

## Review

# Autophagy modulation as a target for anticancer drug discovery

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Autophagy, an evolutionarily conserved catabolic process involving the engulfment and degradation of non-essential or abnormal cellular organelles and proteins, is crucial for homeostatic maintenance in living cells. This highly regulated, multi-step process has been implicated in diverse diseases including cancer. Autophagy can function as either a promoter or a suppressor of cancer, which makes it a promising and challenging therapeutic target. Herein, we overview the regulatory mechanisms and dual roles of autophagy in cancer. We also describe some of the representative agents that exert their anticancer effects by regulating autophagy. Additionally, some emerging strategies aimed at modulating autophagy are discussed as having the potential for future anticancer drug discovery. In summary, these findings will provide valuable information to better utilize autophagy in the future development of anticancer therapeutics that meet clinical requirements.

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## Introduction

Macroautophagy (hereafter referred to as “autophagy”) is an evolutionarily conserved and dynamically catabolic process in which a cell degrades misfolded and compromised proteins and damaged organelles<sup>[1]</sup>. It was first proposed to be an important cellular process by Deter and De Duve almost 55 years ago<sup>[2]</sup> and was classified by Clarke as an alternative, non-apoptotic route of programmed cell death (PCD) referred to as “type II PCD” in 1990<sup>[3]</sup>. The morphological changes observed in the initial stages of the autophagic process involve the appearance of intracellular double-membrane autophagic vacuoles, called autophagosomes<sup>[4]</sup>. These autophagosomes then mature by fusing with lysosomes to form autolysosomes<sup>[5]</sup> in which the sequestered bulk cytoplasm, long-lived proteins and cellular organelles are degraded by lysosomal hydrolases, thus leading to nutrient recycling and increased cellular energy.

Under normal conditions, autophagy remains at a low basal level, which is essential for the maintenance of cellular homeostasis via the turnover of energy and cellular building material<sup>[1, 6]</sup>. However, dysregulated autophagy could be implicated in diverse pathological processes, such as

metabolic diseases<sup>[7]</sup>, neurodegenerative diseases<sup>[8, 9]</sup>, infectious diseases<sup>[10]</sup>, inflammatory diseases<sup>[11]</sup>, aging<sup>[12, 13]</sup> and cancer<sup>[14]</sup>. Although the potential of autophagy as a therapeutic target for some diseases has been validated by numerous investigations<sup>[15, 16]</sup>, the utilization of autophagy in anticancer treatment appears to be more complex due to its dual role in response to specific cancer microenvironments<sup>[17, 18]</sup>. On the one hand, as a tumor suppressor, autophagy could negatively regulate tumorigenesis and progression, and thus the excessive and sustained induction of autophagy may limit the survival of cancer cells. On the other hand, given the tumor-promoting activity of autophagy in cancer, the inhibition of cytoprotective autophagy could also exert anticancer effects under certain contexts. In addition, the intrinsic crosstalk between autophagy and apoptosis gives rise to additional opportunities to improve anticancer therapeutics<sup>[19]</sup>. Accumulating data has demonstrated the efficiency of existing autophagy modulating agents in anticancer treatment, and the emergence of some other therapeutic strategies may launch a new wave of drug discovery through the targeting of autophagy.

In this review, we briefly describe the regulation of the autophagy process, discuss the double-edged sword of autophagy in cancer, summarize current autophagy-modulating agents for anticancer treatment and put forward emerging strategies for further anticancer drug discovery by targeting autophagy, in the hope of better utilizing autophagy in future

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anticancer therapeutics.

## A brief overview of autophagy in cancer

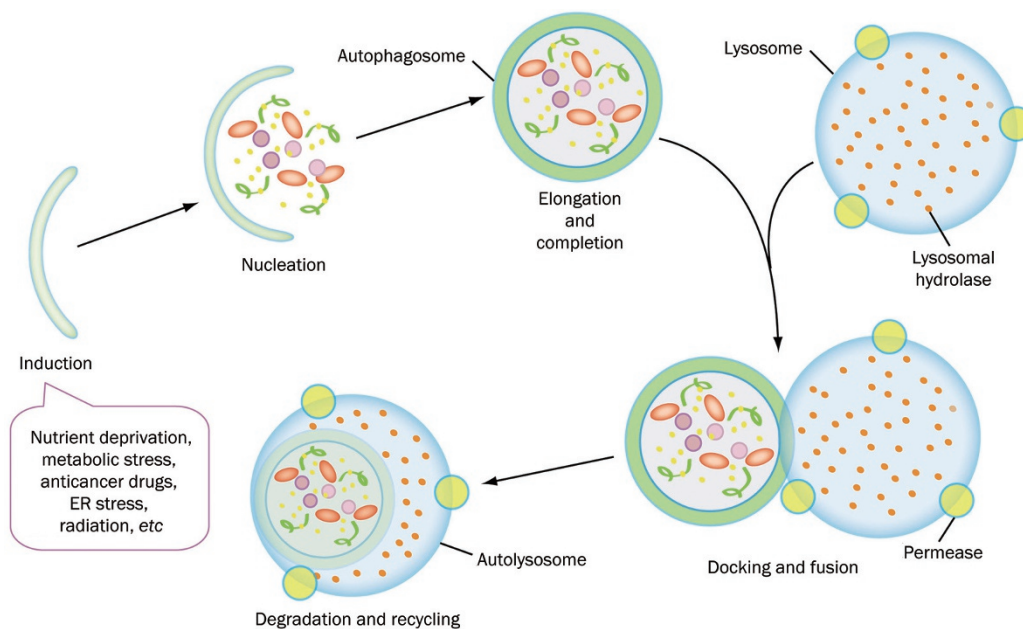
### The regulation of autophagy in cancer

Autophagy is readily induced in response to nutrient deprivation, metabolic stress, endoplasmic reticulum (ER)-stress, radiation and anticancer drugs. In general, the complete autophagic process can be divided into several stages, including induction, vesicle nucleation, vesicle elongation and completion, docking and fusion, degradation and recycling<sup>[20]</sup> (Figure 1). Although the molecular basis of autophagy was discovered in 1993<sup>[21]</sup>, the intricate link between autophagy and cancer was not established until 1999, when the autophagy gene *Beclin1* (*Becn1*; also known as *Atg6*) was found to inhibit tumorigenesis and was assumed to be a candidate tumor suppressor<sup>[22]</sup>. Then it followed that the genomic deletion of *Becn1* or the downregulation of *Beclin1* might be able to contribute to the initiation or the development of certain cancers. Additionally, other autophagy-related proteins, such as Bcl-2, vacuolar sorting protein 34 (Vps34), ultraviolet irradiation resistance-associated gene (UVRAG), Bif1 and Atg14L, can bind with *Beclin1* to form *Beclin1* interactome, and further promote the initiation of autophagy<sup>[23]</sup>.

In most cases, the induction of autophagy is closely related to the mammalian rapamycin complex 1 (mTORC1), a central controller of cell growth<sup>[24]</sup>. Under certain circumstances, the suppression of mTORC1 can trigger autophagic cascades to aid in the survival of metabolic or hypoxic stress. However, the activation of mTORC1 can negatively regulate autophagy by phosphorylating a complex of autophagy proteins such as the Unc51-like kinases (ULK1/2), which interfere with the for-

mation of autophagosomes<sup>[25]</sup>. AMP-activated protein kinase (AMPK), a central sensor of cellular nutrient status or energy levels, is one of the upstream regulators of mTORC1. Nutrient deprivation leads to the activation of AMPK, which then activates tuberous sclerosis protein 2 (TSC2) to repress mTORC1 and upregulate autophagy. The phosphatidylinositol-3-kinase (PI3K)-Akt pathway, which is frequently dysregulated in human cancers, is another important pathway that signals upstream of mTORC1. This pathway can downregulate the expression of the TSC1/TSC2 complex, a tumor suppressor complex existing in various cancer types. The PI3K-Akt pathway further suppresses mTORC1 by inactivating the mTORC1-interacting protein Rheb (Ras homolog enriched in brain), thereby playing a pro-survival role in cancer cells<sup>[26, 27]</sup>. Moreover, the PI3K-Akt-mTORC1 axis can also be regulated indirectly by the activation of Ras, which interacts with the p110 $\alpha$  catalytic subunit of PI3K and strengthens the effects of the Ras-Raf-MAPK (mitogen-activated protein kinase) pathway in cancer<sup>[28]</sup>. Activated Ras binds to Raf and subsequently phosphorylates mitogen-activated protein kinase 1/2 (MEK1/2) and extracellular signal-regulated kinase 1/2 (ERK1/2).

In addition, roles for the well-known tumor suppressor gene *TP53* in the regulation of autophagy are paradoxical, depending on the subcellular localization of the p53 protein. Nuclear p53 functions mainly by triggering the transcription of several autophagy inducers, such as damage-regulated autophagy modulator (DRAM)<sup>[29]</sup>, Sestrin2<sup>[30]</sup>, Bcl-2-associated X protein (Bax) and p53-upregulated modulator of apoptosis (PUMA)<sup>[31]</sup>, thus positively regulating autophagy. Moreover, the activation of autophagy by nuclear p53 may also be related to



**Figure 1.** The process of autophagy. Under conditions of nutrient deprivation, metabolic stress, ER stress, radiation or anticancer drug treatment, autophagy is readily induced. The complete autophagic flow can be divided into several stages: induction, vesicle nucleation, vesicle elongation and completion, docking and fusion, degradation and recycling.

AMPK- and TSC1/TSC2-dependent mTORC1 inhibition<sup>[32]</sup>. However, genetic or pharmacological loss of p53 function can also activate autophagy, suggesting the negative regulation of autophagy by cytoplasmic p53<sup>[33]</sup>. To date, the dual interplay between p53 and autophagy remains unclear, making it challenging to target p53 for the modulation of autophagy.

Aside from the above-mentioned classical regulators, other mechanisms such as ER stress can also induce autophagic cell death<sup>[34]</sup>, indicating that it is worthwhile to exploit this adaptive mechanism for the benefit of cancer treatment. ER stress is often accompanied by the release of calcium into the cytosol; during this process, calcium- and calmodulin-dependent protein kinase kinase (CAMKK)  $\beta$ -dependent AMPK activation can further connect calcium release from the ER to autophagy<sup>[35]</sup>. Some major autophagic regulators and related pathways that play crucial roles in the regulation of autophagy in cancer, including Beclin 1 interactome, the PI3K-Akt-mTOR pathways, the Ras-Raf-MAPK pathways and TP53 signaling are shown in Figure 2. Taken together, multiple molecules and signaling pathways could modulate autophagy in cancer, and these regulators may serve as potential therapeutic targets in cancer.

#### Crosstalk between autophagy and apoptosis in cancer

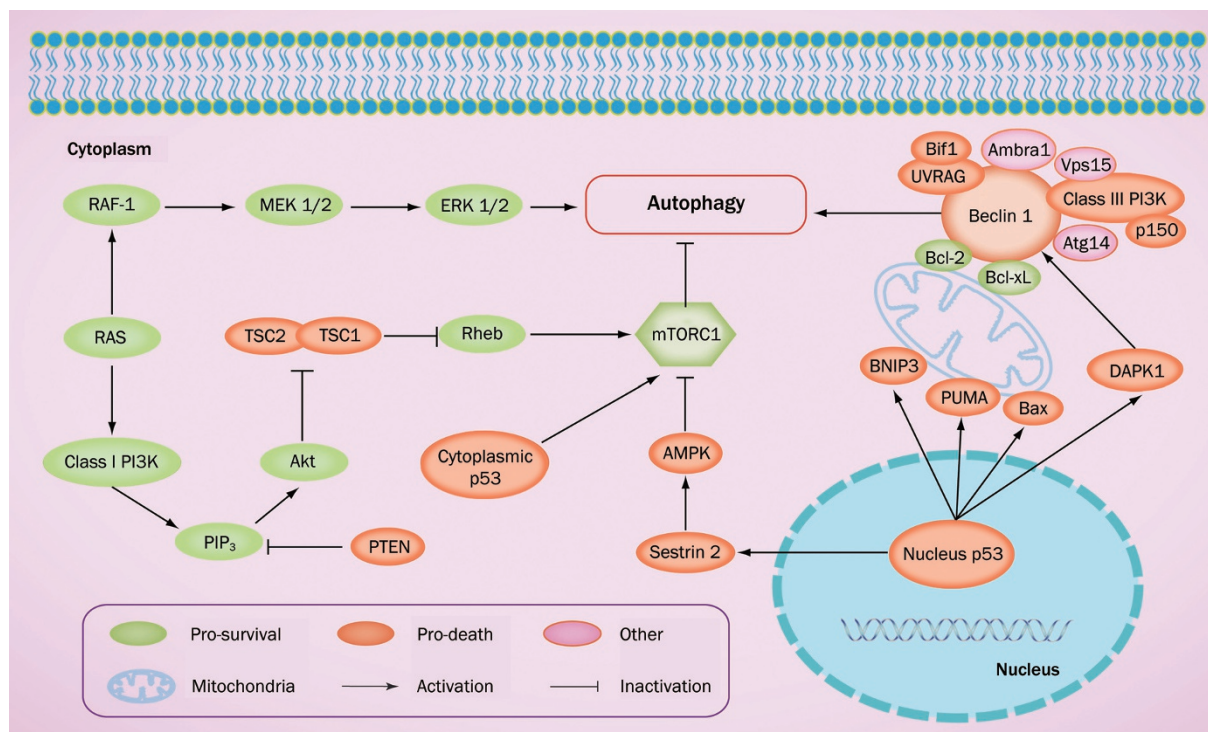
Apoptosis [a term from Greek “apo” (from) and “ptosis” (falling)], or type I PCD, is an evolutionarily conserved mechanism of cell death that may occur in response to various physiological and pathological events. This biochemical event leads to morphological changes in dying cells including cell shrinkage,

nuclear DNA fragmentation, membrane blebbing and eventually the formation of apoptotic bodies<sup>[36]</sup>. Although autophagy and apoptosis have distinct morphological and biochemical characteristics, they still share some common regulatory factors and components and exert overlapping physiological functions, leading to intricate interactions between them.

Recently, studies have indicated that some important regulators, such as p53, the PI3K/Akt axis and the interaction between Bcl-2 and Beclin-1, could synchronously control apoptosis and autophagy<sup>[37]</sup>. Under certain conditions, upstream signals could result in the simultaneous activation of autophagy and apoptosis<sup>[38]</sup>. Moreover, some positive or negative feedback between autophagy and apoptosis exists. For example, the activation of autophagy could either inhibit apoptosis<sup>[39]</sup> or contribute to its induction<sup>[40]</sup>. Of note, apoptosis is often inactivated in tumor cells, which facilitates tumor progression and contributes to tumor cell resistance to radiotherapy and chemotherapy. Therefore, an activation of autophagy when apoptosis is blocked could function as a primary form of cell death in apoptosis-deficient cells<sup>[41]</sup>. Accordingly, while the mechanisms underlying the intrinsic link between autophagy and apoptosis require further investigation, their crosstalk could undoubtedly provide more opportunities for autophagy-targeted anticancer therapeutics.

#### The double-edged sword of autophagy in cancer

Although the pivotal role of autophagy in cancer is indisputable, considering the complexity of tumor microenvironment and autophagy regulation, the relationships between



**Figure 2.** Core signaling pathways regulating autophagy in cancer. Some major autophagic regulators and related pathways, including Beclin 1 interactome, p53 signaling, PI3K-Akt-mTOR pathways, and Ras-Raf-MEK-ERK pathways, play crucial roles in the regulation of autophagy in cancer.

autophagy and cancer appear to vary, depending on specific cellular and extra-cellular context<sup>[42]</sup>. During the early stages of tumorigenesis, autophagy acts as a tumor suppressor by preventing tumor initiation. Paradoxically, in established tumors, autophagy acts as a tumor promoter by facilitating their survival under stressful conditions induced by nutrient starvation or chemotherapeutic agents. Thus, a better understanding of the underlying dual mechanisms of autophagy in tumor initiation and progression is essential to better harness autophagy in cancer treatment.

### Autophagy and tumor suppression

The tumor suppressive role for autophagy was first documented by the discovery that Beclin 1, an upstream regulator of the autophagic pathway, is a potential tumor suppressor in breast cancer<sup>[22]</sup>. Recent studies have shown that the over-expression of this gene can inhibit the growth of colon cancer cells<sup>[43]</sup> and CaSki cervical cancer cells<sup>[44]</sup>, whereas the down-regulation of this gene has been observed in lung cancer<sup>[45]</sup>, esophageal cancer<sup>[46]</sup> and gastric cancer<sup>[47]</sup>. Moreover, genes encoding other components of autophagic pathways, including *Atg2B*, *Atg5*, *Atg9B*, and *Atg12*, have also been demonstrated to undergo chromosomal deletions or show decreased expression in specific cancer types<sup>[48]</sup>, suggesting suppressive roles for these core autophagy genes in tumorigenesis.

Although the definite mechanism by which autophagy acts as a tumor suppressor still remains unclear, some hypotheses have been put forth to explain the roles of autophagy in tumor suppression. First, autophagy can prevent organelle normality, chromosomal stability and genomic integrity at early stages of tumorigenesis. A recent study reported that enhanced autophagy induced by the upregulation of microtubule-associated protein 1S (MAP1S) could suppress DNA double-strand breaks and genome instabilities and thus repress the incidence of hepatocarcinogenesis<sup>[49]</sup>. Second, autophagy may facilitate oncogene-induced senescence (OIS)<sup>[50]</sup>, which is a major tumorigenesis barrier that causes permanent cell cycle arrest and inhibits the proliferation of cancer cells. Third, autophagy could serve as an action mechanism for radiation therapy and chemotherapy. Some studies have shown that the induction of autophagy contributes to radiation sensitization in both malignant glioma cells and radio-resistant glioma cells<sup>[51, 52]</sup>. Studies have also demonstrated that some chemotherapeutic drugs might kill tumor cells via the intrinsic apoptosis pathway induced by autophagy<sup>[53]</sup>.

### Autophagy and tumor progression

Remarkably, elevated autophagy has been observed in many cancer cells under basal conditions<sup>[54]</sup>, suggesting that autophagy might be essential for tumor cell survival during the advanced stages of tumorigenesis. Several mechanisms have been put forward to explain the tumor-promoting role of autophagy. First, autophagy may be essential for cancer cells to maintain genomic stability and organelle homeostasis. A previous study has shown that the inhibition of autophagy can lead to increased reactive oxygen species (ROS), elevated

DNA damage and a metabolic defect in pancreatic cancer cell lines<sup>[55]</sup>. Additionally, autophagy-mediated degradation and recycling could provide key intermediates that meet the metabolic requirements for the promotion of tumor survival and progression<sup>[56]</sup>. Under nutrient deprivation or other stressful conditions, robustly induced autophagy could result in nutrient recycling and increased energy, thus facilitating cancer cells to survive even under those stressful conditions<sup>[57]</sup>. Finally, autophagy may be a crucial resistance mechanism for tumor maintenance in response to cancer therapies such as radiation and chemotherapy.

A recent study disrupted autophagy and observed that the cells rapidly underwent apoptosis, demonstrating that BCR-ABL-mediated leukemogenesis in a hematopoietic cell culture is highly dependent on the basal levels of autophagy<sup>[58]</sup>. Another research study has reported that the resistance of some aggressive cancers to chemotherapeutic drugs largely relies on astrocyte-elevated gene-1 (AEG-1), which mediates protective autophagy and thus limits drug efficiency<sup>[59]</sup>. These studies illustrate the necessity of autophagy-mediated survival in tumor progression and the potential of anti-autophagy therapies in cancer treatment.

To summarize, autophagy can serve dual functions in cancer by acting as a tumor-suppressing pathway that prevents tumor initiation in the early stage of tumorigenesis and as a tumor-promoting pathway that helps established tumor cells to survive stressful conditions. Together, these make autophagy a challenging but promising target for anticancer therapeutics. When exploring the modulation of autophagy for anticancer therapy, the stages of tumorigenesis, the specific cellular and extra-cellular context and the crosstalk between autophagy and apoptosis should all be taken into account.

### Autophagy modulation for anticancer treatment

The seemingly paradoxical tumor-suppressing and -promoting roles of autophagy provide more therapeutic opportunities for anticancer treatment. On the one hand, the features of autophagy are usually observed in dying cancer cells that have been exposed to chemotherapy and radiotherapy, suggesting that autophagy may facilitate or at least accompany cancer cell death<sup>[60]</sup>. Importantly, given that inactivated apoptosis is a common mechanism of cancer therapy resistance, the induction of autophagic cell death could be an effective approach to suppress tumor growth and to alleviate treatment resistance in apoptosis-defective tumor cells. On the other hand, high basal levels of autophagy in tumor cells could play a critical role for rapid tumor proliferation by meeting metabolic demands and eliminating unnecessary or dysfunctional cellular components. Furthermore, in response to stresses induced by cancer therapies, an upregulation of autophagy is also likely to contribute to the survival of tumor cells by driving therapy resistance<sup>[61]</sup>, thus opening new perspectives for anticancer therapeutics by inhibiting autophagy. Currently, a number of autophagy-modulating agents have been proposed to be potential anticancer therapeutics when used as either single or combinatorial treatments.

### Autophagy inducers in cancer therapy

Previously, various agents targeting different signaling pathways or cellular processes in cancer cells have been suggested to induce autophagy. For example, several inhibitors of mTOR<sup>[62-66]</sup>, tyrosine kinases<sup>[67-71]</sup>, Akt<sup>[72-74]</sup> and activators of the cell energy sensor AMPK<sup>[75, 76]</sup> can lead to an induction of autophagy in cancer cells. Moreover, agents regulating cellular process such as the ubiquitin-proteasome<sup>[77-80]</sup>, histone deacetylation<sup>[81-87]</sup>, apoptosis<sup>[88-91]</sup> and other therapies<sup>[92-97]</sup> can also trigger autophagy in multiple tumor types as selectively described in Table 1.

Several allosteric and catalytic inhibitors of mTOR could exert their antitumor effects by inducing autophagy. Rapamycin, an allosteric inhibitor of mTORC1, can selectively inhibit

mTORC1 by binding to the 12 kDa immunophilin FK506-binding protein (FKBP12) to stimulate autophagy<sup>[62]</sup>. Its analogs, temsirolimus (CCI-779), everolimus (RAD001) and deforolimus (AP-23573), can also target mTORC1 for the treatment of cancer while not targeting mTORC2<sup>[63-66]</sup>. In addition to stimulating autophagic cancer cell death, the induction of autophagy by mTOR inhibitors could also sensitize cancer cells to other cancer therapeutics.

Additionally, specific tyrosine kinase inhibitors have been suggested to be effective anticancer therapies that act by triggering autophagy. Imatinib, a potent inhibitor of BCR-ABL, KIT, and platelet-derived growth factor (PDGFR), can induce

**Table 1.** Representative autophagy-modulating agents in cancer therapy.

Agent	Target	Class	Tumor type	Role of autophagy	References
<b>Autophagy inducers</b>					
Rapamycin	mTORC1	mTORC1 inhibitors	Malignant glioma	pro-death	[62]
Everolimus			Acute lymphoblastic leukemia, prostate cancer	pro-death	[63, 64]
Temsirolimus			Mantle cell lymphoma	pro-death	[65]
AZD8055			Lung cancer	pro-death	[66]
Imatinib	BCR-ABL	Tyrosine kinase inhibitors	Chronic myeloid leukemia	pro-death	[67]
			Gastrointestinal stromal tumor	pro-survival	[68]
INNO-406			Chronic myeloid leukemia	pro-survival	[69]
Dasatinib	SRC/ABL		Ovarian cancer	pro-death	[70]
			Chronic lymphocytic leukemia	pro-survival	[71]
Perifosine	Akt	Akt inhibitors	Chronic myelogenous leukemia	pro-survival	[72]
Triciribine			Acute lymphoblastic leukemia	pro-survival	[73]
Metformin	AMPK	AMPK activators	Cervical cancer	unknown	[75]
			Melanoma	pro-death	[76]
Bortezomib	Proteasome	Proteasome inhibitors	Cervical cancer	pro-death	[79]
			Prostate cancer	pro-survival	[80]
NPI-0052			Prostate cancer	pro-survival	[80]
Vorinostat	HDAC	HDAC inhibitors	Gastric cancer	pro-survival	[81]
SAHA			Chondrosarcoma, endometrial stromal sarcoma, hepatocellular carcinoma	pro-death	[82-84]
MHY218			Breast cancer	pro-death	[85]
S1	BH3 domain	Bcl-2 inhibitors	Malignant glioma	pro-survival	[88]
Z18			Breast cancer	pro-death	[89]
(-)-gossypol			Prostate cancer	pro-death	[90]
Tamoxifen	Estrogen receptor	Other	Breast cancer	pro-survival	[95]
Nelfinavir	HIV-protease		Multiple cancer	pro-death	[96]
Etoposide	Topoisomerase II		Hepatocellular carcinoma	pro-death	[97]
<b>Autophagy inhibitors</b>					
3-MA	PI3K	PI3K inhibitor	Colon cancer, esophageal squamous cell carcinoma	pro-survival	[99, 100]
CQ	Lysosome	Lysosomotropic agents	Glioblastoma, colon cancer	pro-survival	[106, 109]
HCQ			Breast cancer	pro-survival	[111]
Bafilomycin A1	Vacuolar ATPase	Vacuolar ATPase inhibitor	Breast cancer, colon cancer	pro-survival	[105, 107]

autophagy in chronic myeloid leukemia (CML) cells through the *Becn1* and *Atg5* genes, thus potentiating imatinib-induced cell death<sup>[67]</sup>. Another BCR-ABL inhibitor, INNO-406, can result in autophagic cell death in *Bcr-Abl*-positive leukemias<sup>[69]</sup>. Furthermore, dasatinib, a SRC-ABL inhibitor, can exert its antitumor effects in ovarian cancer by stimulating autophagic cell death<sup>[70]</sup>. However, it should be noted that autophagy may also be a survival mechanism underlying resistance to imatinib and dasatinib<sup>[68,71]</sup>.

Recently, preclinical studies have shown that Akt inhibitors such as perifosine<sup>[72]</sup> and triciribine<sup>[73]</sup> can induce protective autophagy in tumor cells, and perifosine can enhance the cytotoxicity of rapamycin in multiple myeloma through the suppression of the PI3K/Akt/mTOR pathway<sup>[74]</sup>. Moreover, studies have demonstrated that metformin can activate AMPK and thus suppress tumor progression in cervical cancer<sup>[75]</sup> and melanoma<sup>[76]</sup> by triggering autophagy. These findings confirm the efficiency of this metabolism agonist in autophagy-related cancer therapeutics.

The ubiquitin-proteasome system (UPS) and autophagy are two major intracellular protein degradation systems critical for cellular function, and accumulating evidence has confirmed a coordinated and complementary relationship between the two systems<sup>[77]</sup>. Proteasome inhibition by bortezomib, which is approved for the treatment of multiple myeloma, can initiate autophagy through the activation of jun N-terminal kinase (JNK) pathways in head and neck squamous cell carcinoma (HNSCC) cells<sup>[78]</sup>. Moreover, in HeLa cervical carcinoma cells, bortezomib has been demonstrated to exert its cytotoxic effect by activating autophagy, blocking apoptosis, and by eventually leading to necrosis<sup>[79]</sup>. Interestingly, proteasome inhibitor-induced autophagy appears to be a compensatory response to disabled proteasome function. For example, bortezomib could activate autophagy as a cytoprotective mechanism to eliminate protein aggregates and alleviate proteotoxic stress in human prostate cancer cells, and the simultaneous blockage of proteasome and autophagy could result in enhanced cytotoxicity compared to the inhibition of either degradation pathway alone<sup>[80]</sup>. This suggests that the combined inhibition of autophagy and proteasome could be an attractive strategy for anticancer therapeutics.

Histone deacetylase (HDAC), a class of enzymes essential to the regulation of DNA transcription through the modulation of histone deacetylation, has been validated to be critical for the management of autophagy. Thus, the stimulation of autophagy by targeting HDAC could be another candidate therapeutic strategy; suberoylanilide hydroxamic acid (SAHA), a well known HDAC inhibitor, can trigger caspase-independent autophagic cell death in endometrial stromal sarcoma cells<sup>[83]</sup>. Recently, a new synthetic HDAC inhibitor, MHY218, has been demonstrated to decrease the expression levels of HDAC1, 4, and 6, leading to autophagy-related cell death in tamoxifen-resistant MCF-7 breast cancer cells<sup>[85]</sup>. Of note, HDAC6 is necessary for the activation of autophagy when the proteasome system is impaired; thus, the combination of proteasome inhibitors and HDAC inhibitors could be a

promising anticancer strategy, which has been validated in the treatment of cervical cancer<sup>[86]</sup> and HNSCC<sup>[87]</sup>.

The crosstalk between apoptosis and autophagy could also be exploited to better harness autophagy for anticancer therapeutics. Evidence has shown that some inhibitors of Bcl-2 that target the BH-3 domain, which is essential for the interaction between Beclin1 and Bcl-2/Bcl-xL, could disrupt the interplay between autophagy and apoptosis to induce autophagy<sup>[88]</sup>. For example, a novel compound targeting the BH3 binding groove of Bcl-xL/Bcl-2, Z18, could efficiently induce autophagic cell death in HeLa cells without apparent apoptosis<sup>[89]</sup>. Additionally, a natural BH3-mimetic, (-)-gossypol, can activate the autophagic pathway and inhibit the growth of apoptosis-resistant prostate cancer xenograft models<sup>[90]</sup>. Moreover, tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) can induce apoptotic cell death in various tumor types, while in TRAIL-resistant cancer cells, a human antibody against the TRAIL receptor 2, HW1, has been demonstrated to provide a new therapeutic strategy by triggering autophagic cell death<sup>[91]</sup>.

Furthermore, under some circumstances, inducers of autophagy could be utilized to potentiate other anticancer therapeutics. For example, although RAD001 alone showed no therapeutic effect for ovarian cancer, when combined with arsenic trioxide (As<sub>2</sub>O<sub>3</sub>), a synergistic tumor inhibitory effect along with an induction of autophagy and apoptosis was observed in ovarian cancer xenograft models<sup>[92]</sup>. Moreover, RAD001 could augment the cytotoxic effects of radiation in prostate cancer cells<sup>[64]</sup>, and the combined use of rapamycin and apoptosis inducers can enhance radiation therapy in nonsmall cell lung cancer (NSCLC) cell lines and xenograft models<sup>[93]</sup>. Additionally, the combined treatment of metformin with the mTORC1 inhibitor temsirolimus can suppress the growth of lymphoma cells through the induction of autophagy and act more efficiently than either agent alone<sup>[94]</sup>, thus providing additional therapeutic opportunities by exploiting the induction of autophagy for anticancer treatment.

### Autophagy inhibitors in cancer therapy

Given the cytoprotective role of autophagy in cancer, therapeutic strategies involving its inhibition have also been demonstrated to have potential based on existing experimental data. Representative autophagy inhibitors developed for the purposes of suppressing tumor development are described in Table 1.

Class-III-PI3K inhibitors [*eg*, 3-methyladenine (3-MA)] can interfere with the formation of autolysosomes and suppress the migration and invasion of HT1080 fibrosarcoma cells<sup>[98]</sup>. Additionally, the inhibition of autophagy by 3-MA could enhance the therapeutic effects of 5-fluorouracil (5-FU) in colon cancer cells<sup>[99]</sup>, and the combined use of 3-MA and cisplatin could also strengthen the apoptotic cell death induced by cisplatin in esophageal squamous cell carcinoma cells<sup>[100]</sup>. Furthermore, the cytotoxic effects of radiation could be augmented by 3-MA in radio-resistant HepG2 cell lines and xenograft models<sup>[101]</sup>, suggesting the potential for 3-MA as an adju-

vant therapeutic strategy under radio-resistant conditions.

Compared to PI3K inhibitors, bafilomycin A<sub>1</sub>, which is a specific inhibitor of vacuolar-ATPase, can inhibit autophagy by blocking the fusion of autophagosomes and lysosomes<sup>[102]</sup>. A recent study has demonstrated that the inhibition of autophagy by bafilomycin A1 could induce cell cycle arrest and apoptosis in colon cancer cells<sup>[103]</sup>. Moreover, the inhibition of autophagy by bafilomycin A1 could enhance the cytotoxicity of other anticancer therapeutics. For example, treatment with bafilomycin A1 (but not 3-MA) and sulforaphane could significantly strengthen sulforaphane-induced apoptosis in breast cancer cells<sup>[104]</sup>; therefore, the combined use of bafilomycin A1 and conventional chemo/radiotherapy could be a potential anticancer strategy.

The widely used anti-malarial and anti-rheumatic drugs chloroquine (CQ) and its analog hydroxychloroquine (HCQ) can block lysosomal acidification and inhibit the degradation of autophagosomes, thereby blocking the late stage of autophagy. A previous study demonstrated that CQ-mediated autophagic cell death may be p53-dependent and is at least in part due to the intrinsic apoptotic pathway in *Myc*-driven lymphoma<sup>[105]</sup>, whereas in glioma cells, the autophagic vacuole accumulation and cell death induced by CQ appears to be p53-independent<sup>[106]</sup>. Moreover, when combining CQ with NVP-BEZ235, a dual PI3K/mTOR inhibitor, enhanced anticancer effects induced by the interruption of autophagy

were observed in glioblastoma cells and non-small cell lung cancer xenograft models<sup>[107, 108]</sup>. Furthermore, CQ or HCQ has also been suggested to strengthen the efficiency of certain chemotherapeutic drugs. For example, in colon cancer cells, the anticancer effects of 5-FU were augmented by combined treatment with CQ<sup>[109]</sup>. However, a recent study has reported that the enhancement of chemotherapy efficiency by CQ might be independent of autophagy<sup>[110]</sup>, which warrants caution for future investigations targeting the roles of CQ in combined cancer therapy<sup>[111]</sup>. Currently, many phase I/II clinical trials are ongoing to evaluate CQ- and HCQ-mediated modulation of autophagy in combination with standard treatment in patients with breast, colorectal, hematologic, kidney, lung, ovarian, pancreatic and prostate cancers (Table 2). If these trials achieve satisfactory results, these therapeutic strategies will undoubtedly bring renewed interest to the present anticancer therapeutic market.

Taken together, the studies described above suggest that various agents can target different events involved in the activation or inhibition of autophagy and ultimately culminate in tumor suppression. However, although several existing therapeutic strategies for modulating autophagy have proven to be effective in cell culture systems, much work remains to be done to determine the specific impact of these modulators on tumor initiation, promotion, progression and therapeutic response for further clinical uses. Thus, the acceleration of

**Table 2.** Ongoing clinical trials evaluating the efficiency of CQ or HCQ for anticancer treatment.

Cancer type	Clinical trials identifier	Drug	Phase
Advanced solid tumors	NCT00909831	HCQ, temsirolimus	I
	NCT01266057	HCQ, sirolimus, vorinostat	I
	NCT01023737	HCQ, vorinostat	I
	NCT01480154	HCQ, MK2206	I
Breast cancer	NCT01292408	HCQ	II
Colorectal cancer	NCT01206530	HCQ, leucovorin, 5-FU, oxaliplatin, bevacizumab	I/II
	NCT01006369	HCQ, capecitabine, oxaliplatin, bevacizumab	II
Ductal carcinoma <i>in situ</i>	NCT01023477	CQ	I/II
Epithelial ovarian cancer	NCT01634893	HCQ, sorafenib	I
Extraovarian peritoneal carcinoma	NCT01634893	HCQ, sorafenib	I
Fallopian tube carcinoma	NCT01634893	HCQ, sorafenib	I
Kidney cancer	NCT01480154	HCQ, MK2206	I
Lymphangioliomyomatosis	NCT01687179	HCQ, sirolimus	I
Melanoma	NCT00962845	HCQ	0
Multiple myeloma	NCT00568880	HCQ, bortezomib	I/II
	NCT01438177	CQ, velcade, cyclophosphamide	II
	NCT00933803	HCQ, carboplatin, paclitaxel, bevacizumab	I/II
Non-small cell lung cancer	NCT01649947	HCQ, carboplatin, paclitaxel, bevacizumab	II
	NCT01506973	HCQ, gemcitabine	I/II
Pancreatic cancer	NCT01128296	HCQ, gemcitabine	I/II
	NCT01480154	HCQ, MK2206	I
Prostate cancer	NCT01144169	HCQ	I
Renal cell carcinoma	NCT01510119	HCQ, RAD001	I/II
	NCT00969306	CQ, A-CQ 100	I

These data are available in the clinical trials database (<http://clinicaltrials.gov>).

drug discovery and development to exploit more selective agents that can efficiently modulate autophagy either alone or in combination with other treatments is imperative for better utilization of autophagy for anticancer therapeutics.

### Emerging strategies in autophagy-targeted anticancer drug discovery

Given the complexity of autophagy-driving mechanisms and the dual roles for autophagy in cancer, the molecular pharmacology of autophagy is faced with challenges. Nowadays, several reasonable approaches to drug discovery are emerging to allow for a deeper exploration of the therapeutic potentials of autophagy in cancer. Here, we describe some potential strategies, such as systems biology approaches, microRNA (miRNA) modulation and microtubule-associated protein 1A/1B-light chain 3 (LC3)-based screening assays, that may be informative for the discovery of new drugs in autophagy-related anticancer therapeutics.

### Systems biology approaches for the discovery of novel regulators of autophagy

From the systems biology perspective, the integration of diverse information from genomics, transcriptomics, proteomics and interactomics may provide essential information to elucidate the mechanisms underlying the regulation of autophagy and to discover novel autophagy regulators in the context of cancer<sup>[112]</sup>. A genomics approach combined with a functional RNA interference (RNAi) screen might be useful in capturing novel autophagy modulators. For example, using a genome-wide RNAi screen, researchers have successfully identified 9 novel regulators of starvation-induced autophagy<sup>[113]</sup> and 10 novel autophagy-related kinase candidates in MCF-7 human breast carcinoma cells<sup>[114]</sup>. In addition, the integration of proteomic and genetic data sets revealed EEF1G to be a novel regulator of autophagy in both yeast cells and human breast cancer cells<sup>[115]</sup>. A proteomics approach using quantitative mass spectrometry could also be effective in identifying novel autophagosomal markers<sup>[116]</sup>. Moreover, miRNA microarray profiling may have the potential to screen for miRNAs involved in the control of autophagy. For example, the use of global miRNA expression profiling has demonstrated that miR-30a can negatively regulate autophagy by targeting Beclin-1 in MCF-7 cells<sup>[117]</sup>, whereas miR-20a and miR-106b can inhibit autophagy by suppressing ULK1 under physiological conditions<sup>[118]</sup>. Interestingly, the combination of miRNA expression profiling and transcriptome profiling also led to the successful identification of autophagy-associated miRNAs and their specific targets<sup>[119]</sup>.

Furthermore, biological networks such as protein-protein interaction (PPI) networks may help to illustrate the structures and dynamics of cellular processes rather than the characteristics of the individual parts. Recently, a proteomic network of the human autophagy system under basal autophagy conditions (a network of 751 interactions among 409 candidate interacting proteins) was established<sup>[120]</sup> that provides a global view of the mammalian autophagy interaction landscape and

favors further mechanistic analysis of this essential homeostatic process. Network analysis may also be an ideal way to understand autophagy-related cancer networks and to identify candidate targets for the control of autophagy. For example, the construction of a hybrid “yeast-human” core autophagy network led to the identification of 14 novel autophagy-associated proteins<sup>[121]</sup>, demonstrating the utility of the network approach to discover novel modulators of autophagy.

### MiRNA modulation in autophagy-targeted anticancer therapeutics

MiRNAs are small, non-coding RNAs of ~22 nucleotides (nt) in length that can inhibit gene expression by blocking the translation or by inducing the degradation of target miRNA<sup>[122]</sup>. Recently, accumulating evidence has suggested that miRNAs play critical roles in cell survival/death and therefore show great potential in regulating autophagy at different stages<sup>[123]</sup>. During the early stage of autophagy, miR-376b can decrease the activity of Beclin 1, thereby blocking vesicle nucleation<sup>[124]</sup>. For autophagosome formation, miR-101 can inhibit Ras-related protein Rab-5A (RAB5A), a GTPase regulating early endocytosis, and further block the autophagy process<sup>[119]</sup>. In addition, the vesicle elongation and completion phase has been shown to be negatively regulated by miR-101 and miR-376b via the downregulation of Atg4C and Atg4D, respectively<sup>[119, 124]</sup>.

Of note, the roles of miRNAs in the regulation of autophagy may have profound implications for cancer therapy. A recent study has reported that miRNA-130a can target ATG2B and DICER1 and thus inhibit autophagy and trigger the death of chronic lymphocytic leukemia cells<sup>[125]</sup>. Similarly, the downregulation of Beclin 1 by miR-30a may inhibit autophagy and exert significant impacts on cancer development, progression and treatment<sup>[117]</sup>. Interestingly, miR-30a-mediated autophagy has also shown therapeutic implications for imatinib resistance in patients with chronic myelogenous leukemia (CML)<sup>[126]</sup>, implying the potential for miRNA-targeted autophagy modulation in cancer therapy. Given the dual roles of autophagy in cancer, miRNAs that regulate corresponding autophagic mediators may be either oncogenic or tumor suppressive<sup>[123]</sup>, which enhances the possibilities of the utilization of the miRNA system in cancer biology and pathology by targeting autophagic pathways.

### LC3-based screening assays for the identification of autophagy-modulating small molecules

High content screening (HCS) and high throughput screening (HTS), which allow for the rapid phenotypic screen of thousands of chemicals in a variety of *in vitro* assays, have become powerful tools for identifying small molecule modulators of autophagy<sup>[127]</sup>. Most screening assays for autophagy modulators rely on LC3, a well-known autophagy marker that tightly binds to autophagosomal membranes, as an indicator of autophagic activity. When conjugated with green fluorescent protein (GFP), the detection of the fluorescence intensity of GFP-LC3 can be used to quantify autophagosome formation



in cells treated with small molecule libraries. For example, based on microscopic quantification of GFP-LC3 fluorescence, a recent HCS study has successfully identified three Food and Drug Administration (FDA)-approved drugs and one pharmacological reagent capable of rapidly inducing autophagy from a library of 3500 chemicals<sup>[128]</sup>. However, it should be noted that the accumulation of GFP-LC3 might be caused by either upstream inducers or downstream inhibitors of autophagosome formation. Thus, a double-tagged LC3 such as mCherry-GFP-LC3, which could exhibit both GFP and mCherry signals in autophagosomes but only a mCherry signal in autolysosomes, could be utilized to differentiate between autophagosomes before and after fusion with lysosomes<sup>[129]</sup>. By employing GFP-LC3 in the initial screening and mCherry-GFP-LC3 for the secondary screening, Hundeshagen *et al* identified 38 potential activators and 36 inhibitors of autophagy from 1,120 FDA-approved drugs<sup>[130]</sup>. This study is the first report of the use of mCherry-GFP-LC3 in a cell based screen for autophagy modulators.

Moreover, combining GFP-LC3 with other assays could help to distinguish compounds that can truly induce autophagy from those that elevate LC3-GFP by causing cellular damage or by blocking lysosomal functions. For example, in an image-based HPS study, researchers used GFP-LC3 and GFP-Rab7 (a marker of endolysosomal activity) to ensure the influence of potential modulators of autophagy on autophagic flux rather than on the endolysosomal pathway<sup>[130]</sup>. In another study, the combination of GFP-LC3 detection with an assay for long-lived protein degradation led to the identification of eight compounds that could induce autophagy and promote long-lived protein degradation from a library of 480 compounds<sup>[131]</sup>.

In addition to GFP-LC3-based screening methods, the luciferase (Luc)-based screening assay<sup>[132]</sup> and a phospholipase A<sub>2</sub> (PLA<sub>2</sub>)-based screening strategy<sup>[133]</sup>, which take advantage of a Luc-LC3 reporter and LC3-PLA<sub>2</sub> reporter respectively, have also proven to have the potential to identify novel modulators of autophagy. With the development of techniques and tools to efficiently monitor autophagy, HTS/HCS assays will undoubtedly be helpful in developing new drugs for autophagy-related anticancer therapeutics.

In summary, as viewed from a systems biology perspective, agents targeting the core autophagy modulators and the autophagic networks in cancer cells could be further screened as novel candidate anti-cancer drugs. Furthermore, the additional elucidation of the miRNA-modulated autophagic signaling networks and better use of autophagy marker-based HCS and HTS assays may provide alternative strategies and open new avenues for the current and future development of autophagy-based anticancer therapeutics.

### Concluding remarks

Autophagy, a basic catabolic mechanism involving the cellular degradation of unnecessary or dysfunctional cellular components, has been suggested to be a potential therapeutic target for diverse diseases including cancer. The complex, dual nature of autophagy, as either a promoter or inhibitor

of tumor formation and progression, leads to opposite therapeutic strategies targeting autophagy induction or inhibition. To date, encouraging progress has been made in the area of autophagy-based anticancer therapeutics, and some autophagy-modulating drugs such as rapamycin and tamoxifen have been approved for clinical use in cancer management; thus, autophagy has been established as a promising therapeutic target in cancer. More importantly, attractive strategies for the identification of autophagy-modulating agents are emerging, which may undoubtedly provide new clues for launching a new wave of anticancer drug discovery. In summary, with a better understanding of the potential molecular mechanisms implicated in autophagy and the intrinsic relationships between autophagy and cancer at the level of the entire autophagy network, the ultimate goal of conquering cancer with autophagy modulation as an adjuvant therapeutic strategy will be achieved in the near future.

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