

ORIGINAL ARTICLE

Prognostic factors influencing clinical outcome of allogeneic hematopoietic stem cell transplantation following imatinib-based therapy in *BCR-ABL*-positive ALL

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We investigated prognostic factors for the clinical outcome of allogeneic hematopoietic stem cell transplantation (allo-HSCT) in patients with Philadelphia chromosome-positive acute lymphoblastic leukemia (Ph + ALL) following imatinib-based therapy. Among 100 adult patients who were prospectively enrolled in the JALSG Ph + ALL202 study, 97 patients obtained complete remission (CR) by imatinib-combined chemotherapy, among whom 60 underwent allo-HSCT in their first CR. The probabilities of overall survival (OS) and disease-free survival (DFS) at 3 years after HSCT were 64% (95% CI, 49–76) and 58% (95% CI, 43–70), respectively. Prognostic factor analysis revealed that the major *BCR-ABL* transcript was the only unfavorable predictor for OS and DFS after HSCT by both univariate (HR, 3.67 (95% CI 1.49–9.08); $P = 0.005$ and HR, 6.25 (95% CI, 1.88–20.8); $P = 0.003$, respectively) and multivariate analyses (HR, 3.20 (95% CI, 1.21–8.50); $P = 0.019$ and HR, 6.92 (95% CI, 2.09–22.9); $P = 0.002$, respectively). Minimal residual disease status at the time of HSCT had a significant influence on relapse rate ($P = 0.015$). Further study of the *BCR-ABL* subtype for the clinical impact on outcome of allo-HSCT in Ph + ALL is warranted.

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INTRODUCTION

Approximately 20 to 25% of adult patients with acute lymphoblastic leukemia (ALL) harbor *BCR-ABL* fusion gene. The prognosis following conventional chemotherapy of these patients had been extremely poor.^{1–3} Although the treatment of Philadelphia chromosome-positive ALL (Ph + ALL) has been changed dramatically since the introduction of imatinib,⁴ allogeneic hematopoietic stem cell transplantation (allo-HSCT) still seems to have a central role as a curative option for patients with Ph + ALL in the imatinib era.^{5–7} Previously we reported that the patients who had achieved complete remission (CR) by imatinib-based therapy, and subsequently received allo-HSCT in their first CR, showed significantly superior survival to those patients in the pre-imatinib era.⁸ Imatinib-based therapy is a useful strategy, giving patients not only a better chance to receive allo-HSCT but also improvement of the outcome after allo-HSCT. However, the treatment success after allo-HSCT is impaired by the occurrence of post-transplant relapse and non-relapse mortality (NRM),^{9–11}

and therefore, identification of the risk factors causing relapse and NRM after allo-HSCT would be beneficial.

In the present study, we evaluated prognostic factors influencing overall survival (OS), disease-free survival (DFS), relapse and NRM after allo-HSCT among patients with Ph + ALL who underwent HSCT in the imatinib era, by using the prospectively conducted data of Japan Adult Leukemia Study Group (JALSG) Ph + ALL202 study.

PATIENTS AND METHODS

Patients

In the JALSG Ph + ALL202 study, 100 newly diagnosed patients with *BCR-ABL*-positive ALL were registered consecutively between September 2002 and May 2005. All patients were diagnosed as Ph + ALL by real-time quantitative PCR (RQ-PCR) analysis, and received the same imatinib-combined chemotherapy, as described previously.¹² Of 97 patients who achieved CR, 60 patients received allo-HSCT in their first CR. Table 1 shows the characteristics of these 60 patients analyzed in the present study.

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Table 1. Patient characteristics (N = 60)

Characteristics	No. of patients	%
<i>Donor status</i>		
Related	39	65
Unrelated	21	35
<i>Age at HSCT (years)</i>		
<39	33	55
40–	27	45
<i>BCR-ABL isoform</i>		
Minor	42	70
Major	18	30
<i>Additional chromosome abnormality (4 subjects unknown)</i>		
No	10	17
Yes	44	83
<i>WBC at diagnosis ($\times 10^9/l$)</i>		
<30	34	57
≥ 30	26	43
<i>CD 20 positivity (9 subjects unknown)</i>		
Negative	28	47
Positive	23	38
<i>Stem-cell source</i>		
Bone marrow	35	58
Peripheral blood	16	27
Cord blood	9	15
<i>Conditioning regimen</i>		
Myeloablative	54	90
CY + TBI	27	45
CY + CA + TBI	15	25
CY + VP + TBI	1	2
CY + TESP + TBI	4	7
CY + BU	3	5
Others	4	7
Reduced intensity	6	10
Flu + BU	3	5
Flu + LPAM \pm TBI	3	5
<i>Performance status at HSCT</i>		
0	39	65
1–2	21	35
<i>MRD status at HSCT (3 subjects unknown)</i>		
PCR negative	39	65
PCR positive	18	30
<i>GVHD prophylaxis</i>		
Cyclosporine + sMTX	31	52
Cyclosporine \pm other	4	7
Tacrolimus + sMTX	23	38
Others	2	3

Abbreviations: BU, busulfan (oral); CA, cytarabine; CY, cyclophosphamide; Flu, fludarabine; HSCT, hematopoietic stem-cell transplantation; LPAM, melphalan; MRD, minimal residual disease; sMTX, short-term methotrexate; TBI, total body irradiation; TESP, tespamine; VP, etoposide; WBC, white blood corpuscles.

In the Ph + ALL202 study, allo-HSCT was recommended after achieving CR if a human leukocyte antigen (HLA)-identical donor was available. The stem-cell source for allo-HSCT was chosen in the following order: first, matched related donor; second, HLA-A, B and DRB1 allele matched (6/6) or DRB1 one-allele mismatched unrelated donor; and third, unrelated cord blood or HLA-mismatched related donor. Timing and procedure of HSCT, including conditioning regimen and graft-versus-host disease (GVHD) prophylaxis, were determined by each institution.

Among 60 patients, 32 were males and 28 females, with a median age of 37 years (range, 15–64 years), while 33 patients were less than 40. Regarding the BCR-ABL transcript types, two patients expressed both major and minor BCR-ABLs, and were categorized into the major BCR-ABL group in the subsequent analysis. Consequently, 42 patients were positive for minor BCR-ABL and 18 for major BCR-ABL. Pre-treatment cytogenetic results were not available for four patients because no analysis was performed ($n=2$) nor successful ($n=2$). Of the remaining 56 patients, 10 showed only t(9;22), 44 showed additional chromosome aberrations and 2 showed normal karyotype. Additional aberrations were comprised of +der (22) t(9;22) in 12 patients, del(9) in 3, monosomy 7 in 6 and trisomy 8 in 6. The study was approved by the institutional review board of each participating center and conducted in accordance with the Declaration of Helsinki.

Quantification of BCR-ABL Transcripts

The copy number of BCR-ABL transcripts in bone marrow was determined at the central laboratory using the RQ-PCR as described previously.¹² To minimize the variability owing to differences in the efficiency of cDNA synthesis and RNA integrity among patient samples, the copy numbers of BCR-ABL transcripts were converted to molecules per microgram RNA after being normalized by GAPDH. The normalized values of the BCR-ABL copies in each sample were reported as the BCR-ABL number of copies. At least 5.7×10^5 copies/ μg RNA GAPDH levels were required in a sample to be defined as a negative PCR result; otherwise, the sample was not used for minimal residual disease (MRD) studies. The threshold for quantification was 50 copies/ μg RNA, which corresponded to a minimal sensitivity of 10^{-5} . The levels below this threshold were designated as 'not detected' or 'less than 50 copies/ μg ', and the former was categorized as PCR negativity. MRD at the time of HSCT was evaluated by the result of RQ-PCR within 30 days before respective transplantation.

Statistical Considerations

The aim of this study was to identify prognostic factors for clinical outcome after allo-HSCT in patients with Ph + ALL transplanted in their CR in the imatinib era. Primary endpoint was OS after allo-HSCT, and secondary endpoints were NRM, relapse and DFS. OS was calculated from the date of transplantation to the date of death by any cause, or the last known date of follow-up. DFS was computed from the date of transplantation to the date of relapse, or death by any cause, or the last known date of follow-up. The probabilities of OS and DFS were estimated by Kaplan-Meier product limit method. Cumulative incidence of NRM, relapse, acute GVHD (aGVHD) and chronic GVHD (cGVHD) were estimated by the method taking the competing risks into account, as described elsewhere.¹³ In each estimation of cumulative incidence of events, death without an event was defined as a competing risk. Risk factors were evaluated by combination of uni- and multivariate analyses. We applied for univariate analysis Cox regression models or the log-rank test, and for multivariate analysis the Cox proportional hazards regression model or the competing risk regression model as appropriate.¹⁴

Covariates considered in uni- and multivariate analyses were: donor status, age at HSCT (<40, vs ≥ 40), CD20 positivity (yes vs no), WBC counts at diagnosis ($> 30 \times 10^9/l$ vs $< 30 \times 10^9/l$), additional chromosomal abnormality, stem-cell source (bone marrow, peripheral blood or cord blood), conditioning regimen (myeloablative vs reduced intensity), BCR-ABL subtype (major vs minor), performance status at HSCT (1–2 vs 0) and MRD at HSCT (PCR positive vs negative). Neutrophil recovery was defined by neutrophil counts of $\geq 0.5 \times 10^9/l$ in three consecutive days. Graft failure was defined as no sign of neutrophil recovery. aGVHD and cGVHD were defined according to previously described standard criteria.¹⁵

RESULTS

Transplantation

Graft and conditioning regimen characteristics are summarized in Table 1. The median day from diagnosis to HSCT was 164 (range 67–512 days). One patient with no HLA-matched related donor received the scheduled therapy until a HLA-matched unrelated donor was available, and underwent HSCT at 512 days. The majority of donors were HLA-matched related ($n=24$) and unrelated ($n=21$), followed by mismatched unrelated cord blood ($n=9$) and mismatched related donors ($n=6$). Patients were

treated with various conditioning regimens according to the transplant centers. The majority of patients (70%) received fractionated total body irradiation followed by cyclophosphamide and/or cytarabine. Six patients, older than 55, were given a reduced-intensity regimen consisting of fludarabine and melphalan or busulfan. No patient received imatinib therapy after HSCT. All patients who showed hematological relapse after HSCT received salvage treatment comprising of imatinib and/or chemotherapy.

The median days to reach a neutrophil count $>0.5 \times 10^9/l$ and platelet count $\geq 50 \times 10^9/l$ were 15 (range: 5–41 days) and 27 (range: 11–504 days), respectively. Cumulative incidence of grade 2 to 4 of aGVHD and of cGVHD at 1 year after HSCT were 33.3% (95% CI, 12–33%) and 44% (95% CI, 29–58%), respectively.

OS and DFS

With a median follow-up of 31 months (range, 12 to 56) after HSCT, 41 patients were alive without relapse. The probability of OS and DFS at 3 years after HSCT were 64% (95% CI; 49–76%) and 58% (95% CI; 43–70%), respectively (Figure 1). By the uni- and multivariate analysis, the presence of major *BCR-ABL* transcript was only associated with unfavorable OS (HR = 3.67 (95% CI, 1.49–9.08); $P = 0.005$, and HR = 6.25 (95% CI, 1.88–20.8); $P = 0.003$, respectively) and DFS (HR = 2.60 (95% CI, 1.16–5.83); $P = 0.02$, and HR = 3.20 (95% CI, 1.21–8.50); $P = 0.019$, respectively) (Table 2). Figure 2 illustrates the 3-year OS and DFS in patients with major and minor *BCR-ABL* subtypes (37% vs 75%; $P = 0.003$ and 33% vs 68%; $P = 0.016$, respectively).

Relapse

Overall, 9 patients (15%) relapsed after HSCT, with a median day of 167 (range, 68–728 days). The estimated cumulative incidence of relapse at 3 years was 17% (95% CI, 8.3–28.0%). By the univariate analysis for relapse, PCR-negativity at HSCT (HR = 4.82 (95% CI, 1.20–19.4); $P = 0.027$) and peripheral blood as a stem-cell source (HR = 5.53 (95% CI, 1.06–29.0); $P = 0.043$) were associated with a lower relapse rate, but they did not reach statistical significance by the multivariate analysis (HR = 7.34 (95% CI, 0.54–99.4); $P = 0.134$ and HR = 4.92 (95% CI, 0.17–144.0); $P = 0.355$, respectively) (Table 3). The 3-year cumulative incidence of relapse rate was

not different in patients with major and minor *BCR-ABL* subtypes (8% vs 20%; $P = 0.34$).

NRM

Nineteen patients died after HSCT: 6 from relapsed ALL and 13 from causes other than leukemia. The causes of NRM included graft failure in 5, infection in 3, bronchiolitis obliterans in 2, cGVHD in 2 and unknown in 1. Estimated cumulative incidences of NRM at 3 years were 26% (95% CI, 14.8–38.7). By both uni- and multivariate analyses, the presence of major *BCR-ABL* transcript was associated with a higher NRM rate (HR = 5.95 (95% CI, 2.06–17.2); $P = 0.001$, and 6.92 (95% CI, 2.09–22.9), vs 0.002, respectively) (Table 3).

Figure 2 illustrates the 3-year cumulative incidence of NRM in patients with major and minor *BCR-ABL* subtypes (57% vs 13%; $P = 0.0004$). Four patients (22%) with major *BCR-ABL* transcript, but only one (2%) with minor transcript, died from graft failure (Table 4).

DISCUSSION

In the present study, in patients with Ph + ALL who had achieved CR by imatinib-based therapy and subsequently received allo-HSCT in their first CR, the major *BCR-ABL* subtype revealed significantly unfavorable prognostic impact on NRM, and consequently on OS and DFS (Figure 2). During the pre-imatinib era, several groups reported the relationship between the clinical outcome and *BCR-ABL* subtypes in patients with Ph + ALL. German Multicenter Adult ALL Study Group reported a trend toward poor OS for patients with major *BCR-ABL* (19% OS for the minor and 3% for the major at 3 years, $P = 0.07$).² Gruppo Italiano Malattie Ematologiche dell' Adulto also reported that minor *BCR-ABL* was an independent prognostic factor favorably affecting the 5-year OS and DFS ($P = 0.008$ and $P = 0.02$, respectively), although response rates to the induction therapy were similar in both groups.¹⁶ Of note in their study, none of 14 patients with major *BCR-ABL* transcript who underwent HSCT (8 allogeneic and 6 autologous) survived in CR, whereas, among 22 patients with minor *BCR-ABL*, 6 of 12 who received allo-HSCT and 2 of 10 who received autologous HSCT survived in CR.

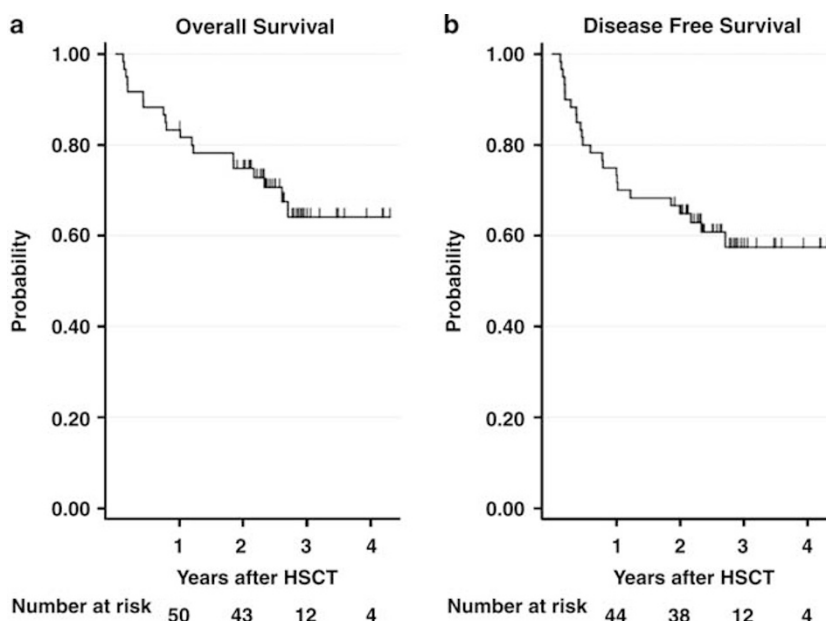


Figure 1. (a) OS and (b) DFS of 60 patients with Ph + ALL who underwent allo-HSCT in their first CR following imatinib-based therapy.

Table 2. Uni- and multivariate analyses for OS and DFS of 60 patients who received HSCT in their first CR following imatinib-based therapy

Characteristics	OS				DFS			
	Univariate		Multivariate		Univariate		Multivariate	
	RR (95% CI)	P	RR (95% CI)	P	RR (95% CI)	P	RR (95% CI)	P
<i>Donor status</i>								
Related	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Unrelated	1.43 (0.57–3.57)	0.443	1.27 (0.24–6.64)	0.779	0.93 (0.40–2.17)	0.865	0.72 (0.15–3.59)	0.692
<i>Age at HSCT (years)</i>								
<39	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
40–	1.55 (0.63–3.82)	0.339	3.04 (0.91–10.2)	0.072	1.09 (0.49–2.44)	0.833	1.22 (0.42–3.49)	0.715
<i>Additional chromosome abnormality^a</i>								
No	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Yes	0.91 (0.26–3.20)	0.882	0.71 (0.17–3.02)	0.647	0.97 (0.33–2.89)	0.958	0.75 (0.21–2.72)	0.666
<i>Stem-cell source</i>								
Bone marrow	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Peripheral blood	0.73 (0.24–2.28)	0.592	1.19 (0.23–6.20)	0.840	1.58 (0.64–3.87)	0.318	1.99 (0.48–8.15)	0.340
Cord blood	1.01 (0.28–3.57)	0.994	2.61 (0.37–18.4)	0.335	1.52 (0.49–4.72)	0.468	1.94 (0.32–11.8)	0.473
<i>Conditioning regimen</i>								
Myeloablative	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Reduced intensity	NE		NE		NE		NE	
<i>BCR-ABL subtype</i>								
Minor	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Major	3.67 (1.49–9.08)	0.005	6.25 (1.88–20.8)	0.003	2.60 (1.16–5.83)	0.020	3.20 (1.21–8.50)	0.019
<i>Performance status at HSCT</i>								
0	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
1–2	1.90 (0.77–4.68)	0.165	0.91 (0.26–3.12)	0.879	1.81 (0.81–4.04)	0.148	1.55 (0.53–4.53)	0.423
<i>MRD status at HSCT^a</i>								
PCR negative	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
PCR positive	1.32 (0.52–3.35)	0.562	1.12 (0.33–3.83)	0.860	1.47 (0.64–3.36)	0.361	1.27 (0.46–3.48)	0.642
<i>WBC at diagnosis ($\times 10^9/l$)</i>								
<30	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
≥ 30	1.50 (0.61–3.70)	0.376	1.44 (0.38–5.37)	0.590	1.71 (0.77–3.82)	0.191	1.67 (0.56–5.04)	0.360
<i>CD 20 positivity</i>								
Negative	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Positive	0.56 (0.20–1.54)	0.260	0.30 (0.08–1.21)	0.091	0.74 (0.30–1.84)	0.519	0.68 (0.20–2.36)	0.548

Abbreviations: CI, confidence of interval; DFS, disease-free survival; HSCT, hematopoietic stem cell transplantation; MRD, minimal residual disease; NE, not estimated; OS, overall survival; WBC, white blood corpuscles. ^aSubjects with unknown status were included in the analyses as dummy variable.

In this imatinib era study, patients with major *BCR-ABL* transcript showed significantly unfavorable OS rates, compared with those with minor *BCR-ABL* transcript. Among 100 patients registered into the JALSG Ph + ALL 202 study, three patients died from chemotherapy-related toxicity during induction therapy and all of them expressed minor *BCR-ABL* transcript. Additionally, among 40 patients who did not receive HSCT in their first CR, OS of 7 patients with major *BCR-ABL* transcript was not inferior to that of 33 patients with minor *BCR-ABL* ($P=0.254$) (Supplemental figure S1). Therefore, the unfavorable clinical impact of major *BCR-ABL* transcript might be specific in the setting of allo-HSCT. Then the question arises: How the *BCR-ABL* subtype influenced the prognosis after allo-HSCT?

As shown in Table 4, MRD status and the period from diagnosis to HSCT were not significantly different among patients with major or minor *BCR-ABL* transcript. As the cause of NRM after allo-HSCT, high incidence of graft failure (22%) was observed in patients with major *BCR-ABL* (Table 4), and to predict NRM, transplantation-specific comorbidity index (HCT-CI) is reportedly

useful.¹⁷ In the present study, 54 of 60 patients could be evaluable for this scoring system, but we found no difference in HCT-CI scores between major and minor *BCR-ABL* subtypes ($P=0.40$).

Biological heterogeneities between major and minor *BCR-ABL* transcripts may have influenced NRM of HSCT. Juric *et al.*¹⁸ performed a comprehensive analysis of the gene expression profiles in 37 *BCR-ABL*-positive adult ALL. They identified the genes overexpressed (PILRB, STS-1, SPRY1) or underexpressed (TSPAN16, ADAMTSL4) in ALL with minor *BCR-ABL* transcript, relative to ALL with major *BCR-ABL*, and constructed a gene expression- and interaction-based outcome predictor, consisting of 27 genes, which correlated with OS, independent of age and WBC count at presentation. Zheng *et al.*¹⁹ spotlighted the role of the reciprocal *ABL-BCR* fusion proteins, derivative chromosome 9 (der 9)-associated p96^{ABL-BCR} and p40^{ABL-BCR} fusion proteins. They indicated that p96^{ABL-BCR} and p40^{ABL-BCR} fusion proteins regulated the different expression of genes involved in the maintenance of stem-cell capacity. However, even if the biological heterogeneity would affect the clinical outcome of patients,

Table 3. Uni- and multivariate competing risk regression analyses for relapse and NRM of 60 patients who received HSCT in their first CR following imatinib-based therapy

Characteristics	Relapse				NRM			
	Univariate		Multivariate		Univariate		Multivariate	
	RR (95% CI)	P	RR (95% CI)	P	RR (95% CI)	P	RR (95% CI)	P
<i>Donor status</i>								
Related	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Unrelated	0.24 (0.03–1.92)	0.179	0.15 (0.01–2.51)	0.186	2.05 (0.74–5.69)	0.169	0.94 (0.24–3.63)	0.929
<i>Age at HSCT (years)</i>								
–39	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
40–	0.68 (0.16–2.84)	0.600	0.07 (0.04–1.28)	0.073	1.29 (0.42–3.95)	0.634	2.47 (0.56–10.8)	0.229
<i>Additional chromosome abnormality^a</i>								
No	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Yes	1.21 (0.15–9.59)	0.858	4.53 (0.28–73.4)	0.288	0.75 (0.22–2.61)	0.655	0.68 (0.12–3.90)	0.666
<i>Stem-cell source</i>								
Bone marrow	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Peripheral blood	5.53 (1.06–29.0)	0.043	4.92 (0.17–144.0)	0.355	0.41 (0.10–1.73)	0.223	0.77 (0.11–5.23)	0.788
Cord blood	4.44 (0.68–29.2)	0.121	0.34 (0.01–10.1)	0.537	0.81 (0.16–4.09)	0.795	1.01 (0.10–9.89)	0.996
<i>Conditioning regimen</i>								
Myeloablative	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Reduced intensity	NE		NE		NE		NE	
<i>BCR–ABL subtype</i>								
Minor	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
Major	0.37 (0.05–2.90)	0.345	0.23 (0.05–1.15)	0.074	5.95 (2.06–17.2)	0.001	6.92 (2.09–22.9)	0.002
<i>Performance status at HSCT</i>								
0	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
1–2	1.67 (0.45–6.20)	0.442	6.88 (0.96–49.1)	0.054	1.47 (0.52–4.14)	0.470	1.03 (0.24–4.46)	0.972
<i>MRD status at HSCT^a</i>								
PCR negative	1 (reference)		1 (reference)		1 (reference)		1 (reference)	
PCR positive	4.82 (1.20–19.4)	0.027	7.34 (0.54–99.4)	0.134	0.57 (0.15–2.13)	0.402	0.75 (0.15–3.84)	0.732

Abbreviations: CI, confidence interval; CR, complete remission; HSCT, hematopoietic stem cell transplantation; MRD, minimal residual disease; NE, not estimated; NRM, non-relapse mortality. ^aSubjects with unknown status were included in the analyses as dummy variable.

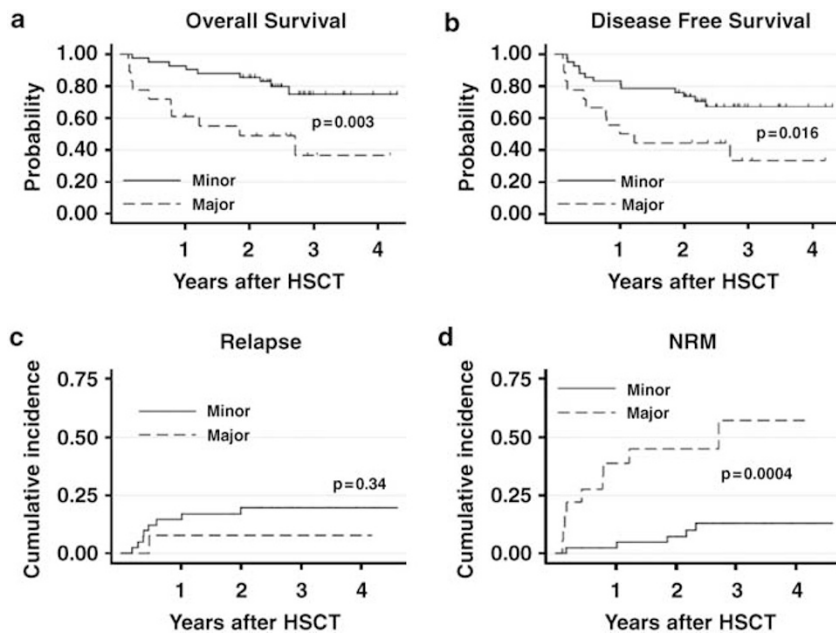


Figure 2. OS and DFS, and cumulative incidence of relapse and NRM related to *BCR–ABL* subtypes in 60 patients with Ph + ALL who underwent allo-HSCT in their first CR following imatinib-based therapy. (a) OS, (b) DFS, (c) cumulative incidence of relapse and (d) cumulative incidence of NRM.

the following question would arise: Could pre-existing aberrant gene translocation before allo-HSCT affect the prognosis of patients after transplantation? An inspiring report from Kreil

*et al.*²⁰ verifies a function of p40^{ABL–BCR} fusion protein in the setting of allo-HSCT. They developed a DNA-based deletion screen, and investigated 339 patients with chronic phase CML

Table 4. Patient characteristics according to BCR-ABL subtype in patients with Ph + ALL who received HSCT in their first CR following imatinib-based therapy

	Minor BCR-ABL (%)	Major BCR-ABL (%)	P
No. of transplantations	42	18	
Median days from diagnosis to HSCT (range)	149 (84–322)	193 (67–512)	0.090
<i>Conditioning regimen</i>			0.658
Myeloablative	37 (88)	17 (94)	
Reduced intensity	5 (12)	1 (6)	
<i>MRD status before HSCT</i> (3 subjects unknown)			1.000
Positive	13 (32)	5 (29)	
Negative	27 (68)	12 (70)	
<i>HCT-CI</i> (7 subjects unknown)			0.400
0	24 (67)	12 (70)	
1	8 (22)	5 (30)	
2–	4 (11)		
<i>Cause of death</i>			1.000
Leukemia relapse	4 (10)	2 (11)	
Transplant related	5 (12)	8 (44)	0.013
Graft failure	1 (2)	4 (22)	
Infection	1 (2)	2 (11)	
cGVHD	1 (2)	1 (5)	
BO	2 (5)		
Others		1 (5)	

Abbreviations: BO, bronchiolitis obliterans; CR, complete remission; cGVHD, chronic graft-versus-host disease; HCT-CI, hematopoietic cell transplantation (HCT)-specific comorbidity index; HSCT, hematopoietic stem cell transplantation; MRD, minimal residual disease; Ph + ALL, Philadelphia chromosome-positive acute lymphoblastic leukemia.

and detected der (9) deletions in 59 (17%) patients. Of these, 21 spanned the ABL-BCR junction and 38 were centromeric or telomeric of the breakpoint. Patients with ABL-BCR junction-spanning deletions (p40^{ABL-BCR} deficiency) had poorer survival, compared with patients without deletions.²⁰ More interestingly, this tendency was most distinctive in the setting of allo-HSCT where bone marrow was replaced by normal stem cells from healthy donor.²⁰ Deletions that did not span the ABL-BCR junction were associated with improved survival, compared with patients without deletions. From these, one could speculate that p40^{ABL-BCR} has an important role on the stem-cell re-constitution after allo-HSCT in patients with BCR-ABL-positive leukemia, and that, even when the patient's bone marrow was replaced by normal donor stem cells, a deficiency of this protein induced by imatinib-combined chemotherapy could contribute to the relatively high incidence of graft failure (22%) in patients with major BCR-ABL transcript as observed in our present study.

Investigation of transplant outcome of Ph + ALL patients who expressed minor BCR-ABL transcript and der (9) deletion would be helpful to evaluate clinical relevance of p96^{ABL-BCR}. However, to our knowledge, there is no report focusing on the BCR-ABL subtypes and der (9) deletions in patients with Ph + ALL. In our present study, three patients who had der (9) deletions were all positive for minor BCR-ABL transcript and alive at the last known date of follow-up. Further investigation for clinico-biological effects of not only BCR-ABL but also ABL-BCR transcripts will be needed to clarify the prognostic relevance of BCR-ABL subtypes after allo-HSCT in patients with Ph + ALL.

We categorized two patients with both major and minor BCR-ABL transcripts into the major BCR-ABL transcript group. Several investigators who studied Ph + ALL with both BCR-ABL transcripts have reported that the level of minor BCR-ABL

transcript was consistently low, such as only one transcript per 100 cells with major BCR-ABL transcript.²¹ Fujimaki et al.²² studied four patients with Ph + ALL with both transcripts before and after allo-HSCT, and reported that PCR negativity for minor BCR-ABL was documented in all cases 1–2 months before PCR negativity for major BCR-ABL. Taking these preceding studies into consideration, we believe our categorization of the two patients would be justified.

In the present study, negative MRD before HSCT resulted in significantly lower relapse rate after HSCT (Table 3). Some investigators reported that MRD before HSCT served as a powerful predictor of lower relapse rate and better DFS.^{4,23,24} Therefore, prospective monitoring of MRD may potentially identify patients at risk of relapse, although the implications of different transcript levels and increments require validation within each therapeutic context or clinical study.⁴ These issues highlight the need for the standardization and harmonization of methodologies used for BCR-ABL quantification in Ph + ALL.⁴ Employment of highly sensitive methods such as nested PCR or of normalization by total ABL transcripts may make clear the predictive value of MRD analysis for the prognosis after HSCT.²⁵

To our knowledge, this is the first report on the clinical impact of the BCR-ABL subtypes on the outcomes of patients with Ph + ALL after allo-HSCT, analyzing results of a substantial number of patients with a sufficient follow-up period. However, the strength and limitations of our study need to be considered. The strength lies in the relatively large sample size, if not sufficient, and relatively homogenous population, as all patients received a uniform imatinib-combined chemotherapy regimen (JALSG Ph + ALL202)¹² and underwent allo-HSCT in their first CR. These facts gave us a better estimation of the endpoints, and also added statistical power to the analyses. Our limitations are the presence of residual confounding factors, both known and unknown, and insufficient number of patients in each different prognostic factor. Among the known factors, difference in transplantation procedure, including pre-transplant conditioning regimens, should be noted. In this study, conditioning regimens and GVHD prophylaxis were determined by each institution. However, the small number of patients per institution and the changes of the conditioning regimens themselves within the same institution inevitably rendered the analysis on these factors impossible.

We have no comparative clinico-biological data in patients with Ph + ALL transplanted during the pre-imatinib era, and were unable to evaluate whether BCR-ABL subtype has a prognostic impact during that time. Further study should be undertaken to evaluate the prognostic value of BCR-ABL subtypes both in pre- and post imatinib eras.

The treatment strategy for Ph + ALL in the imatinib era, especially for Ph + ALL with major BCR-ABL transcript, should be reconsidered, and additionally, not only allo-HSCT but also second generation tyrosine kinase inhibitors need to be incorporated. Further study would be warranted to determine the clinical impact of BCR-ABL transcripts on the outcome of allo-HSCT in this disease.

CONFLICT OF INTEREST

Dr Naoe received research funding and honoraria from Novartis Japan. Dr Ohnishi received research funding from Novartis Japan. Dr Miyazaki received honoraria from Novartis Japan. The remaining authors declare no conflict of interest.

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