNP. **(F, G)** p62 was required for autophagy activated by Ub⁺ stress. **(F)** *p62^{+/+}* or *p62^{-/-}* MEF cells were subjected to Ub⁺ stress induced by overexpression of Ub. **(G)** *p62^{-/-}* MEF cells with or without re-introduction of Flag-tagged human p62. The levels of lipidated LC3 were quantitated, after normalization of the signal in each control as 1.0.



autophagy. Accordingly, treatment with BAF to inhibit autophagy did lead to stabilization of the oxidized proteins (Supplementary information, Figure S2E). We also found that degradation of cellular oxidatively damaged (carbonylated) proteins was significantly accelerated in cells overexpressing Ub. This could be blocked by BAF treatment or by genetic ablation of *ATG7* (Figure 2D and 2E), indicating that cellular carbonylated proteins were degraded through autophagy pathway.

Furthermore, the threshold concentration for Ub overexpression to induce autophagy was found to be ~ 500 pmol free Ub per mg total cell lysate (Supplementary information, Figure S2F), compared to a basal level of free Ub of around 250 pmol/mg cell lysate [3]. This strongly suggests that a doubling of the pool of free Ub, either untagged Ub or Ub tagged with HA or His₆ (Supplementary information, Figure S2D), could sufficiently activate autophagy in cells. Therefore, Ub⁺ stress induced by Ub overexpression (hereafter referring to at least a doubling of the free Ub pool, unless otherwise indicated) appeared to be sufficient to activate autophagy in HeLa or HEK293T cells.

We then depleted endogenous Ub by RNA interference targeting transcripts of *Ubb* in HeLa cells, using siRNAs of proven efficacy [41]. As shown in Supplementary information, Figure S2C, knocking down endogenous Ub appeared to downregulate LC3I as well as lipidation of LC3, suggesting an inhibitory effect of depleting the pool of free Ub on the basal level of autophagy. Our data also indicated that autophagy could be activated in diverse cell types by Ub overexpression (Figure 2; Supplementary information, Figure S2C and S2D), indicating a cell type-independent mechanism that might generally underlie the cellular response to Ub⁺ stress resulting from Ub overexpression. Of note, given that overexpression of Ub or its mutants is commonly practiced in studies of *in vivo* Ub signaling, caution might be needed by those investigating functional consequences of Ub signaling.

We next asked whether p62 was required for autophagy activation upon Ub⁺ stress induced by Ub overexpression. As shown in Figure 2F, Ub overexpression only promoted lipidation of LC3 in *p62*^{+/+} but not *p62*^{-/-} MEF cells. Ub⁺ stress-activated autophagy was restored when wild-type p62 was re-introduced into *p62*^{-/-} MEF cells (Figure 2G), suggesting that p62 is critically involved in this Ub⁺ stress-induced autophagy.

Heat shock also activates autophagy through p62

Heat shock has been long known to upregulate expression of Ub, as well as two other E2 Ub conjugating enzymes, UBE2D2 and UBE2D3 [7, 9], thus qualifying heat shock as a Ub⁺ stress condition. Meanwhile, there were also reports that heat shock could activate autophagy

through mechanisms yet incompletely understood [13, 42]. As shown in Figure 3A, heat shock (42 °C, 15 or 30 min) did indeed seem to increase levels of endogenous UBE2D2, UBE2D3 in HEK293FT cells. Notably, as previously reported, heat-shock treatment led to elevation in levels of poly-Ub conjugates rather than free Ub. Meanwhile, expression of endogenous p62 was also transcriptionally upregulated upon heat shock (Supplementary information, Figure S2B). As shown in Figure 3 and Supplementary information, Figure S1A, heat shock markedly increased puncta formation by GFP-LC3, and the lipidation of LC3, which could be further promoted by the treatment with BAF. These data clearly suggested that autophagy might be indeed activated in these cells upon heat shock.

Interestingly, endogenous p62 protein was significantly increased during heat shock primarily due to upregulated gene transcription (Figure 3A). Endogenous p62 was found to undergo increased ubiquitylation upon heat shock, after normalization of input amounts (Figure 3A and 3B). Furthermore, such heat-shock effects were nearly abolished when endogenous Ub was depleted through RNA interference targeting *Ubb* transcripts [41] (Figure 3B and data not shown). Therefore, just as with the other two Ub⁺ stress conditions studied in this work (Figures 1-2), heat shock appeared to upregulate the expression of endogenous p62, the E2s and Ub, thus promoting the ubiquitylation of p62 and activating autophagy through upregulation of Ub homeostasis.

UBE2D2/UBE2D3 interacts with p62 in vitro and in vivo

Having demonstrated that p62 was ubiquitylated upon autophagy activation induced by all the above three types of Ub⁺ stress conditions (Figures 1-3), we next sought to identify factors that might support or mediate polyubiquitylation of p62 through the identification of p62-interacting proteins via yeast two hybrid (Y2H) screening. To this end, human p62 was employed as a bait to screen a Y2H prey library containing 15 000 human open reading frames for potential p62-interacting partners. Interestingly, UBE2D2 and UBE2D3, two out of the forty E2 Ub-conjugating enzymes, were found to interact with p62, as indicated by survival assay on yeast SD-4 (SD-*Leu-Trp-His-Ade*) selection media as well as plate assays for β-galactosidase activity (Figure 4A). GST pull-down assays with the recombinant forms of these proteins indicated that human p62 protein directly interacted with UBE2D2 or UBE2D3 *in vitro* (Figure 4B). Co-immunoprecipitation (Co-IP) assays further indicated that p62 (both endogenous and exogenous) might indeed form a complex with UBE2D2 or UBE2D3 *in vivo* (Figure 4C; Supplementary information, Figure S3). This was further supported by the observation that red fluorescent pro-

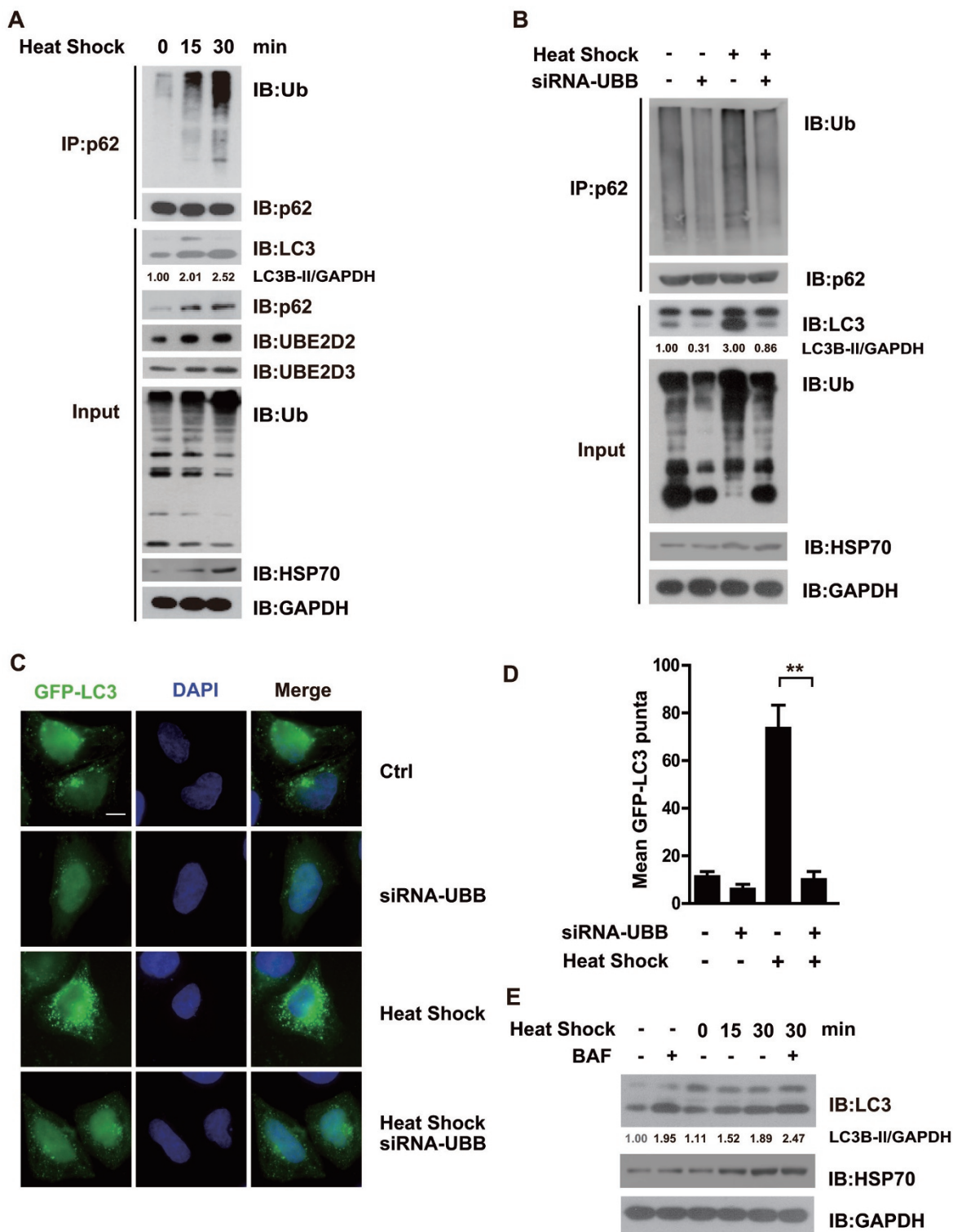


Figure 3 Heat shock also activates autophagy in dependence of p62. Endogenous human p62 underwent ubiquitylation upon autophagy activation during Ub⁺ stress induced by heat shock. HEK293FT cells transfected with or without siRNA-UBB, were subjected to heat shock (42 °C, 30 min or indicated times) prior to harvest, lysed in RIPA buffer, and followed by immunoblotting analysis with indicated antibodies, HSP70 used here as an indication of heat-shock treatment (A, B, E). (E) About 36 h after transfection, cells were treated with DMSO or bafilomycin (BAF, 200 nM, 8 h). The levels of lipidated LC3 were quantitated, after normalization of that in each control as 1.0. Puncta formation by GFP-LC3 in HeLa cells in indicated groups was visualized (C) and quantitated (D). Scale bar, 10 μm.

tein-tagged p62 protein co-localized with enhanced green fluorescent protein-tagged UBE2D2 or UBE2D3 (Figure 4D). In addition, a fragment spanning residues 294–320 of human p62 protein was mapped as the E2-interacting region (EIR) that p62 might use to directly interact with UBE2D2 or UBE2D3 *in vitro* (Supplementary information, Figure S4), and the deletion of EIR seemed to have no effect on p62-LC3 interaction *in vitro* (Supplementary information, Figure S6A).

UBE2D2-/UBE2D3-p62 interaction is critical for Ub⁺ stress-activated autophagy

In an *in vitro* ubiquitylation system, which contained Mg²⁺, ATP, UBA1, UBE2D2/UBE2D3 and p62 as substrate, p62 underwent UBE2D2-/UBE2D3-dependent ubiquitylation *in vitro* (Supplementary information, Figure S5A). Moreover, p62_{EIR}, the p62 deletion mutant that lacked the EIR, was only poorly ubiquitylated in HeLa cells overexpressing Ub, compared to wild-type p62 (Figure 4E), indicating that UBE2D2/UBE2D3 might indeed support ubiquitylation of p62 *in vivo*.

Meanwhile, as the UBA deletion mutant of p62, p62_{AU-BA}, was also efficiently ubiquitylated *in vitro* (Supplementary information, Figure S5B), the E2-supported p62 ubiquitylation seemed to be independent of its UBA domain, in accord with the previous *in vivo* data (Supplementary information, Figure S1G). This E2-dependent ubiquitylation mechanism appears to differ from the previously described monoubiquitylation of Ub-binding proteins that is mediated via UBDs and E2-conjugating enzymes [43, 44].

We next asked how E2-p62 interaction might impact on activation of autophagy upon the three types of Ub⁺ stress conditions. Notably, in addition to conventional LC3 lipidation assay, we employed DNP labeling coupled with anti-DNP IB analyses to assess cellular autophagic activities, with the knowledge that autophagy might be the main route to proteolytically remove cellular oxidatively damaged (carbonylated) proteins [45–47] (Figure 2D, 2E; Supplementary information, Figure S2E). As shown in Figure 5A, when p62_{ΔEIR} or p62_{ΔUBA} were re-introduced into p62^{-/-} MEF cells, BTZ treatment (1 μM for 12 h), they not only induced much less increase in lipidated LC3 compared with wild-type p62, but also resulted in more residual carbonylated proteins, which could be detected through anti-DNP assay. These data clearly suggested that both E2-dependent ubiquitylation and the intact UBA domain were required for autophagy activation upon proteasomal inhibition.

As shown in Figure 5B, carbonylated proteins were accumulated in p62^{-/-} MEF cells with or without Ub overexpression (Lane 1 and Lane 2); however, when

wild-type human p62 was re-introduced into these cells, overexpression of Ub led to drastic decrease in the level of residual amount of cellular carbonylated proteins together with an increase of lipidated LC3 by ~ 110% (Lane 4). Remarkably, this was totally abolished when p62_{ΔEIR}, the p62 deletion mutant that lacked the EIR, was re-introduced into p62^{-/-} MEF cells (Lane 6), suggesting that E2-dependent ubiquitylation is critical for autophagic degradation of the carbonylated proteins upon Ub overexpression. Finally, we asked whether the E2-dependent ubiquitylation domain and the intact UBA domain were also required for autophagy activation upon heat-shock treatment. Likewise, E2-p62 interaction and the intact UBA domain of p62 were also found to be required for autophagy activation induced by heat shock (Figure 5C). Taken together, this indicates p62-E2 interaction is critical for autophagy activation upon the three types of Ub⁺ stress conditions.

UBE2D2 and UBE2D3 are the major two E2s supporting ubiquitylation of p62 in vivo

To further investigate whether UBE2D2 and UBE2D3 might be the major two E2 Ub-conjugating ligases that support ubiquitylation of p62 upon Ub⁺ stress, both *UBE2D2* and *UBE2D3* genes were deleted from HEK293T cells by CRISPR/Cas9 mutagenesis approach [48]. As shown in Figure 6, genetic ablation of *UBE2D2* and *UBE2D3* was found to almost totally abolish p62 ubiquitylation as well as autophagy activation induced by proteasomal inhibition, Ub overexpression or heat shock. Therefore, *UBE2D2* and *UBE2D3* are most likely the two major E2 conjugation enzymes that support p62 ubiquitylation upon the three types of Ub⁺ stress conditions.

Ubiquitylation of p62 disrupts the dimerization of the C-terminal UBA domains, thus switching on its recognition of poly-Ub chains

Mass spectrometry analysis with p62 recovered from the *in vitro* ubiquitylation reaction revealed that the E2-mediated ubiquitylation occurred at least on the side chains of nine Lys residues in p62 (K157, K165, K264, K281, K295, K313, K344, K378, K420; Figure 7A; Supplementary information, Figure S5C–S5E). Four ubiquitylation sites (K157, K295, K313 and K420) were also identified from endogenous p62 recovered from HEK293T cells that overexpressed Ub (Supplementary information, Figure S5F). Interestingly, K420 was among the sites identified from both *in vitro* and *in vivo* reactions. As shown previously [35, 36], hydrogen bonds could be formed between the side chain of Lys₄₂₀ (K₄₂₀) in the UBA domain of one p62 molecule and Glu₄₀₉ (E₄₀₉)

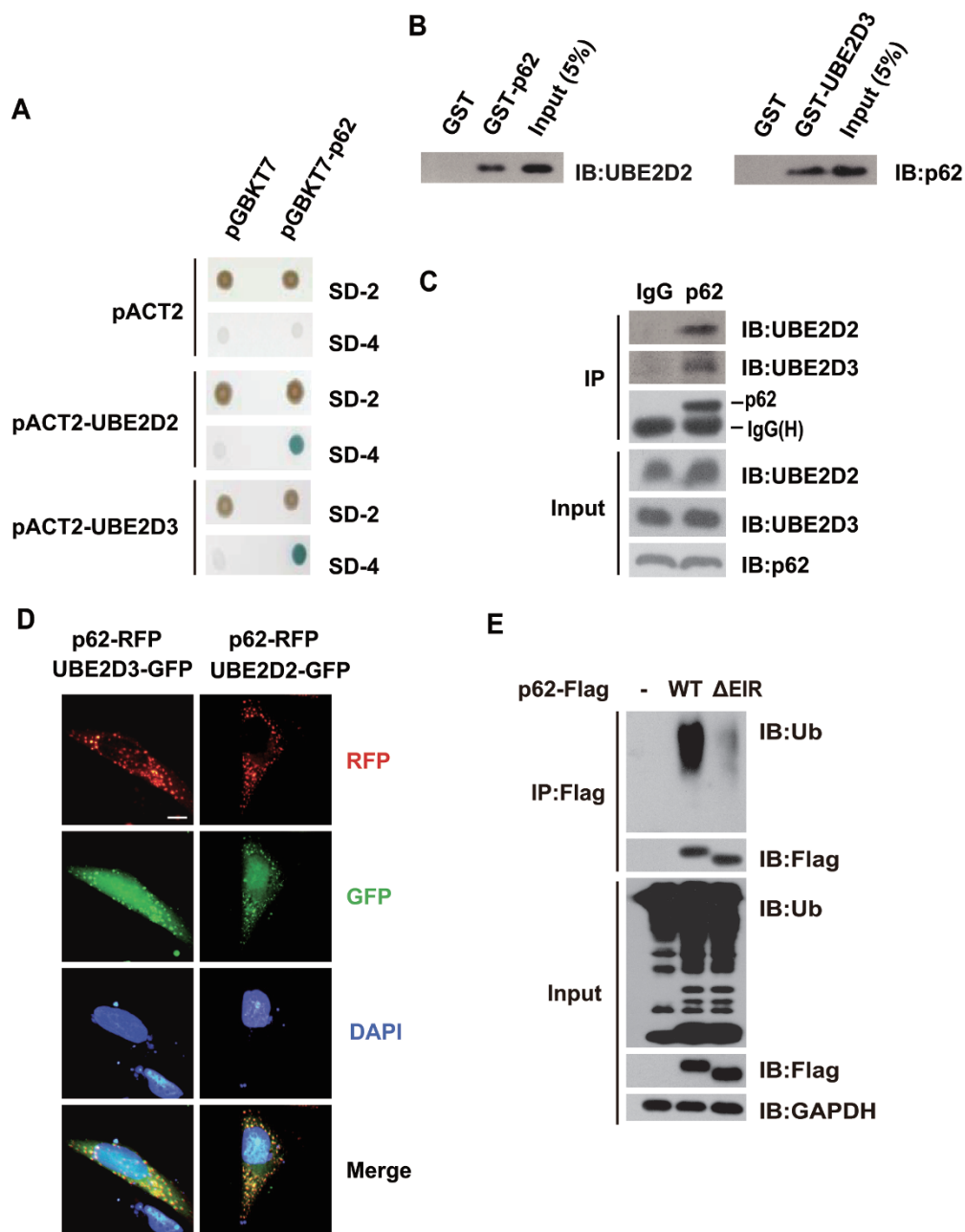


Figure 4 Human E2 conjugating enzymes, UBE2D2 or UBE2D3, interact with autophagy receptor p62 and support ubiquitylation of p62 *in vitro* and *in vivo*. **(A)** Human p62 interacted with UBE2D2 and UBE2D3 in yeast two hybrid assay. Co-transformation of *MAV203* yeast strain with p62 and UBE2D2 or UBE2D3 supported survival of the cells on SD-4. **(B)** Human p62 directly interacted with UBE2D2 and UBE2D3 in GST pull-down assays carried out with purified GST-tagged p62 and His-tagged UBE2D2, or His-tagged p62 and GST-tagged UBE2D3. **(C)** Endogenous p62 protein formed complex with UBE2D2 and UBE2D3 *in vivo*. IgG and p62 antibodies were used to perform immunoprecipitation in HeLa cell lysates separately, then the precipitates were subjected to immunoblotting analysis with anti-UBE2D2 or anti-UBE2D3. **(D)** Human p62 co-localized with UBE2D2 or UBE2D3. HeLa cells overexpressing p62-red fluorescent protein (RFP) and UBE2D2-GFP or UBE2D3-GFP were subjected to fluorescent microscopy analysis. Scale bar, 10 μ m. **(E)** Upon Ub⁺ stress induced by overexpression of Ub, p62 underwent ubiquitylation in dependence of the interaction between p62 and UBE2D2 or UBE2D3. Human p62 interacted with His-ub and p62_{WT} (wild-type human p62) or p62_{ΔEIR} (the EIR deletion mutant of p62), and lysed in RIPA buffer 36 h after transfection. Immunoprecipitates were then subjected to immunoblotting analysis with indicated antibodies. SD-2, deficient in Trp, Leu; SD-4, deficient in Trp, Leu, Ura and His.

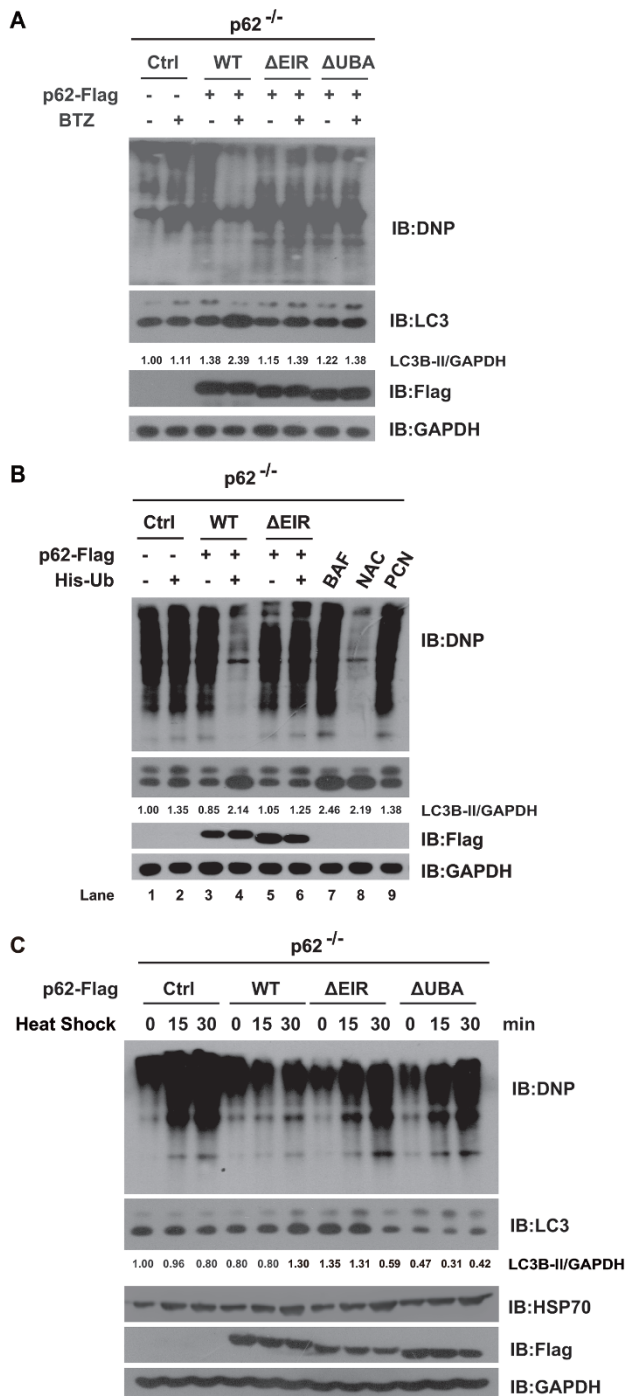


Figure 5 UBE2D2/UBE2D3-p62 interaction is critical for Ub⁺ stress-activated autophagy *in vivo*. **(A-C)** p62^{-/-} MEF cells stably expressing p62_{WT} (wild-type human p62), p62_{ΔEIR} (the EIR deletion mutant of p62), or p62_{ΔUBA} (the UBA deletion mutant of p62) were subjected to bortezomib treatment (BTZ, 1 μM for 12 h), Ub overexpression or heat shock (42 °C, 0, 15 or 30 min) before harvest. The residual cellular carbonylated proteins were visualized through derivatization with DNPH, followed by IB with anti-DNP, HSP70 used here as an indication of heat-shock treatment. The levels of lipidated LC3 were quantitated, after normalization of that in each control as 1.0.

in another p62 molecule to stabilize the intermolecular UBA dimer (Figure 7B). Although neither K₄₂₀ nor E₄₀₉ is directly involved into the recognition of poly-Ub chains by the UBA domain (Figure 7C), such dimerization of UBA domains would essentially prevent unmodified human p62 proteins from recognizing poly-Ub chains, thus locking them into an auto-inhibited state [35, 36]. We therefore asked whether a K-to-R mutation at Lys₄₂₀ and an E-to-A mutation at Glu₄₀₉ of human p62 might disrupt the formation of the intermolecular H-bond to varying extent and destabilize the UBA dimer. We found that unmodified p62_{K420R} was largely defective in pulling down pre-assembled poly-Ub conjugates, in contrast to p62_{E409A}, which pulled down conjugates much more efficiently. Not surprisingly, p62_{K420R/E409A} was able to pull down poly-Ub conjugates even more strongly (Supplementary information, Figure S6B). Together this data suggested that the intermolecular H-bond between K₄₂₀ and E₄₀₉ might indeed constitute the major force locking the UBA dimer and preventing Ub binding. Conceivably, when p62 is ubiquitylated, particularly on K420, such intermolecular dimers could be disrupted, thus opening the “closed” conformation for recognition of poly-Ub chains.

We went on to test this hypothesis by asking whether and how E2-supported ubiquitylation of p62 might indeed affect its recognition of poly-Ub chains, an essential part of the autophagy receptor function of p62. We first reconstituted an *in vitro* reaction, which included ATP, HA-tagged Ub, E1 (UBA1) and E2 (UBE2D2), to efficiently assemble poly-Ub chains in the absence of E3 [49]. Poly-ubiquitylated p62 was obtained from an *in vitro* reaction that contained ATP, His₆-tagged Ub, E1 (UBA1) and E2s (UBE2D2/UBE2D3), and Flag-tagged p62 or other indicated mutants. As shown in Figure 7D and 7E, unmodified p62 barely pulled down any HA-tagged poly-Ub chains, suggesting that unmodified p62 might indeed have inactive status, in which the access of poly-Ub chains to UBA domain is blocked. In contrast, poly-ubiquitylated p62, p62_{(Ub)_n}, could efficiently bind to, and pull down HA-tagged poly-Ub chains. In contrast, p62_{9KR}, the p62 mutant that bears K-to-R substitution at all nine ubiquitylation sites and is poorly ubiquitylated, did not pull down detectable amounts of poly-Ub chains. This suggested that UBE2D2-/UBE2D3-supported ubiquitylation of p62 could indeed switch on p62 to bind to polyubiquitylated cargoes.

We next asked whether the polymerizing status of the N-terminal PB1 domain of p62 would have any effects on the efficiency of p62 ubiquitylation or its binding to poly-Ub conjugates. It was previously reported that the K7A/D69A double mutation would disrupt the oligomerization of the PB1 [50]. As shown in Figure 7D, neither

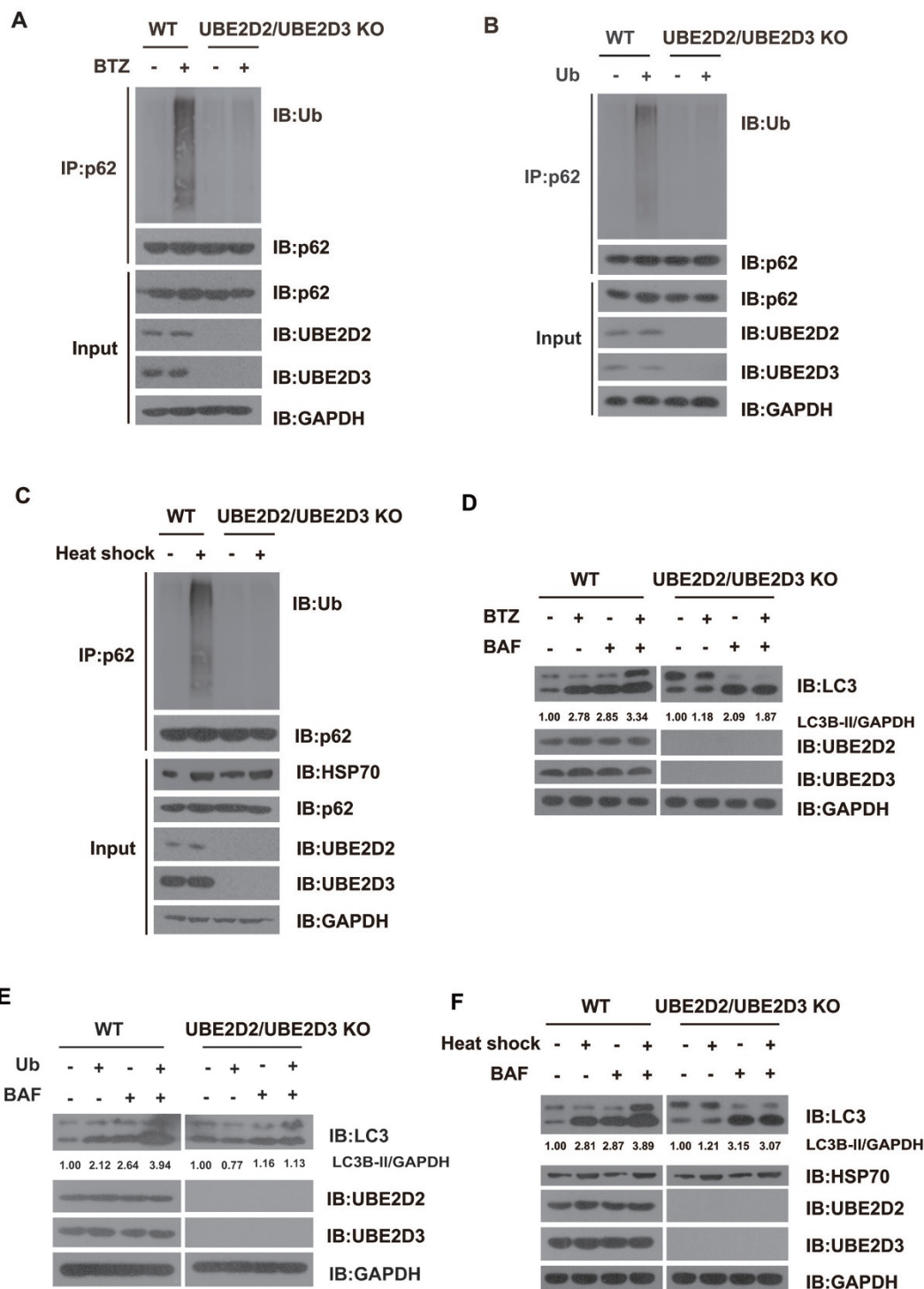
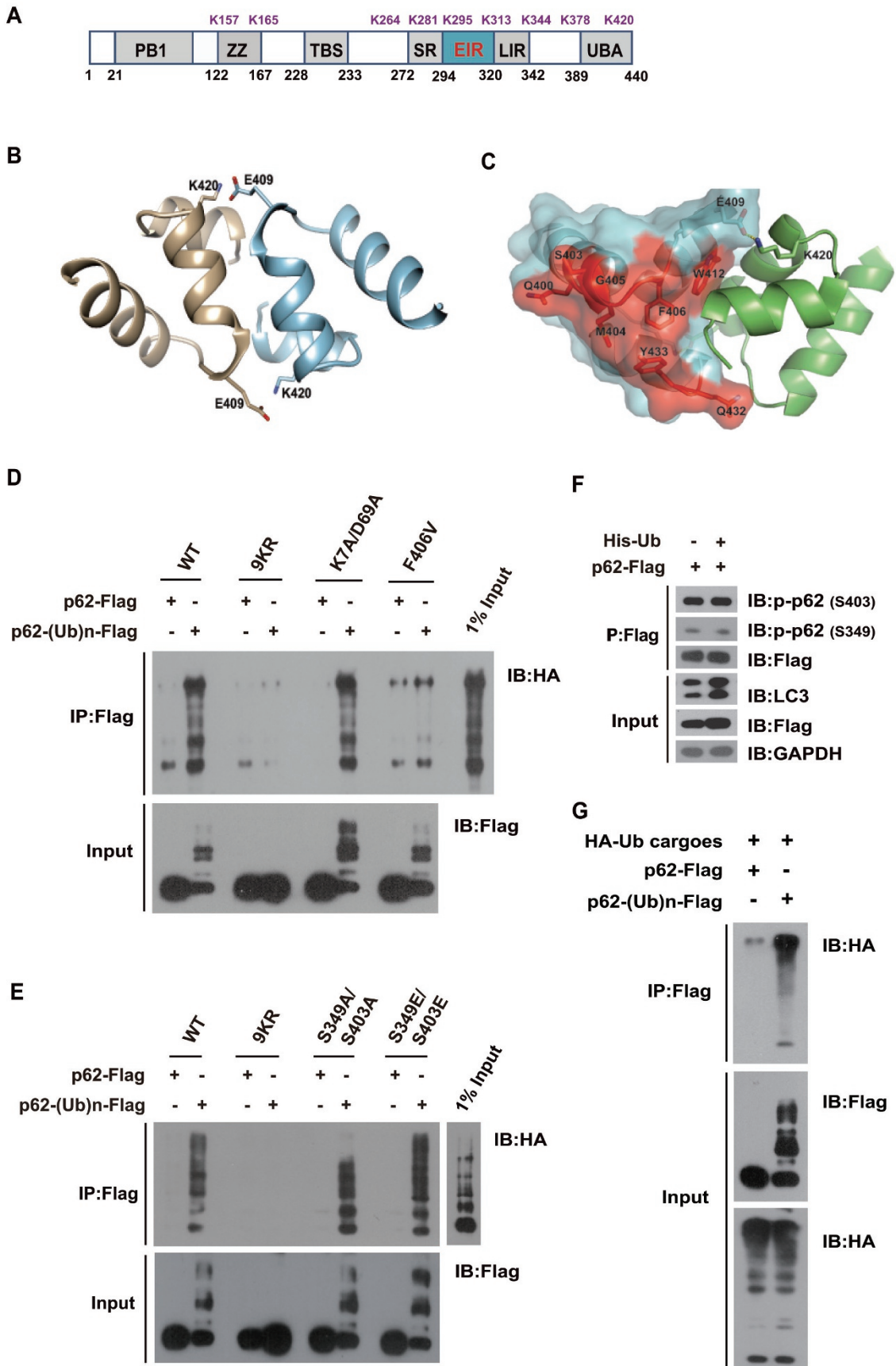


Figure 6 UBE2D2- and UBE2D3-mediated ubiquitylation of p62 is required for the activation of autophagy upon Ub⁺ stress. (**A-C**) Upon Ub⁺ stress, endogenous p62 underwent ubiquitylation in dependence of UBE2D2 and UBE2D3. Wild-type or UBE2D2/UBE2D3 double knockout (KO) HEK293T cells were treated with proteasome inhibitor bortezomib (BTZ, 1 μ M for 12 h) or transfected with vector or no-tagged Ub, or under heat-shock treatment and lysed in RIPA buffer. Endogenous p62 proteins were immunoprecipitated and subjected to IB using anti-p62 or anti-Ub, respectively. (**D-F**) UBE2D2- and UBE2D3-mediated ubiquitylation of p62 was required for the activation of autophagy upon Ub⁺ stress. Wild-type or UBE2D2/UBE2D3 double KO HEK293FT cells were treated with proteasome inhibitor BTZ (1 μ M for 12 h), or transfected with vector or no-tagged Ub or under heat-shock treatment, after these treatments, cells were treated with DMSO or bafilomycin (BAF, 200 nM, 8 h), HSP70 used here as an indication of heat-shock treatment. The levels of lipidated LC3 were quantitated, after normalization of that in each control as 1.0.



wild-type p62 nor the unmodified p62_{K7A/D69A} could pull down poly-Ub chains; however, once ubiquitylated, the p62_{K7A/D69A} mutant could also pull down poly-Ub chains efficiently. This strongly suggested that the oligomerization property of the PB1 domain in p62 might be not essential either for the E2-mediated ubiquitylation or recognition of poly-Ub chains (Figure 7D). Meanwhile, p62_{F406V}, the p62 mutant that was compromised in Ub binding [35], could be efficiently ubiquitylated (Figure 7D), suggesting that its Ub-binding property was not required for E2-supported ubiquitylation. These data were also consistent with previous data that the UBA domain of p62 might not be essential for its ubiquitylation (Supplementary information, Figures S1G and S5B). However, unlike ubiquitylated wild-type p62 or p62_{K7A/D69A}, ubiquitylated p62_{F406V} could not pull down poly-Ub chains. Therefore, an intact Ub-binding property of the UBA domain appeared to be essential for the recognition of poly-Ub chains after the E2-supported ubiquitylation (Figure 7D).

Taken together, these data strongly suggest that the UBE2D2-/UBE2D3-supported ubiquitylation of p62 might disrupt dimerization of the UBA and allow efficient binding of the UBA domain to poly-Ub chains (Figure 7D), or the poly-ubiquitylated cargoes from the cell (Figure 7G). Such conformational changes are highly reminiscent of a recently demonstrated switch-on mechanism by N-terminal arginine in R-BiP, in which the PB1 and LIR domains of p62 were exposed to potentiate the interaction with LC3 on autophagic membranes [51].

Phosphorylation at Ser³⁴⁹ or Ser⁴⁰³ is not required for E2-mediated ubiquitylation of p62

Previously, phosphorylation on Ser⁴⁰³ in the UBA domain of p62 was found to increase its binding affinity to the ubiquitylated substrates, exemplifying the effect of a posttranslational modification on p62 to modulate

its conformation and potentiate its autophagy receptor function [52, 53]. Interestingly, phosphorylation status on either Ser³⁴⁹ or Ser⁴⁰³ of p62 barely changed upon Ub⁺ stress induced by Ub overexpression (Figure 7F). Moreover, the full-length phosphorylation-mimicking mutant p62_{S349E/S403E}, if not ubiquitylated, could hardly pull down poly-Ub chains (Figure 7E). These results strongly suggested that phosphorylation on these sites alone might not be sufficient to turn on the recognition of poly-Ub chains by the UBA domain in full-length p62. Interestingly, ubiquitylated p62_{S349E/S403E} did pull down poly-Ub chains slightly better than ubiquitylated wild-type p62 or the phosphorylation defective mutant p62_{S349A/S403A}. However, phosphorylation of Ser³⁴⁹ and Ser⁴⁰³ might not be required for E2-mediated ubiquitylation of p62 upon Ub⁺ stress, as p62_{S349A/S403A} could also undergo E2-mediated ubiquitylation efficiently clearly (Figure 7E). Yet, it remains possible that ubiquitylation and phosphorylation of p62 may work in concert to regulate autophagy under certain conditions.

Visualization of the complex formed by ubiquitylated p62 and pre-assembled poly-Ub chains

Consistent with early report that the PB1 domain in p62 could readily oligomerize [50], this domain was at least found to indeed form helical filaments under cryo-electromicroscopy [54]. A small percentage of the full-length p62 was also observed to form filaments, whose lengths were proposed to be shortened upon Ub binding. Negative stain electron microscopy (EM) analysis [55] was used to visualize and compare the complex formation by pre-assembled poly-Ub chains with p62 proteins unmodified or ubiquitylated in the presence of UBE2D2/UBE2D3. We found that incubation of ubiquitylated p62 protein, p62_(Ub), with pre-assembled poly-Ub chains resulted in increased formation of complexes, which were significantly larger than that for unmodified

Figure 7 Ubiquitylation of p62 switches on its recognition of poly-Ub chains, potentially through disrupting the formation of dimerization of the C-terminal UBA domains. **(A)** UBE2D2/UBE2D3 supported ubiquitylation of p62 *in vitro* on at least nine lysine residues (See Supplementary information, Figure S5 for details). All potential ubiquitylation sites in p62 were highlighted in purple. **(B, C)** Crystal structure of the p62 UBA dimer (PDB 3B0F) [35]. **(B)** Each monomer is colored in green or cyan. Lys420 and Glu409 on each monomer are shown as sticks, with the hydrogen bonds are shown as dash lines. **(C)** Ribbon diagram and surface representation of the p62 UBA domain dimer. Residues involved in ubiquitin binding are colored in red. **(D, E)** UBE2D2-/UBE2D3-supported ubiquitylation of p62 promoted the binding of the UBA domain to poly-Ub chains. Flag-tagged wild-type human p62 (WT) or mutants for polyubiquitylation (9KR), oligomerization deficiency (K7A/D69A), polyubiquitin binding deficiency mutant (F406V), phosphorylation deficiency mutant (S349A/S403A) and phosphorylation-mimicking mutant (S349E/S403E) were subjected to *in vitro* ubiquitylation reaction, unmodified or ubiquitylated p62 proteins enriched by anti-Flag M2 beads were incubated with pre-assembled HA-tagged poly-Ub chains. **(F)** Phosphorylation status on either Ser349 or Ser403 of p62 barely changed upon autophagy induced by Ub overexpression in HEK293T cells. Lysates were immunoprecipitated with anti-Flag beads in denaturing RIPA buffer, and followed by IB with anti-p-p62 (S403) or anti-p-p62 (S349). **(G)** UBE2D2-/UBE2D3-supported ubiquitylation of p62 promoted its binding to Ub conjugates, enriched from HEK293T cells transiently expressing HA-tagged Ub.

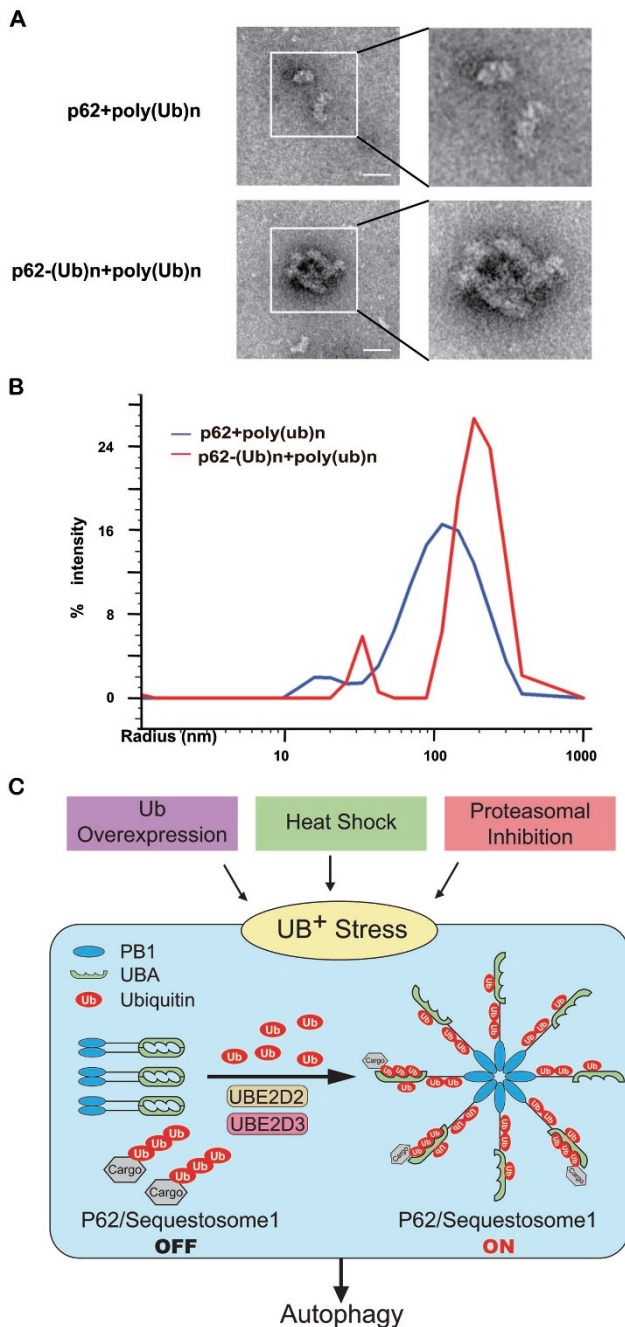


Figure 8 Visualization of the effect of p62 ubiquitylation on Ub binding. Negative stain electron microscopy (EM) (**A**) and dynamic light scattering (DLS) analyses (**B**) were performed to visualize and compare the complex formed by pre-assembled poly-Ub chains and unmodified or ubiquitylated p62 proteins. Scale bar: 50 nm. (**C**) A model depicting the “sensor” role of p62 in activating autophagy upon Ub⁺ stress induced by heat shock, Ub overexpression or proteasomal inhibition.

p62 (Figure 8A). Dynamic light scattering (DLS) analysis further indicated that p62_{(Ub)_n} formed a complex with

pre-assembled poly-Ub chains with an average radius reaching ~ 200 nm, whereas unmodified p62 appeared to typically form aggregates of average radius around 130 nm (Figure 8B). These data suggested that the E2-supported ubiquitylation of p62 caused significant change in the conformation of p62. Therefore, these data directly suggest that polyubiquitylation of p62 might significantly change the conformation of p62 to switch on its recognition of poly-Ub chains, a modification necessarily occurring on many cargoes for selective autophagy.

In addition, p62 protein was found to undergo heavy ubiquitylation in HEK293T cells upon *Salmonella* infection (Supplementary information, Figure S7A), where p62 and some components of the ubiquitylation machineries were shown to be enriched locally [56, 57]. However, there was little or no increase in p62 ubiquitylation upon autophagy activation induced by inhibition of mTOR pathway (Supplementary information, Figure S7B). This strongly suggested that E2-supported ubiquitylation of p62 might specifically underlie the activation of discrete types of autophagy.

Discussion

There is emerging evidence that Ub signaling might directly regulate the functionality of the proteasome [58, 59] or autophagic machineries [45]. Specifically, we reported that HACE1, a HECT domain Ankyrin repeats-containing E3 Ub ligase, mediates ubiquitylation of autophagy receptor OPTN and promotes physical interaction between autophagy receptors, OPTN and p62, to form autophagy receptor complexes, thus significantly augmenting cellular autophagic flux and “activating” autophagy [45]. Here, we first demonstrated that p62, the master autophagy regulator and receptor, specifically interacted with UBE2D2/UBE2D3 and underwent the E2-supported ubiquitylation, which destabilized dimerization of its UBA domain and activating its recognition of poly-Ub chains, upon Ub⁺ stress caused by proteasomal inhibition, Ub overexpression or heat shock (Figure 8). Meanwhile, NBR1, another autophagy receptor that is structurally similar to p62, was also found to undergo increased ubiquitylation upon Ub⁺ stress induced by Ub overexpression (Supplementary information, Figure S8A). NBR1 and p62, but not OPTN, are transcriptionally upregulated upon Ub⁺ stress induced by Ub overexpression (Supplementary information, Figure S2A). Unlike OPTN, NBR1 could also interact with UBE2D2 or UBE2D3, and undergo E2-supported ubiquitylation (Supplementary information, Figure S8B-S8D), suggesting that a similar mechanism might also activate NBR1 for recognition of poly-Ub chains upon Ub⁺ stress. This

has added yet another dimension to the puzzle of why such two highly similar autophagy receptors exist but do not compensate for each other when one of them is lost. It is interesting to note that our findings have delineated a novel mechanism through which Ub signaling directly regulates the functionality of the autophagy receptors p62 and probably also NBR1, the key components of the proteolytic machineries, and so controls cellular autophagy.

In summary, as Ub stress and fluctuations in autophagic activity critically feature and underlie cellular responses to a wide range of stimuli including heat shock, microbe infection, ageing or drug treatment (e.g., BTZ) etc., delineation of the mechanism and regulatory roles of p62 in sensing Ub stress to control autophagy could not only bring mechanistic understanding of the related fundamental processes, but also open a new avenue to develop better therapeutics targeting autophagy-related human disorders. Notably, the findings we report here should also alert researchers of a need to be cautious when using Ub overexpression to study Ub signaling *in vivo*.

Recently, tripartite motif-containing protein 21 (TRIM21), a Ub E3 ligase, was reported to ubiquitylate Lys (K7) in the N-terminal PB1 domain of p62. Such ubiquitylation was found to disrupt PB1-mediated oligomerization of p62 and suppress its sequestration of specific proteins [60]. Work by Pan *et al.* [60] is thus significantly different from our study in many aspects. First, K7 is obviously not among the nine ubiquitylation sites that we identified from p62 ubiquitylated in *in vitro* reaction or those recovered from cells treated with BTZ. Moreover, TRIM21-mediated ubiquitylation of p62 was reported to depend on UBE2D2/UBE2D3, exactly the same E2 enzymes that we have demonstrated to be sufficient to mediate ubiquitylation of p62, e.g., that on K420, and activate autophagy receptor function of p62, without involving extra E3 Ub ligase. Finally, as oligomerization of p62 through its N-terminal PB1 domain is required for p62-mediated autophagy [34, 61], TRIM21-conjugated poly-Ub chains on p62 should inevitably suppress the autophagy receptor function of p62, which is totally different from the activating role that we found for UBE2D2-/UBE2D3-dependent ubiquitylation. Therefore, as both p62 and its ubiquitylation were required for autophagy activated by Ub⁺ stress, TRIM21-mediated p62 ubiquitylation, if it does occur, might represent a mechanism for regulating p62 function in conditions other than Ub⁺ stress.

Materials and Methods

Reagents and plasmid construction

See Supplementary information, Data S1 and Table S1 for de-

tails.

Yeast two hybrid screen

Y2H screening was carried out to screen for proteins that may support ubiquitylation of p62, using human p62 as bait as previously described [45].

GST pull-down, IP and IB

GST pull-down, IP and IB were performed as described [62, 63]. Endogenous p62 was recovered through IP using anti-p62, followed by IB with the indicated antibodies. When UBE2D2 or UBE2D3 was identified as a p62-interacting protein, GST pull-down and Co-IP was carried out to confirm their interactions *in vitro* and *in vivo*. See Supplementary information, Data S1.

In vitro and in vivo ubiquitylation assays

In vitro ubiquitylation assays were performed as previously described [49, 64]. IP with anti-p62 or indicated antibodies, followed by anti-Ub IB, was performed in denaturing RIPA buffer, with extensive washing to check the ubiquitylation status of endogenous or tagged p62 protein. See Supplementary information, Data S1.

Mass spectra and mutagenesis analyses

Mass spectra (MS) and mutagenesis analyses, were performed to determine the ubiquitylation sites on p62. Samples for MS analysis were prepared using the same *in vitro* ubiquitylation protocols mentioned above except that the samples were blocked with 2-chloroacetamide (Sigma) for 30 min at 30 °C before loading to the gel [65]. The extracts were then desalted and concentrated using StageTip [66] and the eluted peptides were subjected to MS analysis.

Autophagy assay and detection of oxidatively damaged proteins

Formation of GFP-LC3 puncta and the level of lipidated LC3 were assayed following the standard guidelines [40], whereas levels of residual cellular oxidatively damaged (carbonylated) proteins were determined with anti-DNP assay described before [45].

Construction of UBE2D2^{-/-} and UBE2D3^{-/-} cell lines of HEK293T

UBE2D2^{-/-} and UBE2D3^{-/-} cell lines were constructed as described before [48]. A 20-bp guide sequence (5'-CCATTGG-CAAGCTACAATAA-3') targeting DNA within the third exon of UBE2D2 and a 20-bp guide sequence (5'-AGAATACACCG-CCTTGATAT-3') targeting DNA within the third exon of UBE2D3 were selected from a database of predicted high specificity protospacer adjacent motif target sites (<http://crispr.mit.edu>). Two complementary oligos containing the UBE2D2/UBE2D3 sequence and *BsmBI* (NEB) ligation adapters were synthesized. The annealed oligo was ligated into the *BsmBI*-digested lentiCRISPRv2 vector. The sequence of the construct was verified by DNA sequencing. HEK293T cells were seeded into 6-well plates to 90% confluence. The lentiCRISPRv2 or lentiCRISPRv2-gRNA construct was introduced into cells by transfection using Lipofectamin 2000 (Invitrogen) for 24 h, followed by 10 µg/ml puromycin (Sigma-Aldrich) treatment for 36 h to maximally eliminate the untransfected cells. Then the surviving cells were diluted and grown into single colonies about 1 week before further gone into screen for positive

clones.

Negative EM and dynamic light scattering analyses

Negative stain EM and DLS analyses [55] were employed to visualize and compare the complex formation by pre-assembled poly-Ub chains with p62 proteins unmodified or ubiquitylated in the presence of UBE2D2/UBE2D3.

Full methods and any associated references are available in Supplementary information, Data S1.

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Author Contributions

RH and DF conceived and supervised the study. ID advised on the study. PH, JY, QY, GL, MY and BL did the experiments; RH, DF, ID, PH, YH and JY analyzed the data; RH, DF, ID, PH and JY wrote the manuscript. All authors read and approved the final manuscript.

Competing Financial Interests

The authors declare no competing financial interests.

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