

Early age-related maculopathy in eyes after cataract surgery

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Abstract

Purpose To assess age-related maculopathy (ARM) in eyes of patients who had undergone cataract surgery for at least a year.

Methods Consecutive patients aged 60+ years who had undergone cataract surgery at Westmead Hospital, Sydney, Australia, during 2001–2003 were examined in 2004. Interview using standardized questionnaires and stereo retinal photography was performed. Retinal photographs were graded using the Wisconsin ARM grading system. The proportions with ARM were compared between surgical and nonsurgical eyes, and between this surgical cohort and the Blue Mountains Eye Study (BMES) population.

Results Of the 622 eligible patients, 454 (73%) were re-examined, with a mean follow-up period of 2.8 years. Surgical eyes had a higher proportion of early ARM compared to nonsurgical eyes (15.2 vs 10.3%, $P = 0.07$) and to the early ARM prevalence found in BMES participants of similar age (14.5 vs 6.9%, $P < 0.01$), which persisted after age standardization to the BMES population (9.7 vs 6.9%, $P < 0.05$).

Conclusions We found an increased prevalence of early ARM in surgical eyes of patients 1–3 years after cataract surgery. Whether this increased early ARM prevalence leads to an increased prevalence of late ARM in the long-term warrants further investigation.

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Introduction

Cataract and late age-related maculopathy (ARM) are the two leading causes of visual

impairment in the elderly worldwide.^{1–5} While the cataract-induced visual impairment is mostly successfully reversed by cataract surgery, there are limited treatment options for both early and late stages of ARM.

On-going clinical observations have raised concerns that the risk of late ARM could be increased in eyes after cataract surgery. Although earlier case series^{6–9} and a post-mortem study¹⁰ suggested a possible association between aphakia or pseudo-phakia and late ARM, the evidence from these studies is relatively weak. Findings from large population-based studies^{11,12} appeared to support an association between prior cataract surgery and an increase in late ARM prevalence. The strongest evidence to date, came from a pooled data analysis of two large population-based studies,¹³ that showed a significantly increased 5-year incidence of late ARM (odds ratio (OR) 5.7, 95% confidence interval (CI) 2.4–13.6) in nonphakic (aphakic or pseudo-phakic) eyes compared to phakic eyes, after accounting for age, smoking, and the presence and severity of early ARM lesions at baseline.¹³ The Beaver Dam Eye Study (BDES) has reported a significant association between cataract surgery performed prior to baseline and the incidence of late ARM after both 5-¹⁴ and 10-year¹⁵ intervals. The potential for an increased late ARM risk after cataract surgery remains topical and controversial, as indicated by two recent editorials published in *Archives of Ophthalmology*.^{16,17}

While the increased risk of late ARM in nonphakic (surgical) eyes was observed long-term (at least 5 years) after cataract surgery, the BDES also reported that persons who had cataract surgery between baseline and the 5-year follow-up had higher age-adjusted incidence of early ARM detected at the 5-year examination.¹⁴ This suggested that early ARM may be more prevalent in the short to medium term following surgery and prior to subsequent development of late ARM. In order to confirm

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this hypothesis, we examined patients who had undergone cataract surgery in an Australian public hospital and assessed the prevalence of early ARM in surgical eyes of patients after a short- to medium-term period (1–3 years) of postoperative follow-up, and compared this to the prevalence in the fellow nonsurgical eye and to that in a large population-based study.

Methods

Westmead Hospital is part of the Sydney West Area Health Service and provides public cataract surgical services to residents living in mid-western areas of Sydney, Australia. In this hospital-based study sample, consecutive patients aged 60+ years who had undergone cataract surgery at Westmead Hospital from July 2001 to June 2003 were included. Study procedures were approved by the hospital Human Research Ethics Committee and written, informed consent was obtained from all participants.

Preoperative information was obtained from a retrospective review of patient medical records. Baseline data collected included patient's demographic details (age, sex, and ethnicity), past medical history, smoking status, and preoperative macular status. During the period between July 2001 and June 2003, 941 routine cataract surgical procedures were performed. Of these, 784 procedures were performed on 709 patients aged 60 years or older at the time of surgery. Medical records were available on 718 procedures performed on 671 patients, who were considered potential participants for the follow-up examinations; 454 patients (73% of survivors) were able to be re-examined, after excluding 49 patients who had died prior to the time of follow-up examinations. These deaths were confirmed either from medical records or by telephone contact with the next of kin.

All participants were examined between March and December 2004. The study examination included an examiner-administered interview, visual acuity examination, and stereo retinal photography after pupil dilation. Stereoscopic 35° retinal photographs were taken from both eyes of participants, using a Topcon TRC 50 IA retinal camera (Topcon Corporation, Tokyo, Japan) and Kodak Ektachrome 64 35-mm slide film (Kodak, Melbourne, Australia). Photographs were centred on the macula and optic disc (Diabetic Retinopathy Study¹⁸ fields 2 and 1).

Masked grading for ARM lesions followed the Blue Mountain Eye Study (BMES),¹⁹ modification of the Wisconsin Age-Related Maculopathy Grading System.²⁰ Late ARM was defined to include the two end-stage lesions, geographic atrophy and neovascular maculopathy. Early ARM was defined as the absence of late ARM and either (1) large (> 125 µm) indistinct soft

drusen or reticular drusen, or (2) both large soft distinct drusen and retinal pigment epithelial (RPE) abnormalities (hyper- or hypopigmentation), within the superimposed grading grid.¹⁹ The component lesions for early ARM (soft drusen or retinal pigmentary abnormalities) were also assessed separately.

Statistical analyses were performed using the Statistical Analysis System (version 8.2, SAS Institute, Cary, NC, USA). For patients who had had only one eye operated at the time of the examination, the nonoperated eye was used as paired control for eye-specific prevalence comparisons. For person-specific prevalence comparisons, we used the worse eye to define early ARM in persons who had had both eyes operated at the time of the follow-up examination. The prevalence of ARM from a general older (60+ years) Australian population subgroup, a subgroup of this age from the BMES baseline survey (1992–4),²¹ was used as a reference, before and after direct age standardization. Proportions and age-adjusted *P*-value are presented.

Results

Table 1 shows a comparison of the baseline characteristics of surviving patients examined in the follow-up study (*n* = 454) with patients who were not examined (*n* = 168) or had died before the follow-up examination (*n* = 49). The mean age of participants was 76 (SD ± 7.0) years. Patients who had moved out of the area or who refused to participate were not significantly different from the study group in terms of age or history of major illnesses. Those who had died before the examination were more likely to be older, to have had ischaemic heart disease, and less likely to have been current or past smokers. The ethnicities of those examined are also shown with the majority being Caucasian (74%) plus other major ethnic groups of Middle Eastern, Asian, and Indian origin.

The mean postcataract surgery follow-up period of the 454 patients was 2.8 years, with a minimum of 0.9 years and maximum of 3.4 years. There were 179 patients (92 right and 87 left eyes) who had been operated on only one eye at the time of the follow-up examinations; 150 of the 179 had gradable photographs of both eyes for assessment of late ARM lesions and 145 for assessment of early ARM. Table 2 shows a comparison of the proportions with ARM lesions between surgical and nonsurgical eyes in this group by age group. There was an increased prevalence of both early and late ARM with increasing age in both the surgical and nonsurgical eyes. The proportion with late ARM was nonsignificantly higher in nonsurgical than surgical eyes (2.0 vs 1.3%, *P* = 0.31). The proportions with early ARM or early ARM component lesions (RPE abnormalities and soft indistinct

Table 1 Comparison of baseline characteristics of participants and nonparticipants at the follow-up examination

Baseline characteristics	% of Participants and nonparticipants				
	Participated follow-up exams (n = 454)	Refused (n = 168)	Nonparticipants		Age-adjusted P-value
			Age-adjusted P-value	Died (n = 49)	
Age (years)					
60–69	29.7	24.4	0.15	14.3	<0.001
70–79	50.2	48.8		36.7	
80+	20.1	26.8		49.0	
Female	60.6	67.3	0.13	65.3	0.52
History of IHD	29.7	28.0	0.67	42.9	0.06
History of stroke	6.2	10.1	0.09	8.2	0.59
History of diabetes	27.8	31.6	0.35	34.7	0.31
History of hypertension	57.7	61.3	0.42	61.2	0.64
Past smoking	40.5	25.0	0.001	16.3	0.001
Current smoking	13.2	15.5	0.72	6.1	0.03
Presence of late ARM	0.9	2.4	0.14	0	
Ethnicity					
Caucasian	74.0				
Middle Eastern	9.3				
Asian	6.8				
Indian	3.5				
Other	6.3				

IHD = ischaemic heart disease; ARM = age-related maculopathy.

Table 2 Comparison of proportions with age-related maculopathy (ARM) in surgical and non-surgical eyes of sample by age group, among participants with only one eye operated

Characteristics, eye	Age group (years)								P-value
	60–69		70–79		80+		Total		
	n	%	n	%	n	%	n	%	
Late ARM									
Surgical eye (n = 150)	0	0	0	0	2	4.7	2	1.3	0.31
Nonsurgical eye (n = 150)	0	0	1	1.3	2	4.7	3	2.0	
Early ARM									
Surgical eye (n = 145)	2	6.7	5	6.6	15	38.5	22	15.2	0.07
Nonsurgical eye (n = 145)	1	3.3	3	4.0	11	28.2	15	10.3	
RPE abnormalities									
Surgical eye (n = 145)	6	20.0	13	17.1	15	38.5	34	23.5	<0.01
Nonsurgical eye (n = 145)	4	13.3	3	4.0	12	30.8	19	13.1	
Indistinct soft drusen/reticular drusen									
Surgical eye (n = 145)	1	3.3	4	5.3	15	38.5	20	13.8	0.08
Nonsurgical eye (n = 145)	1	3.3	3	4.0	10	25.6	14	9.7	

RPE = retinal pigment epithelial.

or reticular drusen), however, were higher in the surgical than nonsurgical eyes across all age groups (15.2 vs 10.3%, $P = 0.07$; 23.5 vs 13.1%, $P < 0.01$; 13.8 vs 9.7%,

$P = 0.08$; for the presence of early ARM, RPE abnormalities, and soft indistinct or reticular drusen, respectively).

Table 3 shows a comparison of the person-specific late and early ARM prevalence between this surgical cohort and the BMES population by age group. The prevalence of late ARM in the BMES population was nonsignificantly higher than in this surgical cohort (2.8 vs 1.9%, $P = 0.37$). The proportions with early ARM or early ARM component lesions (RPE abnormalities and soft indistinct or reticular drusen) were significantly higher in this surgical cohort than in the older subgroup of the BMES population (14.5 vs 6.9%, $P < 0.01$; 23.6 vs 13.2%, $P < 0.01$; and 12.8 vs 5.8%, $P < 0.01$; for the presence of early ARM, RPE abnormalities, and soft indistinct or reticular drusen, respectively).

Table 4 presents a comparison of the person-specific ARM prevalence of this surgical cohort with the BMES older subgroups after age standardization to the BMES population. This demonstrated a significantly lower frequency of late ARM (0.9 vs 2.8%, P -value < 0.05), but a significantly higher frequency of early ARM (9.7 vs 6.9%,

P -value < 0.05) in this surgical cohort compared to the older subgroup of the BMES population.

Discussion

In a hospital-based sample of cataract surgery patients, we found that early ARM and early ARM component lesions (RPE abnormalities and soft indistinct or reticular drusen), but not late ARM, were more common in surgical than in nonsurgical eyes. We also found that the early ARM prevalence in our surgical cohort was higher than that in the older subgroup of the BMES population with a similar age range. The lower prevalence of late ARM in the surgical cohort compared with the nonsurgical eyes or the BMES population could have been the result of surgical selection: patients with late ARM are less likely to be recommended for cataract surgery.

Our findings are in agreement with a previous prospective population-based report that showed an

Table 3 Comparison of prevalence of ARM between this surgical cohort and the older subgroup of the BMES population

Characteristics, eye	Age group (years)								P-value
	60–69		70–79		80+		Total		
	n	%	n	%	n	%	n	%	
<i>Late ARM</i>									
Surgical eye (n = 412)	0	0	1	0.5	7	5.2	8	1.9] 0.37
BMES (n = 2579)	6	0.5	25	2.7	41	12.0	72	2.8	
<i>Early ARM</i>									
Surgical eye (n = 399)	5	5.6	19	10.2	34	27.6	58	14.5] <0.01
BMES (n = 2411)	47	3.7	82	9.4	38	14.1	167	6.9	
<i>RPE abnormalities</i>									
Surgical eye (n = 399)	12	13.5	37	19.8	45	36.6	94	23.6] <0.01
BMES (n = 2487)	116	9.1	141	15.6	72	24.2	329	13.2	
<i>Indistinct soft drusen/reticular drusen</i>									
Surgical eye (n = 399)	4	4.5	15	8.0	32	26.0	51	12.8] <0.01
BMES (n = 2411)	37	2.9	70	8.0	33	12.3	140	5.8	

RPE = retinal pigment epithelial.

Table 4 Comparison of ARM prevalence between this surgical cohort and the older subgroup of the BMES population, after direct age standardization to the BMES population

Characteristics	% of Participants		Age-adjusted P-value
	Study population	BMES population	
Late ARM	0.9	2.8	<0.05
Early ARM	9.7	6.9	<0.05
RPE abnormalities	18.5	13.2	<0.01
Indistinct soft drusen/reticular drusen	8.2	5.8	0.054

RPE = retinal pigment epithelial.

increase in the incidence of early ARM in the short–medium term (<5 years) after cataract surgery.¹⁴ Our findings may also complement previous findings from large population-based cross-sectional and longitudinal studies showing an association between past cataract surgery and subsequent development of late ARM in a long term.^{13–15,22} It is plausible that patients who undergo cataract surgery have an increased risk of developing early ARM in the short–medium term (1–3 years), which then progresses to late ARM over a longer term (at least 5 years). Further follow-up of this cohort 5–6 years after surgery would be useful to confirm or deny this possibility.

An alternative explanation for our findings should be considered. Selection bias could have played a role, as patients who undergo cataract surgery may have visual impairment not purely due to cataract but also due to coexisting ocular pathologies, including early ARM. These patients could have been more likely to be recommended for cataract surgery as a result of their impaired vision. The finding of a higher early ARM frequency in surgical than in nonsurgical eyes would then result from such surgical selection, although the typical bilateral nature of ARM could argue against this explanation.²³ In support, our previous report of baseline characteristics of this surgical cohort,²⁴ collected from medical records, showed that the preoperative prevalence of any ARM in this sample was similar to BMES participants with and without a history of previous cataract surgery (8.7% in this study sample *vs* 9.0 and 8.8% in the BMES population with and without history of previous surgery, respectively). We further analysed the proportions with preoperative late ARM (presence of neovascular ARM or geographic atrophy) and early ARM (presence of soft drusen or RPE abnormalities) in this sample of eligible patients ($n = 671$) and found a similar rate to the BMES population, after age standardisation (1.6 *vs* 2.8% for late ARM, and 8.3 *vs* 6.9% for early ARM; for this study sample *vs* BMES population, respectively) (data not shown).

A second alternative explanation is that our findings could suggest that cataract and early ARM are associated. It has been postulated that cataract and ARM might occur frequently together because of shared genetic^{25,26} or environmental factors, cohort including age, diet,^{27,28} light exposure^{29,30} and cigarette smoking.^{31–36} Current available evidence, however, is weak and inconsistent^{11,12,14,15} in support of an association between cataract and ARM.

If future studies provide additional evidence supporting an association between prior cataract surgery and the subsequent development of early and late ARM, research will be needed to elucidate mechanisms behind

this association. Possible mechanisms include retinal inflammatory changes associated with surgery,^{10,37} increased light exposure after removal of the lens,⁷ and a postoperative biochemical environment in operated eyes (increased free radicals or growth factors),^{7,10,38} which could accelerate ARM progression.

Overall, we achieved a reasonable follow-up rate: 64% of all eligible baseline participants (454/709) or 73% of surviving eligible participants with available preoperative data (454/622). The preoperative characteristics of those who were followed and those who refused to participate were similar, indicating that persons lost to follow-up would have been unlikely to substantially alter the study findings. Standardized retinal photographs were taken at follow-up examinations and masked grading of ARM lesions was performed by a grader who achieved good inter- and intragrader reliability.¹⁹ Weaknesses in this study include a lack of baseline retinal photographic documentation of the macula and potential selection biases inherent with cataract surgical patients.

In summary, we found that eyes that had undergone cataract surgery were more likely in the short–medium term to have early ARM compared to paired eyes that did not undergo surgery, and to the BMES population with a similar age range. These findings are in agreement with reported data from the 5-year follow-up of the BDES,¹⁴ and may complement earlier findings of an increased long-term incidence of late ARM after cataract surgery.¹³ Further long-term investigations are needed to clarify whether the observed increase in early ARM prevalence in the short–medium term after surgery leads to an increase in later ARM prevalence in the longer term.

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