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In several cell types tumour suppressor p53 induces apoptosis largely via Puma but Noxa can contribute

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The ability of p53 to induce apoptosis in cells with damaged DNA is thought to contribute greatly to its tumour suppressor function. P53 has been proposed to induce apoptosis via numerous transcriptional targets or even by direct cytoplasmic action. Two transcriptional targets shown to mediate its apoptotic role in several cell types encode Noxa and Puma, BH3-only members of the Bcl-2 family. To test if their functions in p53-dependent apoptosis overlap, we generated mice lacking both. These mice develop normally and no tumours have yet arisen. In embryonic fibroblasts, the absence of both Noxa and Puma prevented induction of apoptosis by etoposide. Moreover, following whole body γ -irradiation, the loss of both proteins protected thymocytes better than loss of Puma alone. Indeed, their combined deficiency protected thymocytes as strongly as loss of p53 itself. These results indicate that, at least in fibroblasts and thymocytes, p53-induced apoptosis proceeds principally via Noxa and Puma, with Puma having the predominant role in diverse cell types. The absence of tumours in the mice suggests that tumour suppression by p53 requires functions in addition to induction of apoptosis.

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DNA damage can result in cell cycle arrest or apoptosis, and both outcomes require the tumour suppressor p53.¹ Defects in the cellular response to DNA damage can promote tumour development and impair the response of tumour cells to anticancer therapy.¹ Thus, the p53 gene is mutated in the majority of human cancers and tumours, lacking p53 function, often respond poorly to γ -radiation and chemotherapy. Moreover, individuals with Li Fraumeni syndrome, who have germ-line heterozygous mutations in p53, are highly prone to diverse cancers at a young age. Similarly, mutant mice heterozygous or homozygous for a p53 deletion are highly predisposed to develop tumours, particularly lymphomas or sarcomas.^{2,3}

The p53 protein is activated in response to diverse stress stimuli and regulated by multiple mechanisms that affect its stability.¹ This transcription factor is thought to mediate its diverse functions largely by activating distinct target genes.¹ It is well established that p53 triggers apoptosis through the 'Bcl-2-regulated' (also called 'intrinsic' or 'mitochondrial') pathway, because p53-dependent apoptosis can be inhibited by overexpression of Bcl-2 or its pro-survival homologues.^{4–6} How p53 triggers apoptosis, however, is still not fully resolved. Most evidence suggests that it functions through its ability to activate transcription of various pro-apoptotic target genes,¹ including certain members of the Bcl-2 family (see below). However, several groups have reported evidence that p53 can trigger apoptosis through direct binding to either pro- or antiapoptotic members of the Bcl-2 family on the outer mitochondrial membrane.⁷⁻¹¹ It has for example been argued that, following DNA damage, p53 induces a rapid transcriptionindependent apoptosis of thymocytes that precedes the induction of p53 target genes.⁸ In that model, p53-induced death should proceed normally in the absence of the critical pro-apoptotic p53 target genes.

The Bcl-2 family of proteins, which regulates developmentally programmed cell death and cytotoxic stress-induced apoptosis,¹² contains three structurally and functionally distinct subgroups: Bcl-2-like pro-survival proteins, which share up to four (BH) regions of homology; pro-apoptotic Bax/Bak-like proteins, which contain the BH1, BH2 and BH3 regions; and the pro-apoptotic BH3-only proteins, which share only the short (16-25 residue) BH3 domain. The BH3-only proteins are activated transcriptionally and/or post-translationally by death stimuli and initiate apoptosis signalling, whereas Bax/Bak-like proteins play an essential role further downstream.^{13,14} Recently, two BH3-only proteins, Noxa and Puma, have been shown to be critical for p53-mediated apoptosis. Both the *noxa* and *puma* genes are direct transcriptional targets of p53,¹⁵⁻¹⁷ but they can also be induced by p53-independent mechanisms.¹⁸ Studies with knockout mice have shown that Puma plays a major role in p53-mediated and p53-independent apoptosis in a broad range of cell types, ¹⁹⁻²¹ whereas Noxa has a more restricted role in p53-mediated apoptosis of fibroblasts.^{19,22}

Although cycling non-transformed lymphocytes and lymphoma cells can die in a p53-independent manner in response to DNA damage,⁶ non-cycling cells, such as (most) CD4⁺8⁺ (double-positive: DP) thymocytes and pre-B cells are completely dependent on p53 for cell killing following this

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Abbreviations: BH, Bcl-2-homology; DKO, double knockout; DP, double-positive; MEF, mouse embryonic fibroblasts; PMA, phorbol 12-myristate 13-acetate; TUNEL, terminal deoxynucleotidyl transferase-mediated dUTP nick end labelling

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insult.^{6,23,24} Although loss of Puma strongly protects against DNA damage-induced apoptosis, in several cell types, this protection was significantly weaker than that afforded by loss of p53.^{19–21} Since Noxa and Puma are both regulated by p53, it appears likely that these two BH3-only proteins have overlapping functions. To test this hypothesis, we have generated Noxa/Puma doubly deficient (DKO) mice, and we report here the characterisation of their phenotype. The results suggest that, at least in certain cell types, the apoptotic function of p53 relies almost exclusively on Noxa and Puma or, in some cases, on Puma alone.

Results

Mice lacking both Noxa and Puma develop normally and are not tumour prone. Mice lacking either Noxa or Puma are normal in appearance, body weight and weight of major organs.^{19–22} To investigate whether Noxa and Puma overlap in function, we crossed $noxa^{-/-}$ and $puma^{-/-}$ mice to

generate mice lacking both. As expected, no puma and noxa RNA transcripts appeared in thymocytes or spleen cells from the noxa-/-puma-/- mice, whereas normal levels of puma mRNA were seen in $noxa^{-/-}$ cells (data not shown) and normal levels of noxa mRNA in puma^{-/-} cells (Figure 1a). In addition, the levels of Bim, Bid and Bad protein were similar in cells from wildtype (wt), noxa^{-/-}, puma^{-/-} and noxa^{-/-} puma^{-/-} mice (Figure 1b). As expected, no Puma protein could be detected in dexamethasonetreated or γ -irradiated puma^{-/-} or noxa^{-/-}puma^{-/-} thymocytes (Figure 1b), but Puma protein levels increased over time in γ -irradiated wt but not in $p53^{-/-}$ thymocytes (Figure 1c). These results document that we have generated noxa^{-/-}puma^{-/-} mice and that loss of Noxa, Puma or both does not cause a compensatory upregulation in the level of any other BH3-only protein tested.

Noxa/Puma doubly deficient mice were born at a normal frequency from inter-crosses of $noxa^{-/-}puma^{+/-}$ mice (36 expected out of 144 offspring, 34 observed) and had a normal appearance, behaviour and health up to at least 1 year of age.

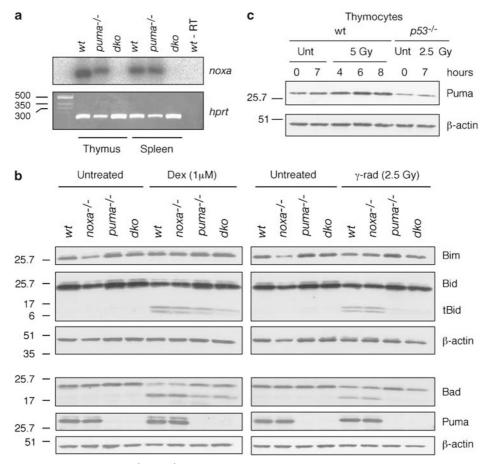


Figure 1 *noxa* and *puma* are not expressed in *noxa^{-/-} puma^{-/-}* double knockout mice. (**a**) RT-PCR analysis on cDNAs generated with total RNA from thymocytes and spleen cells from mice of the indicated genotypes. Wt thymocyte cDNA, which was used in a reaction without reverse transcriptase enzyme, is included as a negative control. The identity of the PCR products was confirmed by Southern blotting using an internal oligonucleotide specific for *noxa* cDNA as a probe (top panel). RT-PCR analysis with primers specific for *hprt* was used as a loading control. Sizes of DNA size standards (in bp) are shown on the left hand side (bottom panel). (**b**) Western blot analysis of thymocytes from wt, *noxa^{-/-}*, *puma^{-/-}* and *noxa^{-/-}puma^{-/-}* mice cultured for 7 h in the presence or absence of 1 μ M dexamethasone or following 2.5 Gy γ -irradiation. Western blots were probed for Bim, Bid, Bad or Puma protein levels. Probing for β -actin was included as a loading control. The molecular weight (in kDa) of protein size standards is shown on the left hand side. (**c**) Western blot analysis of Puma protein expression in wt or *p53^{-/-}* thymocytes cultured without treatment (Unt) or following treatment with the indicated doses of γ -irradiation. Time in culture is indicated in hours. The molecular weight (in kDa) of protein size standards is shown on the left hand side size standards is shown on the left hand side size standards is shown on the left hand side size standards is shown on the left hand side size standards is shown on the left hand side size standards is shown on the left hand size standards is shown on the left hand size standards is shown on the left hand side.

Noxa and Puma cooperate in p53-mediated apoptosis EM Michalak et al

Mice deficient for p53 are highly prone to spontaneous tumours, with a particularly high incidence of thymic lymphomas, most dying by 6 months of age.^{2,3} In contrast, only one of 22 $puma^{+/-}$ mice that have been monitored for a year or longer has developed a tumour (a CD4⁺8⁺ thymoma at 37 weeks of age). Among 14 $puma^{-/-}$ mice monitored for at least 11 months, one was found dead at 15 weeks with an enlarged spleen and thymus, but due to the deteriorated state of the mouse we could not determine whether this was indeed a tumour. Furthermore, no tumours arose in 10 $noxa^{-/-}puma^{-/-}$ mice monitored for more than 12 months and these animals remained healthy.

Inter-crosses of *noxa^{-/-} puma^{-/-}* mice produced litters of normal size and comparable numbers of male and female progeny. Moreover, the *noxa^{-/-} puma^{-/-}* females reared their pups normally. The weights of *noxa^{-/-} puma^{-/-}* males and females at 3 and 6–8 weeks were comparable to those of wt littermates, as was the appearance and weight of major organs (thymus, spleen, lung, heart, kidneys, liver and testes).

BH3-only proteins play a critical role in the programmed death of haemopoietic cells. We therefore investigated the effect of loss of both Noxa and Puma on haemopoiesis by comparing thymus, spleen, lymph node, bone marrow and peripheral blood of 6–11 week-old $noxa^{-/-}puma^{-/-}$ mice with those of wt and single knockout animals. We found that all these tissues from $noxa^{-/-}puma^{-/-}$ mice had normal size, weight and cellularity (see below). Moreover, the blood contained normal numbers of B and T lymphocytes, neutrophils, monocytes, eosinophils, basophils and platelets as well as a normal hematocrit (data not shown).

Together, these results demonstrate that combined deficiency of Noxa and Puma does not affect embryogenesis, haemopoiesis, behaviour or reproduction in mice, nor does it predispose them to tumour development.

Response of Noxa/Puma-deficient lymphocytes to apoptotic stimuli *in vitro*. Although loss of Puma can protect lymphocytes against a range of death stimuli, the protection from p53-dependent stimuli (e.g. etoposide or γ -radiation), and p53-independent insults (e.g. glucocorticoids or cytokine deprivation), is not always complete.^{19–21} Hence, Noxa might well contribute to the death because, like Puma,^{16–18} its expression can be upregulated by both p53-independent and p53-dependent mechanisms.¹⁵ We therefore compared the death of lymphocytes from the DKO and single knockout mice with that of p53-deficient cells in response to p53-independent stimuli (incubation in simple medium or treatment with dexamethasone, PMA, ionomycin, staurosporine or tunicamycin), and the p53-dependent apoptosis induced by etoposide or γ -irradiation.

We found that thymocytes from $noxa^{-/-}puma^{-/-}$ mice were slightly more refractory to high doses of γ -radiation (5 Gy) than those from $puma^{-/-}$ mice (Figure 2a; P = 0.032). In response to all the other insults tested, however, thymocytes from $noxa^{-/-}puma^{-/-}$ and $puma^{-/-}$ mice behaved indistinguishably (Supplementary Figure 1a provides an extended kinetic analysis). Both exhibited higher resistance than wt thymocytes to spontaneous apoptosis and death induced by treatment with etoposide (Supplementary Figure 1a), low doses of γ -radiation, dexamethasone or PMA but were

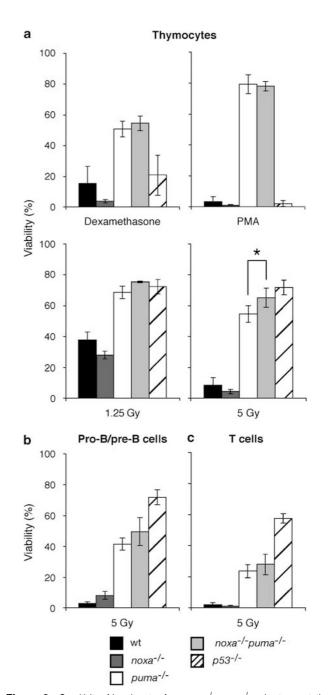


Figure 2 Sensitivity of lymphocytes from $noxa^{-/-}puma^{-/-}$ mice to apoptotic stimuli in culture. (a) Purified immature CD4+8+ thymocytes from wt, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ or $p53^{-/-}$ mice were cultured for 8 h in the presence of dexamethasone (Dex, 1 μ M) or for 24 h with phorbol 12-myristate 13-acetate (PMA, 10 ng/ml) or following γ -irradiation (1.25 or 5 Gy). Immature pro-B/pre-B cells (B220+slg⁻) sorted from the bone marrow (b) or mature T cells (Thy-1+) sorted from the lymph nodes (c) of wt, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ or $p53^{-/-}$ mice were cultured for 24 h following γ -irradiation (5 Gy). Viability of unstimulated cells of each type did not differ significantly between genotypes at these times. Data points represent means ± S.D. of cells from 3–5 mice of each genotype. The percentage of viable $noxa^{-/-}puma^{-/-}$ thymocytes remaining after treatment with 5G γ -radiation was significantly greater than for $puma^{-/-}$ thymocytes treated with the same dose (P = 0.032) and was not significantly different to the number of viable $p53^{-/-}$ thymocytes. For a detailed kinetic analysis see Supplementary Figure 1

normally sensitive to ionomycin or tunicamycin (Figure 2a and data not shown). Similarly, $noxa^{-/-}puma^{-/-}$ pro-B/pre-B cells from bone marrow (Figure 2b), and mature T and B cells from lymph nodes (Figure2c and data not shown) were no more resistant to these cytotoxic stimuli than their $puma^{-/-}$ counterparts (Supplementary Figure 1a).

Activated T cells require IL-2 (or certain other cytokines) for survival, and apoptosis following cytokine deprivation can be inhibited by Bcl-2 overexpression⁵ or loss of the BH3-only protein Bim.²⁵ Since loss of Puma partially protects resting lymphocytes and myeloid progenitors from cytokine withdrawal,^{19,20} we investigated the impact of combined Noxa/ Puma loss on IL-2 deprivation in T cell blasts. Puma-deficient T cell blasts were significantly, albeit incompletely, protected from IL-2 withdrawal, γ -irradiation or treatment with dexamethasone, etoposide, PMA or tunicamycin, and the *noxa*^{-/-}*puma*^{-/-} T cells blasts responded identically (data not shown).

Notably, comparison with p53-deficient cells revealed the major role of Puma, alone or together with Noxa, in p53mediated death. The $noxa^{-/-}puma^{-/-}$ and the $puma^{-/-}$ thymocytes were as refractory as the $p53^{-/-}$ ones to etoposide or 1.25 Gy γ -irradiation and at least 70% as resistant to 5 Gy γ -irradiation (Figure 2a and Supplementary Figure 1a). Thus, in thymocytes, Noxa and Puma are clearly essential for the death elicited by p53, with Puma having the major role. Similarly, in the pro-B/pre-B cells, loss of Puma, alone or together with Noxa, appeared to account for at least 60% of the protection provided by p53 loss (Figure 2b). In the mature T cells, however, even their combined loss provided much less protection than p53 loss (Figure 2c).

Noxa and Puma act together in etoposide-induced killing of E1A-transformed MEF. Expression of the adenovirus oncoprotein E1A sensitises mouse embryonic fibroblasts (MEF) to DNA damage-induced apoptosis, in part by stabilising p53.²⁶ Since loss of Noxa, or to an even greater extent loss of Puma, inhibited etoposide-induced apoptosis of E1A-expressing MEF,^{19,22} we tested whether Noxa and Puma cooperate in this death response. Wildtype, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ and $p53^{-/-}$ MEF expressing E1A were either cultured in medium with serum (unstimulated) or without serum, or γ -irradiated or treated with etoposide (Figure 3). Both the $puma^{-/-}$ and $p53^{-/-}$ MEF survived better than the wt or the $noxa^{-/-}$ cells in the unstimulated cultures, where overcrowding and limiting growth factors in the serum likely both contribute to apoptosis (Supplementary Figure 2a provides a kinetic analysis). Consistent with this, the p53^{-/-} MEF were almost completely refractory to serum withdrawal (Figure 3b), as were the $noxa^{-/-}puma^{-/-}$ and puma-1- MEF, even after several days in culture (Supplementary Figure 2b). Thus, in E1A-transformed MEF, p53-induced activation of Puma is critical for the death provoked by overcrowding and serum deprivation, and Noxa appears not to be required for this process.

By contrast, upon exposure to etoposide, Noxa and Puma clearly are both critical, because the $noxa^{-/-}puma^{-/-}$ MEF survived better than $puma^{-/-}$ MEF. At a lower dose of etoposide (10 µg/ml), loss of Puma conferred greater resistance than loss of Noxa alone but not as much as their

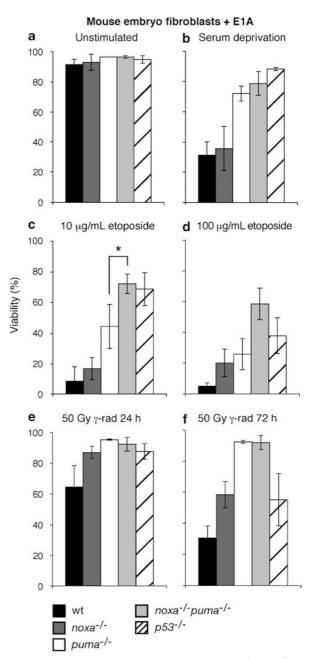


Figure 3 Sensitivity of embryonic fibroblasts from $noxa^{-/-}puma^{-/-}$ mice to apoptotic stimuli. E1A-expressing MEF from wt, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ or $p53^{-/-}$ embryos were cultured for 24 h in simple medium with (**a**) or without serum (**b**), or were exposed to 10 μ g/ml (**c**) or 100 μ g/ml (**d**) etoposide or γ -irradiation (50 Gy) and analysed after 24 (**e**) or 72 h (**f**). Data points represent means ± S.D. of cells from 3-5 independent embryos of each genotype. The percentage of viable $noxa^{-/-}puma^{-/-}$ E1A-MEF remaining after treatment with 10 μ g/ml etoposide was significantly greater than for $puma^{-/-}$ E1A-MEF treated with the same dose (P = 0.012). For a detailed kinetic analysis see Supplementary Figure 2

combined loss (P=0.012; Figure 3c). Similarly, at a higher dose of etoposide (100 μ g/ml), ~50% of $noxa^{-/-}puma^{-/-}$ MEF remained viable at 24 h, when only ~20% of the $noxa^{-/-}$ or $puma^{-/-}$ cells remained viable and almost all wt cells had

died (Figure 3d). Nevertheless, by 48 h, most MEF of all genotypes treated with etoposide were dead (Supplementary Figure 2c and d), perhaps due to killing by a non-apoptotic process.

Although MEF of all genotypes were initially profoundly resistant to high doses of γ -irradiation (Figure 3e), at later time points, only $noxa^{-/-}puma^{-/-}$ and $puma^{-/-}$ MEF survived (Figure 3f; see Supplementary Figure 2e for a kinetic analysis), indicating that Puma is the major initiator of cell death in this setting. Remarkably, $puma^{-/-}$ and/or $noxa^{-/-}puma^{-/-}$ MEF were more resistant to etoposide (Figure 3d) and γ -radiation (Figure 3f) than cells from $p53^{-/-}$ embryos. A likely explanation for this finding is the observation that upon DNA damage, E1A-expressing MEF lacking Noxa, Puma or both will arrest at the G1/S cell-cycle checkpoint, whereas those lacking p53 are unable to do so and may therefore undergo a p53-independent death within the S or G2 M phase of the cell cycle (²² and our unpublished observations).

The greatly enhanced survival of noxa^{-/-}puma^{-/-} MEF questions models in which p53 can directly induce apoptosis at the mitochondrial level (see Discussion). To rule out the possibility that p53 transcriptional activation function was compromised in our knockout MEF, we examined the protein levels of p53 and its transcriptional target, p21, in MEF infected with control or E1A-expressing retroviruses. MEF expressing the control retrovirus expressed low levels of p53, even after treatment with etoposide (Supplementary Figure 3a). As expected,²⁶ MEF expressing E1A had higher p53 levels (Supplementary Figure 3b). The E1A-induced rise in the p53 level in the absence of noxa or puma, or both, was comparable to that seen in wt MEF, as was the induction of p21. Moreover, etoposide further increased p53 and p21 levels comparably in wt MEF or MEF deficient for noxa, puma or both (Supplementary Figure 3c). Thus, in the absence of noxa and puma, p53 is activated normally and is functional.

Together, Noxa and Puma account for the γ -irradiationinduced death of thymocytes *in vivo*. To investigate the individual and combined roles of Noxa and Puma *in vivo*, we performed whole-body γ -irradiation of wt, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ and $p53^{-/-}$ mice and analysed their haemopoietic compartments 20 h later. We determined the subcellular composition of haemopoietic tissues by flow cytometric analysis of cells incubated with antibodies to cell subset-specific surface markers.

The thymus of untreated $noxa^{-/-}puma^{-/-}$ mice had normal numbers of CD4⁻8⁻ pro-T cells, CD4⁺8⁺ pre-T and both CD4⁺8⁻ and CD4⁻8⁺ mature T cells (Figure 4a; see untreated controls). The death of thymocytes and pre-B cells following γ -irradiation is dependent on p53.^{6,23,24} As previously reported, γ -irradiation of wt mice resulted in massive death of thymocytes (Figure 4b), particularly in the highly sensitive immature CD4⁺8⁺ thymocyte population, where cell numbers fell ~5-fold following exposure to 2.5 Gy and ~70-fold following 5 Gy (Figure 4c). In contrast, γ -irradiation of $p53^{-/-}$ mice at doses as high as 5 Gy had little effect on thymocyte numbers, the CD4⁺8⁺ cells falling only ~30% (Figure 4c). Consistent with our previous observations,²¹ loss of Noxa alone did not affect γ -irradiation-induced thymocyte

killing in vivo, whereas loss of Puma provided very substantial protection. In $puma^{-/-}$ mice, exposure to 2.5 Gy reduced the $CD4^+8^+$ thymocytes only ~20% and even 5 Gy produced only a \sim 50% reduction – resulting in \sim 50-fold higher survival than in wt mice. At 2.5 Gy, where loss of Puma offered essentially complete protection, concomitant loss of Noxa did not, of course, further augment survival. However, at 5 Gy, significantly more CD4⁺8⁺ thymocytes were recovered from $noxa^{-/-}puma^{-/-}$ mice than $puma^{-/-}$ mice (P<0.01). Importantly, at 5 Gy the survival of CD4+8+ thymocytes in $noxa^{-/-}puma^{-/-}$ mice was as great as that in $p53^{-/-}$ mice. Thus, essentially all of the pro-apoptotic effects of p53 in this setting rely upon Noxa and Puma. In the case of the mature CD4⁺8⁻ and CD4⁻8⁺ thymocytes; however, loss of Puma afforded as much protection against γ -irradiation as combined loss of Puma and Noxa (Figure 4d and e), indicating that Puma is the dominant effector in these cell types.

To investigate the effect of γ -irradiation in situ, we performed TUNEL staining on thymic sections from wt, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ and $p53^{-/-}$ mice 20 h after their exposure to 5 Gy. In the wt (Figure 5b and c) and $noxa^{-/-}$ thymus (Figure 5e and f), which had shrunk dramatically, there was extensive loss of the normal architecture and strong TUNEL-positive (brown) staining of the cortex. The sections of $puma^{-/-}$ thymi exhibited dramatically fewer TUNEL-positive cells than those from wt and noxaanimals and retained a normal cortical and medullary organisation (Figure 5h and i). Thymic sections from γ -irradiated noxa^{-/-}puma^{-/-} animals (Figure 5k and I) consistently had fewer TUNEL-positive cells than puma-/sections and looked comparable to those from $p53^{-/-}$ animals (Figure 5n and o), consistent with the cell counting studies (Figure 4). In support of that conclusion, a blinded count confirmed that the $noxa^{-/-}puma^{-/-}$ sections had ~40% less TUNEL-positive cells than the $puma^{-/-}$ ones and almost as few as the $p53^{-/-}$ sections. Moreover, thymi from 5 Gy γ -irradiated puma^{-/-} animals (32 ± 4 mg, n=5) were significantly smaller than those from similarly treated $noxa^{-/-}puma^{-/-}$ mice (47 ± 5 mg, n=4; P<0.01).

Extent of protection by Noxa/Puma loss varies in different cell types. In the spleen (Figure 6a-c) and the lymph nodes (data not shown), Puma loss potently protected $CD4^+$ and $CD8^+$ mature T cells as well as (B220⁺slg⁺) B cells from γ -irradiation-induced death. In these organs, loss of Noxa alone did not notably protect B or T cells, and combined Noxa/Puma loss did not significantly increase their survival over loss of Puma alone. After 2.5 Gy, wt mice lost ~60% of both their CD4 $^+$ and CD8 $^+$ T cells in the spleen, and with 5 Gy only $\sim 14\%$ of CD4⁺ and $\sim 6\%$ of CD8⁺ T cells survived. CD4⁺ T cells from puma^{-/-} mice were completely protected from death following 2.5 Gy and only a small proportion died following exposure to 5 Gy yirradiation. The losses of CD4⁺ T cells in the $puma^{-/-}$ and $p53^{-/-}$ mice were similar, indicating that Puma accounts for most (perhaps all) of the p53-mediated death. Although $puma^{-/-}$ CD8⁺ T cells and B cells survived far better than the wt cells (\sim 15- or \sim 10-fold better, respectively), Puma loss provided less protection than loss of p53, but concomitant loss of Noxa did not augment it further

87

noxa-/-

7

а

wt

6

Control 3 3 3 3 3 4 4 3 10 45 21 52 15 11 80 84 8 83 CD4 5.0 Gy 4 12 3 19 14 3 4 CD8 С CD4+8+ b Total thymocytes 200 160 Cellularity (x10⁶) 150 Cellularity (x10⁶) 120 100 80 50 40 0 0 noxa-/puma-/p53-/noxa-/puma-/p53-/dko dko wt wt е d CD4+8-CD4-8+ 10 16 8 Cellularity (x106) Cellularity (x106) 12 6 8 4 4 2

puma-/-

7

86

86

noxa-/-puma-/-

87

p53-/-

7

85

Figure 4 Sensitivity of thymocytes from $noxa^{-/-}puma^{-/-}$ mice to γ -irradiation *in vivo*. Wt, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ or $p53^{-/-}$ mice were left untreated or exposed to 2.5 or 5 Gy full body γ -irradiation and the thymus was harvested 20 h later. (a) Representative dot plots of stained thymocytes from control or 5 Gy-irradiated mice of each genotype. Percentages of CD4⁻8⁻, CD4⁻8⁺ CD4⁺8⁻ and CD4⁺8⁺ cells are indicated in the quadrants. (b) Thymic cellularity was determined for untreated or irradiated mice of each genotype. In (c), (d) and (e), the total number of thymocytes in the indicated subset was determined by multiplying the total thymic cellularity by the percentage of cells in that subset. Bars represent means \pm S.D. of 4–14 mice of each genotype per treatment in at least three independent experiments. Thymic cellularity and total number of CD4⁺8⁺ thymocytes remaining in $noxa^{-/-}puma^{-/-}$ animals treated with 5 Gy γ -radiation was significantly greater than in $puma^{-/-}$ animals treated with the same dose (P < 0.01)

p53-/-

0

2.5 Gy

wt

(Figure 6). Thus, in these cells, the death mediated by p53 requires Puma plus a pro-apoptotic factor other than Noxa, perhaps another BH3-only protein.

noxa-/-

puma-/-

dko

control

In the bone marrow, combined Noxa/Puma loss protected B-cell progenitors (pro-B/pre-B cells) from γ -irradiation-in-

duced death modestly better than the loss of Puma alone (Figure 6d). This difference was significant (P<0.02), although the protection was substantially less than that provided by loss of p53, suggesting p53 must activate factors in addition to Noxa and Puma to kill this cell type. In the blood,

puma-/-

noxa-/-

5.0 Gy

p53-/-

dko

0

wt

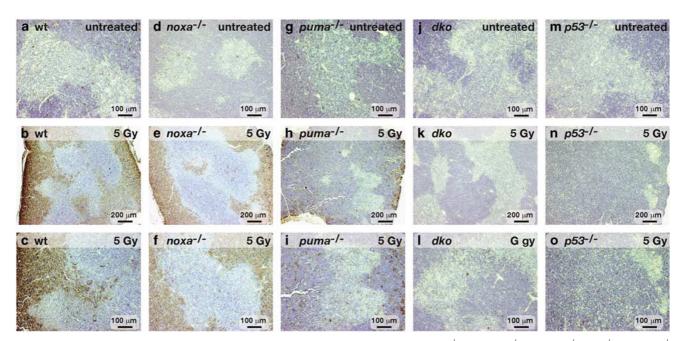


Figure 5 In situ analysis of γ -irradiation-induced apoptosis of thymocytes. Thymic sections from wt (**a**-**c**), $noxa^{-/-}$ (**d**-**f**), $puma^{-/-}$ (**g**-**i**), $noxa^{-/-}$ animals (**i**) exhibit dramatically less apoptotic cells than those from wt and $noxa^{-/-}$ animals (**c**, **f**) but more than sections from $noxa^{-/-}$ guinals (**i**), which are comparable to those from $p53^{-/-}$ animals (**o**). The images are representative of three or more independent stains performed on thymi of at least three animals per genotype per treatment

however, loss of Puma alone or Noxa plus Puma protected T cells and B cells comparably to loss of p53 (data not shown), indicating that Puma is the major inducer of this pathway to their apoptosis.

Following 5 Gy γ -irradiation, macrophage numbers in the spleen and peripheral blood of wt mice dropped by ~50%, whereas those in mice lacking p53 or Puma fell only by ~30% (data not shown), indicating that Puma contributes to this death. Likewise, in the bone marrow of wt mice ~75% of macrophages were lost. Again, the increased numbers of macrophages remaining in the marrow of *puma*^{-/-} and *p53*^{-/-} mice were similar in magnitude, suggesting that Puma is required for the death of macrophages following γ -irradiation.

In situ staining of splenic sections from untreated wt, *noxa*^{-/-}, *puma*^{-/-}, *noxa*^{-/-}*puma*^{-/-} and *p53*^{-/-} mice revealed few TUNEL-positive (brown) cells (Figure 7, top panels), but after exposure to 5 Gy γ -radiation many TUNEL-positive cells were evident in sections from the wt and *noxa*^{-/-} animals (Figure 7b and d). In contrast, sections from *puma*^{-/-} or *noxa*^{-/-}*puma*^{-/-} mice (Figure 7f and h), like those from *p53*^{-/-} animals (Figure 7j), contained very few TUNEL-positive cells, consistent with our cell counting analysis of the splenic cell populations (Figure 6).

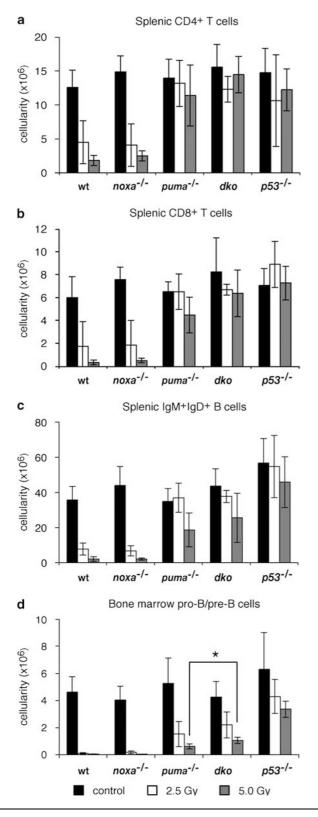
Discussion

We have explored whether Noxa and Puma have overlapping functions in DNA damage-induced apoptosis mediated by p53. Our comparison of apoptosis in mice lacking Puma and/ or Noxa with that in p53-deficient animals revealed that DNA damage-induced apoptosis signalling varies with cell type. In accord with previous studies,^{19–21} we showed that Puma has a major role in every cell type examined. In certain cell types, however, Noxa also clearly contributes, while in yet other cells additional pro-apoptotic factors may also be required.

Cell context-dependent roles of Noxa and **Puma.** Notably, in certain cell types, such as CD4⁺8⁺ thymocytes in vivo, Noxa and Puma accounted for essentially all of the pro-apoptotic activity of p53, and in other cell types, such as mature CD4+ T cells and macrophages, Puma alone suffices. Early work using protein and RNA synthesis inhibitors clearly showed that new gene expression is required for DNA damage-induced lymphocyte apoptosis.²⁷ Our results indicate that the p53-induced expression of both noxa and puma is critical to mediate the full apoptotic response in thymocytes. Notably, loss of Bim has been shown to convey partial protection to CD4⁺8⁺ thymocytes treated with γ -radiation both in vitro²⁵ and in vivo.²¹ This suggests that other BH3only proteins may also contribute to p53-dependent death in some settings, such as CD8⁺ T cells and B cells.

Our evidence that Puma is generally more important for cell killing than Noxa is likely due to the fact that Puma binds with high affinity to all pro-survival Bcl-2 family members, whereas Noxa binds only to Mcl-1 and A1.^{28,29} Most likely, Noxa has a prominent role in p53-mediated apoptosis only in cells that contain higher levels of its targets Mcl-1 and/or A1 than of the other pro-survival proteins.

Although thymocytes and certain other cell types are reportedly completely dependent on p53 for DNA damageinduced apoptosis,^{23,24} in agreement with another study,³⁰ we found that γ -irradiation of $p53^{-/-}$ (and of $noxa^{-/-}puma^{-/-}$) mice caused a small but significant reduction in CD4 +8 + thymocytes, while no reduction was seen in, for example, splenic T cells. There are two possible, not mutually exclusive,



explanations for this reduction. Firstly, since CD4⁺8⁺ thymocytes have a much more rapid turnover (~3 days) than mature T cells (several weeks), the small drop may be due to inhibition of new cell production from normally cycling progenitors in the thymus rather than death of CD4⁺8⁺ thymocytes *per se.* Alternatively, DNA damage may lead to activation not only of Noxa and Puma but also of a cell death pathway independent of p53. Since an equivalent small reduction in thymocytes was seen in γ -irradiated *bcl*-2 transgenic mice,²¹ that pathway would have to be Bcl-2-insensitive.

Combined Noxa/Puma loss afforded mature B cells and pro-B/pre-B cells less protection against γ -irradiation than p53 deficiency, implicating at least one other death factor. The small but significant role of Bim in DNA damage-induced killing of mature T and B cells²¹ implicates it. However, since the combined loss of Puma and Bim incompletely protected thymocytes and mature T and B cells from genotoxic damage,³¹ several BH3-only proteins, for example, Bim, Puma and Noxa, may well have a redundant role. As loss of Bim did not offer any protection to pre-B cells,²¹ yet another pro-apoptotic protein may figure in their demise. Interestingly, in the absence of Noxa and/or Puma we did not observe any compensatory increase of other BH3-only family members (specifically Bim, Bad or Bid) in untreated thymocytes or thymocytes treated with a p53-dependent or p53-independent death stimulus. We did, however, detect lower levels of Bid cleavage (tBid) in γ -irradiated thymocytes from puma^{-/-} and $noxa^{-/-}puma^{-/-}$ mice than wt thymocytes. We surmise that this is due to the fact that, following genotoxic damage, caspase-8 activation and Bid cleavage are secondary events (downstream of effector caspase activation), and hence neither occurs in cells in which apoptosis is inhibited, for example due to loss of Puma or both Noxa and Puma.

Noxa and Puma clearly have overlapping functions in fibroblasts, since $noxa^{-/-}puma^{-/-}$ MEF expressing E1A survived treatment with etoposide better than $noxa^{-/-}$ or $puma^{-/-}$ MEF, despite similar increases in p53 protein. The transient nature of the protection to MEF offered by their loss is consistent with their temporal expression, which peaks ~ 6 h following DNA damage and then declines.^{15,18} Irrespective of their genotype, the fibroblasts all eventually died (by 48–72 h), indicating that etoposide must activate other pro-apoptotic factors or even other modes of cell death at later times. In contrast, the $puma^{-/-}$ and $noxa^{-/-}puma^{-/-}$ MEF

Figure 6 Sensitivity of lymphocytes from $noxa^{-/-}puma^{-/-}$ mice to γ -irradiation *in vivo*. Wt, $noxa^{-/-}$, $puma^{-/-}$, $noxa^{-/-}puma^{-/-}$ or $p53^{-/-}$ mice were left untreated or exposed to 2.5 or 5 Gy full-body γ -irradiation and spleen and bone marrow were harvested 20 h later. (**a**–**c**) The number of CD4 + or CD8 + T cells and slgM + slgD + B cells in the spleen from untreated and irradiated mice of each genotype was determined by multiplying the total splenic cellularity with the percentage of the cell subsets. (**d**) The number of immature B220 + slgM - slgD - pro-B/pre-B cells in the bone marrow from both femora was calculated using the cellularity and percentage of cell subsets. Bars represent means ± S.D. of 4–14 mice of each genotype per treatment in at least three independent experiments. The total number of B220 + slgM - slgD - pro-B/pre-B cells in the bone marrow of $noxa^{-/-}$ - *numa*^{-/-} animals treated with 5 Gy γ -radiation was significantly greater than in *puma*^{-/-} animals treated with the same dose (*P* < 0.02)

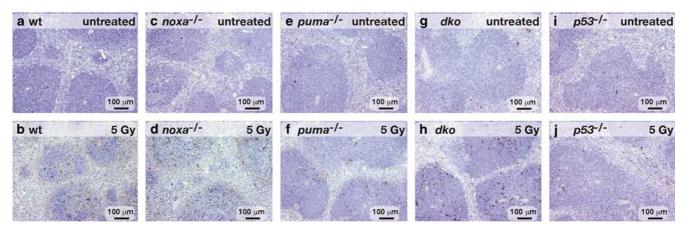


Figure 7 In situ analysis of γ -irradiation-induced apoptosis of splenocytes. Spleen sections from untreated (top panels) or 5 Gy γ -irradiated (20 h earlier, bottom panels) wt (**a-b**), $noxa^{-/-}$ (**c-d**), $puma^{-/-}$ (**e-f**), $noxa^{-/-}$ (**g-h**) or $p53^{-/-}$ (**I-j**) animals were TUNEL-stained (see Figure 5). Nuclei were counterstained with hematoxylin. Sections from $puma^{-/-}$ and $noxa^{-/-} puma^{-/-}$ animals (**f**, **h**) contain very few TUNEL-positive cells, comparable with sections from $p53^{-/-}$ animals (**j**). The images represent three or more independent stains performed on organs of at least three animals per genotype per treatment

expressing E1A were completely refractory to doses of γ -radiation as high as 50 Gy. Unexpectedly, they proved markedly more resistant than the $p53^{-/-}$ MEF to γ -radiation or etoposide. This is probably due to the failure of $p53^{-/-}$ MEF to arrest at the G1/S checkpoint, resulting in catastrophic events in S phase or G2/M. In contrast, upon serum deprivation or overcrowding in culture, the $p53^{-/-}$, $puma^{-/-}$ and $noxa^{-/}$ $^-puma^{-/-}$ MEF expressing E1A all survived very well, indicating that p53-induced activation of Puma mediates apoptosis following these death stimuli. In this situation, a p53-independent process (e.g., p27 induction) probably implements cell cycle arrest, thereby preventing catastrophic events in S phase or G2/M.

Questions regarding the proposed non-transcriptional role of p53. Our results with thymocytes, CD4⁺ T cells and macrophages argue against an important physiological role, at least in these cell types, for an entirely transcriptionindependent apoptosis mediated by p53, such as by its direct binding to members of the Bcl-2 family on the outer mitochondrial membrane.^{8–10} Although cytoplasmic p53 has been proposed to mediate the rapid thymocyte death upon DNA damage,⁸ if any p53-driven mechanism independent of Puma and Noxa operated in these cells, their loss should not protect against p53-mediated signals. Notably, the apoptosis of colorectal carcinoma cells following DNA damage, but not p53-independent insults that require Puma, was abrogated by removal of the p53-binding sites from the *PUMA* gene.³²

It has been proposed, however, that Puma induces apoptosis specifically by displacing cytoplasmic p53 from BcI- x_L , purportedly allowing the p53 to activate Bax directly.¹¹ It is, however, noteworthy that Puma-induced death does not necessarily require p53, because Puma is critical for several p53-independent apoptotic signals (e.g. treatment with glucocorticoids or phorbol ester),^{19,20} and its enforced expression readily induces apoptosis in healthy primary cells,²⁸ which should lack active p53, and even in p53-deficient tumour and non-transformed cells.^{17,33} Moreover, a Puma BH3 peptide readily permeabilises mitochondria from

healthy cells, which should lack any active p53, and overcomes the protection mediated by each of the pro-survival family members.³⁴ These results fit much more readily with the model that Puma, when induced by p53 or other transcription factors, provokes apoptosis by engaging all the pro-survival Bcl-2 family members, overcoming their constraint on Bax/Bak activation.^{28,35} Accordingly, Puma was shown to induce Bax-mediated apoptosis by displacing Bax from Bcl-x_L, in a manner independent of p53.³⁶

Implications for development and tumorigenesis. Analysis of the noxa^{-/-}puma^{-/-} mice indicated that Noxa and Puma are dispensable for normal development and haemopoiesis. Since normal numbers of DKO animals of both sexes were born from inter-crosses, combined Noxa/Puma absence does not recapitulate the fatal neural tube closure defect that eliminates $\sim 65\%$ of $p53^{-/-}$ females in utero.³ This developmental defect must therefore involve loss of p53-mediated processes other than or in addition to its apoptotic function. The normal haemopoiesis observed in the Noxa/Puma-deficient animals, which contrasts with the disturbed haemopoiesis in Bimdeficient mice,²⁵ suggests that Noxa and Puma have evolved mediate stress-induced apoptosis rather than to developmentally programmed cell death.

P53-deficient mice stochastically develop a range of neoplasms and all die by 9 months of age.^{2,3} It has remained unclear whether the cell cycle arrest or apoptotic function of p53 is more critical for tumour suppression. Arrest at the G1/S checkpoint following DNA damage is orchestrated largely by p53-mediated induction of the cyclin-dependent kinase inhibitor p21/Waf1,¹ but loss of p21 causes only a low incidence of tumours, arising mostly late in life (>16 months).³⁷ Remarkably, we have not yet observed any tumours in *noxa^{-/-}puma^{-/-}* animals up to 1 year old, nor have any appeared in Puma-deficient mice (above the low incidence of thymic lymphoma normally observed on the C57BL/6 background). This indicates that loss of the apoptotic function of p53 is insufficient to initiate tumorigenesis. It

therefore appears likely that both the apoptotic and cell cycle arrest functions, and perhaps even additional ones, such as induction of cell senescence,³⁸ or perhaps its impact on mitochondrial respiration,³⁹ contribute to the full tumour suppressive action of p53.

Despite little evidence so far in human tumours for abnormalities in expression or loss of Noxa or Puma,⁴⁰ studies with transgenic mice have implicated Puma in tumour suppression. Knocking down Puma levels by shRNA⁴¹ or crosses between *puma^{-/-}* and E μ -*myc* transgenic mice (EM, JMA and AS, unpublished observations) have shown that Puma loss accelerates lymphomagenesis. Perhaps Puma's tumour suppressor role, like that of Bim,⁴² requires the context of a pre-existing pro-apoptotic oncogenic alteration, such as Myc overexpression. In accord with that notion, a recent *in vivo* study suggests that the tumour suppressor function of p53 following irradiation comes into play only in the rare cells that suffer oncogenic mutations (e.g., in Myc or Ras) that induce p19ARF.⁴³ Presumably, extremely few mutated cells contribute to tumorigenesis.⁴³

In conclusion, our analysis of Noxa/Puma-deficient mice has revealed that essentially all of the p53-dependent γ -irradiation-induced death of thymocytes *in vivo* depends on these two BH3-only proteins. They also cooperate in the p53-dependent apoptosis of certain other cell types, such as MEF expressing E1A, whereas in other cell types, such as mature T and B cells in the spleen, Puma alone is critical. Furthermore, the cell-specific action of Noxa and Puma has important implications for understanding and treating cancer. Since many tumours are defective in p53 function, directly upregulating its apoptotic targets, such as Noxa or Puma, should render the cells sensitive to cytotoxic therapy. Thus, identifying the key mediators of the p53 response in different cell types may help tailor treatments to specific malignancies.

Materials and Methods

Mice. All experiments with mice followed the guidelines of the Melbourne Directorate Animal Ethics Committee. Generation and genotyping of mice deficient for Noxa, ¹⁹ Puma¹⁹ or p53³ have been described. All mice were on a C57BL/6 background or in the case of $p53^{-/-}$ had been backcrossed with C57BL/6 mice for > 10 generations. To generate mice deficient for both Noxa and Puma, $noxa^{+/-}$ or $noxa^{-/-}$ mice were first crossed with $puma^{+/-}$ mice to produce mice heterozygous at both alleles ($noxa^{+/-}puma^{+/-}$). These double heterozygotes were crossed with $noxa^{-/-}$ mice to produce $noxa^{-/-}puma^{+/-}$ mice, which were then inter-crossed to produce the double knockout ($noxa^{-/-}puma^{-/-}$ DKO) animals.

Cell culture and cell viability assays. Cells were cultured at 37°C in a humidified 10% CO₂ incubator in high-glucose Dulbecco's Modified Eagle's medium supplemented with 10% fetal calf serum (JRH Biosciences), 50 μ M 2-mercaptoethanol (Sigma) and 100 μ M asparagine (Sigma). FACS-sorted primary T and B lymphocytes were cultured at a starting density of 2–5 × 10⁵/ml. Percentage cell viability was determined by FACS analysis as the fraction of cells not stained by either Annexin V-FITC or PI as described.¹⁹

Primary MEF were prepared from E14.5 embryos and retrovirally transduced with the Ad5 E1A cDNA or control pHED-puro vector only virus as described previously.¹⁹ For cell survival assays, E1A-expressing MEF were plated at 5×10^4 / ml and allowed to adhere overnight before challenge with a death stimulus. For analysis of MEF viability, at each time point the adherent cells were trypsinised and collected together with the supernatant, which contains floating dead cells, prior to staining with PI. Percentage cell viability was determined by FACS analysis as the fraction of cells were not stained by PI.

Immunofluorescence staining and flow cytometric analysis. FACS analysis and cell sorting were performed using monoclonal antibodies as described previously.¹⁹ Cells were sorted using a MoFlo (Cytomation) or a Diva (Becton Dickinson) high-speed cell sorter. For cell survival assays, immature $CD4^+8^+$ thymocytes (pre-T cells) were sorted from the thymus, B220⁺ slgM⁻slgD⁻ pro-B/pre-B cells from bone marrow and mature Thy1⁺ T cells and B220⁺ B cells from lymph nodes.

Haemopoietic analysis. Mice were left untreated or exposed to 2.5 or 5 Gy of γ -radiation using a ⁶⁰Co source and haemopoietic analysis performed 20 h later. Blood was obtained from live mice via the retro-orbital plexus or by cardiac puncture following CO₂ anaesthesia. A portion of the blood was analysed using an ADVIA haematology system (Bayer). The remainder was treated with red cell removal buffer prior to immunofluorescent staining with surface marker-specific antibodies and FACS analysis. Single-cell suspensions were prepared from the thymus, lymph nodes (axillary, brachial and inguinal), bone marrow (both femora), and spleen. Viable leukocytes were enumerated using a haemocytometer and trypan blue exclusion. The cell type composition of an organ was determined by immunofluorescent staining with surface marker-specific antibodies and FACS analysis using a FACScan (Becton Dickinson).

Histology and TUNEL assays. Soft tissues and sternum were collected into Bouin's fixative and formalin, respectively, embedded in paraffin and stained with hematoxylin and eosin. For TUNEL assays, thymus and spleen were fixed in formalin, sectioned onto silane-coated slides, deparaffinised, rehydrated and incubated with $20 \,\mu$ g/ml proteinase K (Roche) for 15 min. The sections were washed in PBS and then incubated for 1 h in a humidified incubator at 37° C with or without terminal deoxynucleotide transferase (Promega) in the presence of biotin-16-deoxyuridine triphosphate (Bio-16-dUTP, Roche). Incorporated Bio-16-dUTP terminal deoxynucleotidyl transferase-mediated dUTP nick end labelling-positive (TUNEL-positive) cells within sections were detected using the VECTASTAIN ABC Elite biotin/avidin system and revealed using the peroxidase and diamonobenzidine (DAB) chromogen kit (Vector Laboratories). Sections were counterstained with hematoxylin and were viewed and photographed using a compound microscope (Zeiss) and a digital camera (Axiocam, Zeiss).

RT-PCR and Southern blot analysis. Total RNA was isolated from thymocytes or spleen cells and reverse transcription-PCR (RT-PCR) performed using the Superscript III First Strand kit (Invitrogen). The cDNA template was used in a PCR reaction using oligonucleotides primers specific for *noxa* or *hprt* described previously.¹⁹ Southern blot transfer and hybridisation using ³²P end-labelled oligonucleotides specific for an internal *noxa* coding sequence was performed as described previously.¹⁹

Western blot analysis. Western blot analysis was performed by standard procedures using protein extracted from thymocytes. Western blots were probed with anti-Bim rabbit polyclonal antibody (Stressgen), anti-Bid rat monoclonal antibody 2D1 (gift of Dr. David Huang, WEHI), anti-Bad rabbit polyclonal antibody (Cell Signalling), anti-Puma rabbit polyclonal antibody (directed to the N terminus of human Puma; ProSci), anti-p53 mouse monoclonal antibody (BD Pharmingen), anti-p21 rabbit polyclonal antibody (Santa Cruz) and anti-E1A mouse monoclonal antibody (BD Pharmingen). Probing with an antibody to β -actin (AC-40, Sigma) was used as a loading control.

Statistical analysis. Statistical analysis was performed using the Student's *t*-test (Two-tailed, assuming equal variance). *P*-values of <0.05 were considered to indicate statistically significant differences.

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Supplementary Information accompanies the paper on Cell Death and Differentiation website (http://www.nature.com/cdd)